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Black hole astrophysics



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Some books on General Relativity

- *Introducing Einstein's Relativity*, by R. D'Inverno, Clarendon Press, Oxford, 1992.
- *Space-Time and Geometry*, by S. Carroll, Addison Wesley, San Francisco, 2004.
- *General Relativity*, by M. P. Hobson, G. Efstathiou and A. N. Lasenby, Cambridge University Press, Cambridge, 2006.
- *General Relativity and the Einstein's Equations*, by Y. Choquet-Bruhat, Oxford University Press, Oxford, 2009.*
- *General Relativity*, by R. M. Wald, The University of Chicago Press, Chicago, 1984.*
- *Relativity on Curved Manifolds*, by F. de Felice & C.J.S. Clarke, Cambridge University Press, Cambridge, 1990.*

Books on black holes

- *Black Holes*, by D. Raine, E. Thomas, Imperial College Press, London, 2005.
- *Introduction to Black Hole Physics*, by V.P. Frolov and A. Zelnikov, Oxford University Press, Oxford, 2011.
- *Black Holes, White Dwarfs and Neutron Stars*, by S. L. Shapiro and S. A. Teukolsky, John Wiley & Sons, New York, 1983.
- *Black Hole Physics*, by V. P. Frolov and I. D. Novikov, Kluwer Academic Publishers, Dordrecht, 1998.*

Lecture Notes in Physics 876

Gustavo Romero
Gabriele Vila

Introduction to Black Hole Astrophysics

 Springer



Black stars

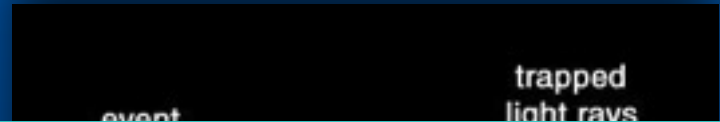
P.S. Laplace

J. Michell

[35]

VII. On the Means of discovering the Distance, Magnitude, Sec. of the Fixed Stars, in consequence of the Diminution of the Velocity of their Light, in case such a Diminution should be found to take place in any of them, and such other Data should be procured from Observations, as would be farther necessary for that Purpose. By the Rev. John Michell, B. D. F. R. S. In a Letter to Henry Cavendish, Esq. F. R. S. and A. S.

Read November 27, 1783.



No equation of state for matter at such densities:

wrong picture!



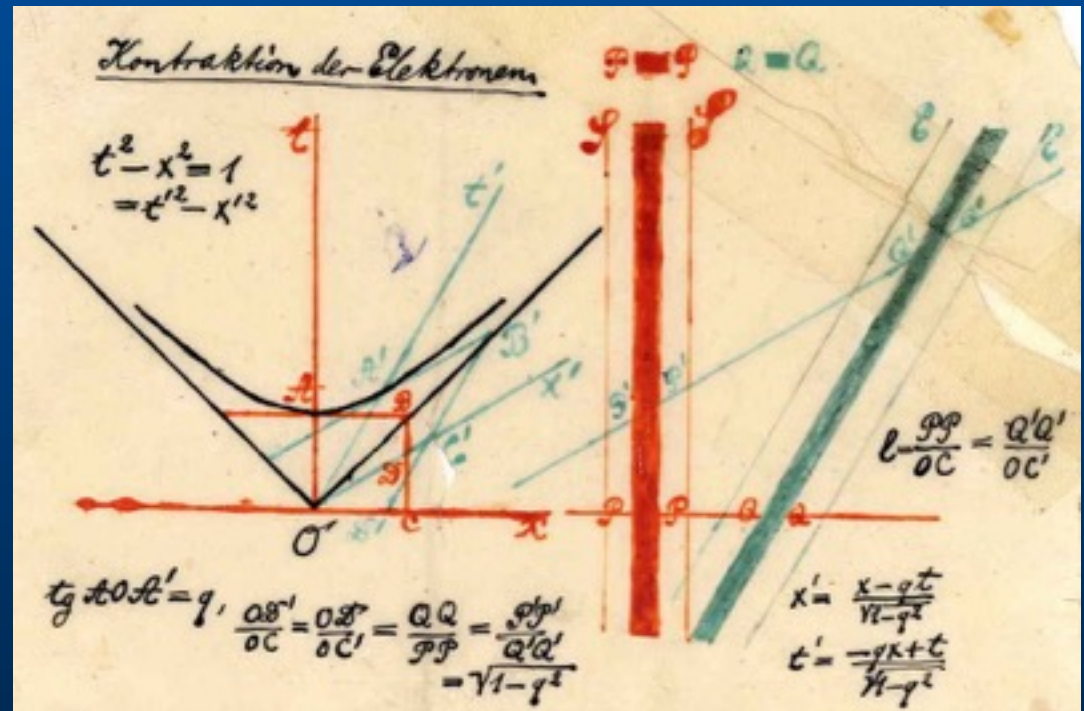
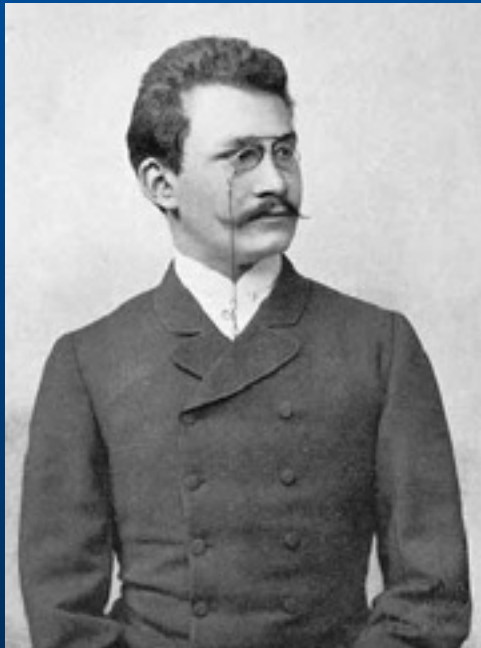
A black star is not a black hole!

So, what is a black hole?



"The views of space and time which I wish to lay before you have sprung from the soil of experimental physics, and therein lies their strength. They are radical. Henceforth space by itself, and time by itself, are doomed to fade away into mere shadows, and only a kind of union of the two will preserve an independent reality."

H. Minkowski, Köln, September 21st, 1908



Spacetime

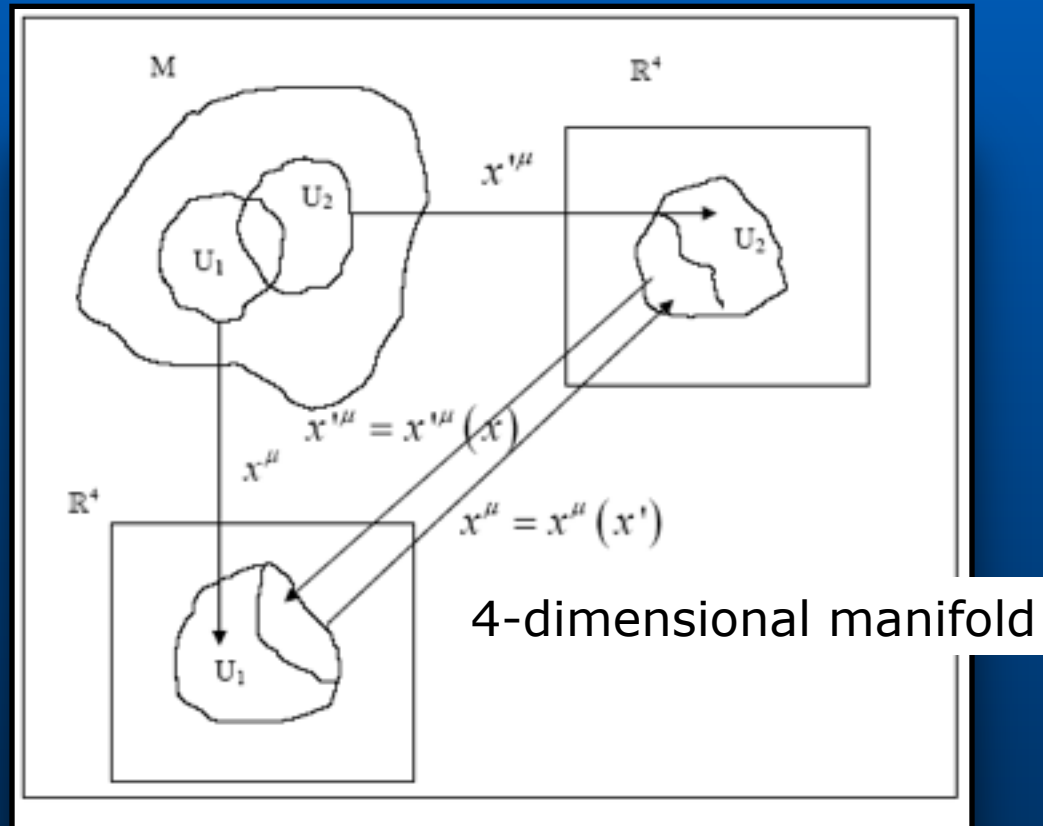
What is spacetime?

Spacetime is the system of all events.

How can we represent spacetime?

Spacetime can be represented by a differentiable, 4-dimensional, real manifold.

Spacetime



Topological space

Let X be any set and $T = \{X_\alpha\}$ a collection, finite or infinite, of subsets of X . Then (X, T) for a *topological space* iff:

1. $X \in T$.
2. $\emptyset \in T$.
3. Any finite or infinite sub-collection $\{X_1, X_2, \dots, X_n\}$ of the X_α is such that $\bigcup_1^n X_i \in T$.
4. Any *finite* sub-collection $\{X_1, X_2, \dots, X_n\}$ of the X_α is such that $\bigcap_1^n X_i \in T$.

The set X is called a topological space and the X_α are called *open sets*. The assignation of T to X is said to “give” a topology to X .

A function f mapping from the topological space X onto the topological space X^* is continuous if the inverse image of an open set in X^* is an open set in X .

Differentiable manifold

A differentiable manifold is a topological manifold equipped with an equivalence class of atlases whose transition maps are all differentiable.

A smooth manifold or C^∞ -manifold is a differentiable manifold for which all the transition maps are smooth. That is, derivatives of all orders exist.

Manifold

A set M is a differentiable manifold if:

1. M is a topological space.
2. M is equipped with a family of pairs $\{(M_\alpha, \varphi_\alpha)\}$.
3. The M_α 's are a family of open sets that cover M : $M = \bigcup_\alpha M_\alpha$. The φ_α 's are homeomorphisms from M_α to open subsets O_α of \mathbb{R}^n : $\varphi_\alpha : M_\alpha \rightarrow O_\alpha$.

4. Given M_α and M_β such that $M_\alpha \cap M_\beta \neq \emptyset$, the map $\varphi_\beta \circ \varphi_\alpha^{-1}$ from the subset $\varphi_\alpha(M_\alpha \cap M_\beta)$ of \mathbb{R}^n to the subset $\varphi_\beta(M_\alpha \cap M_\beta)$ of \mathbb{R}^n is infinitely differentiable (C^∞).

A manifold M is said to be Hausdorff if for any two distinct elements $x \in M$ and $y \in M$, there exist $O_x \subset M$ and $O_y \subset M$ such that $O_x \cap O_y = \emptyset$.

A **differentiable manifold** is a type of manifold that is locally similar enough to a linear space as to allow to do calculus.

If the charts are suitably compatible (namely, the transition from one chart to another is differentiable), then computations done in one chart are valid in any other differentiable chart.

A homeomorphism or topological isomorphism or bi continuous function is a continuous function between topological spaces that has a continuous inverse function.

Objects on the manifold

$$\{x^\mu\} \rightarrow \{x'^\mu\} \Rightarrow$$

$$\phi(x^\mu) = \phi'(x'^\mu).$$

contravariant

$$A'^\mu = \sum_{\nu=1}^4 A^\nu \frac{\partial x'^\mu}{\partial x^\nu}.$$

$$A'^\mu = A^\nu \frac{\partial x'^\mu}{\partial x^\nu}.$$

$$dx'^\mu = \frac{\partial x'^\mu}{\partial x^\nu} dx^\nu.$$

$$B'_\mu = \frac{\partial x^\nu}{\partial x'^\mu} B_\nu.$$

$$\frac{\partial \phi}{\partial x'^\nu} = \frac{\partial x^\mu}{\partial x'^\nu} \frac{\partial \phi}{\partial x^\mu}.$$

Objects on the manifold

$$\{x^\mu\} \rightarrow \{x'^\mu\} \Rightarrow$$

$$T'^{\overbrace{\dots\mu\dots}^n}_{\underbrace{\dots\nu\dots}_m} = \dots \frac{\partial x'^\mu}{\partial x^\rho} \dots \frac{\partial x^\sigma}{\partial x'^\nu} \dots T^{\dots\rho\dots}_{\dots\sigma\dots}$$

The tensor is n times contravariant, m times covariant

Tensor field

$$p \longrightarrow T^{\dots\mu\dots}_{\dots\nu\dots}(p),$$

Spacetime: metric

We need to know how to measure distances over a manifold. These distances are the intrinsic separation between events of spacetime. We do this introducing a metric tensor. Spacetime, then, is represented by an order pair (M, g) , where g is the metric tensor.

Euclidean metric

$$\delta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Interval

$$ds^2 = \delta_{\mu\nu} dx^\mu dx^\nu = (dx^0)^2 + (dx^1)^2 + (dx^2)^2 + (dx^3)^2.$$

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu = (dx^0)^2 - (dx^1)^2 - (dx^2)^2 - (dx^3)^2.$$

Minkowski metric

Minkowski Spacetime

Minkowski metric
tensor

$$g_{\mu\nu} = \zeta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

Interval

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu = (dx^0)^2 - (dx^1)^2 - (dx^2)^2 - (dx^3)^2.$$

Proper time

$$d\tau^2 = \frac{1}{c^2} ds^2.$$

$$d\tau = \frac{dt}{\gamma},$$

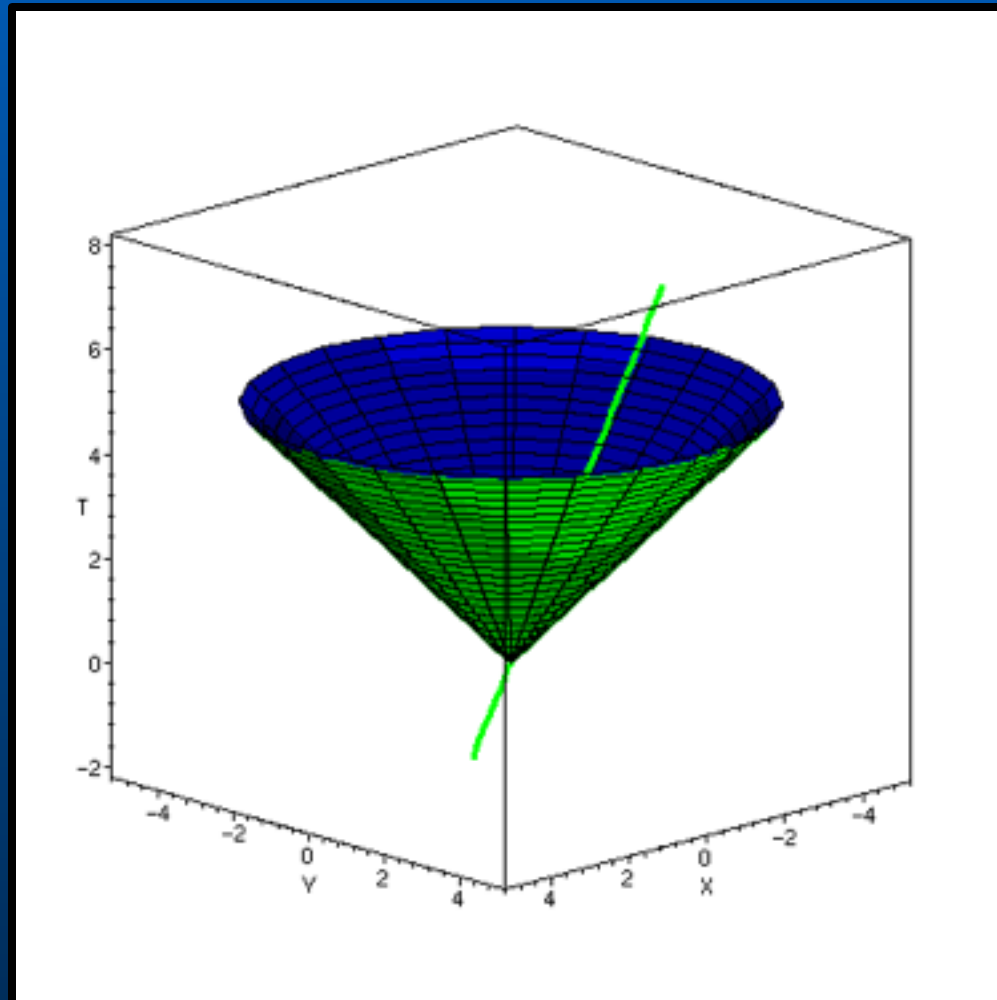
$$\gamma = \frac{1}{\sqrt{1 - (\frac{v}{c})^2}}$$

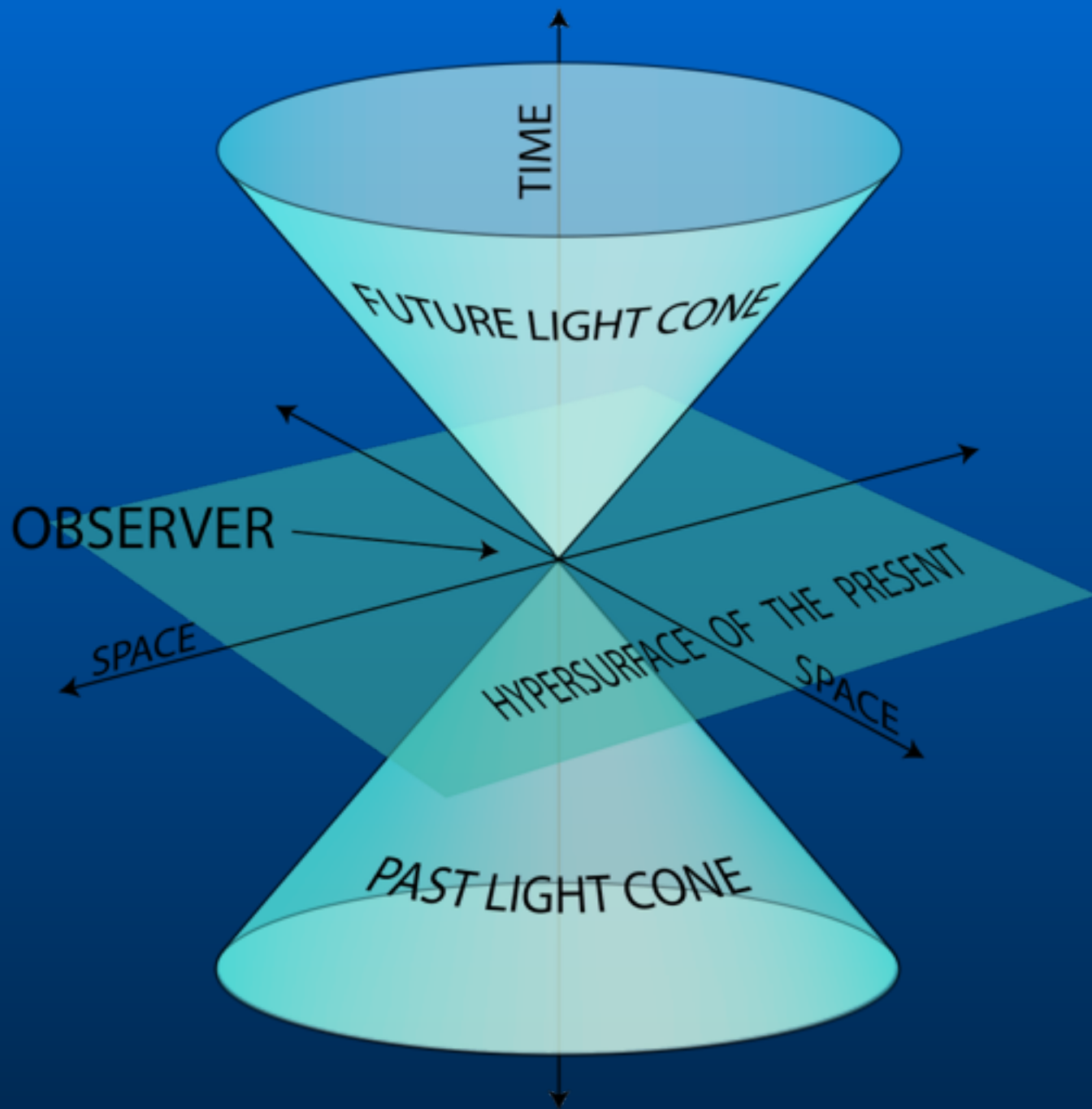
Minkowski Spacetime

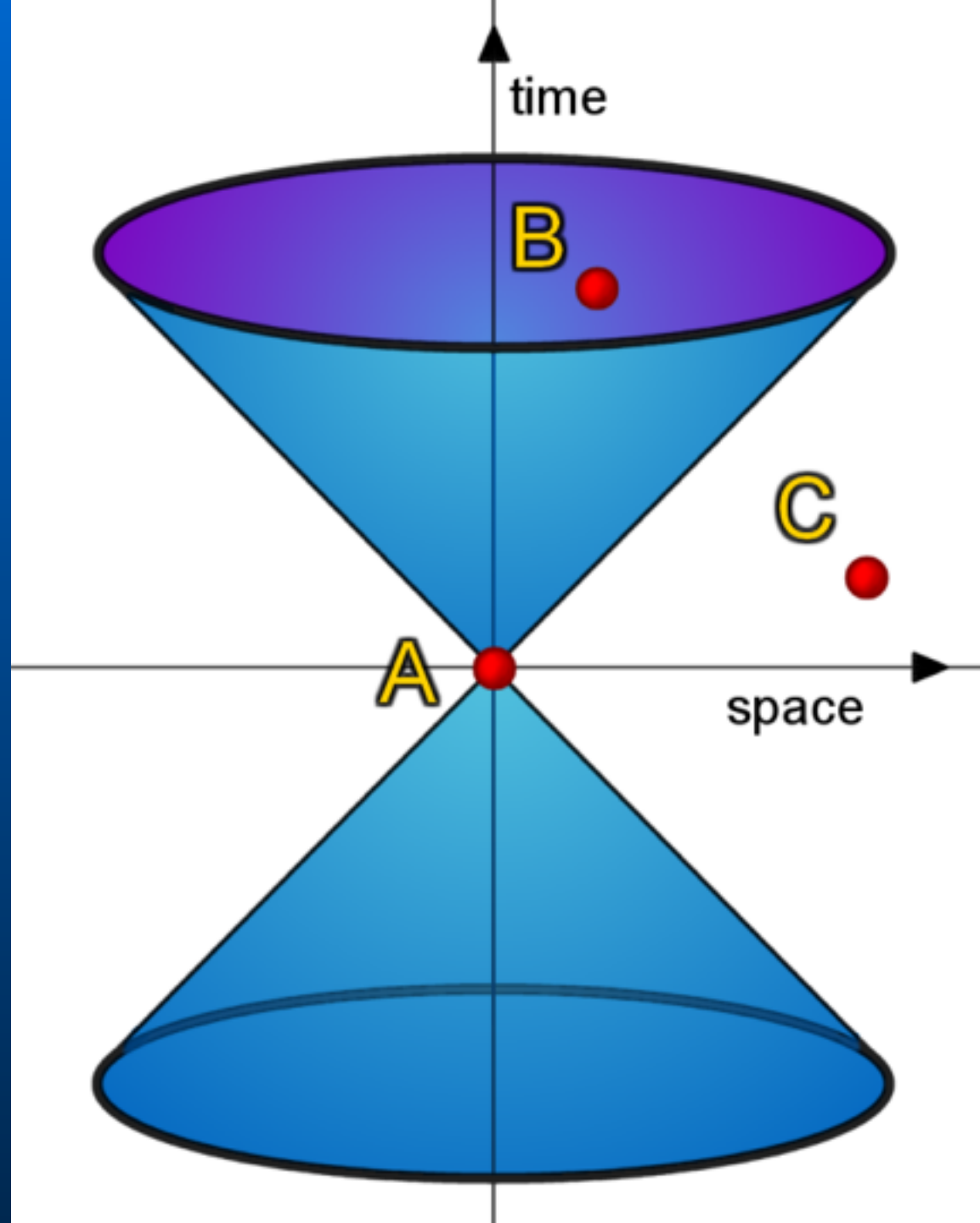
There is a partial ordering of events. Simultaneity is *not* absolute in spacetime

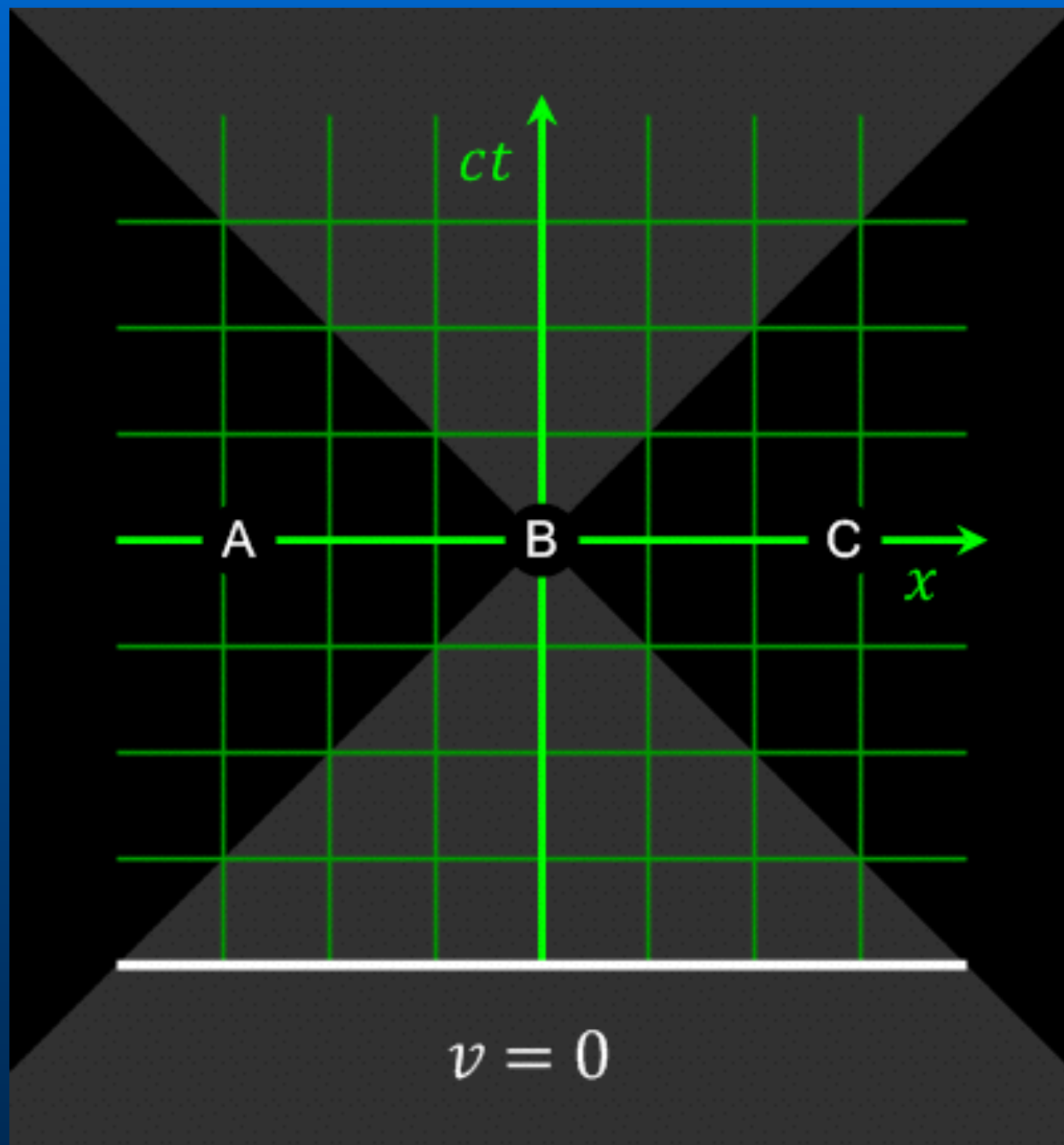
for $ds^2 > 0$,	the interval is timelike;
for $ds^2 = 0$,	the interval is null or lightlike;
for $ds^2 < 0$,	the interval is spacelike.

Light cones

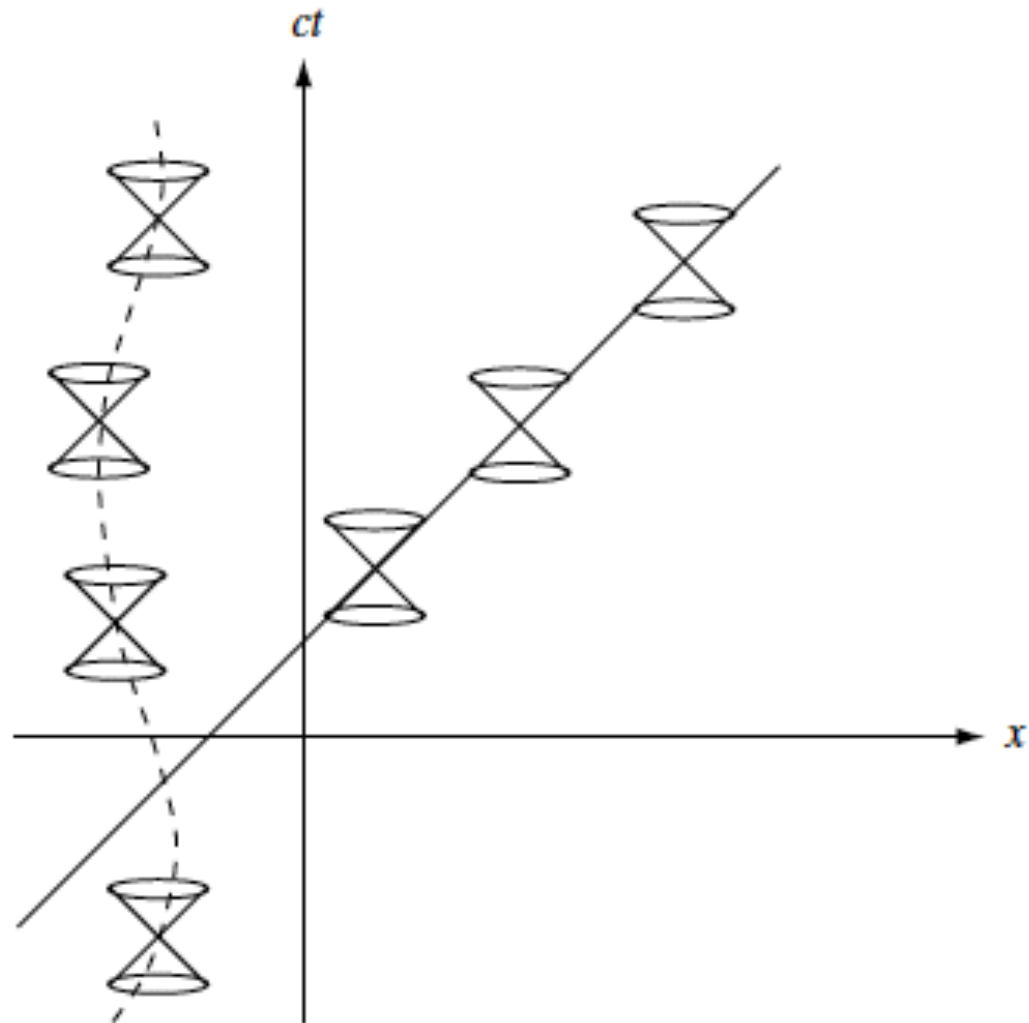




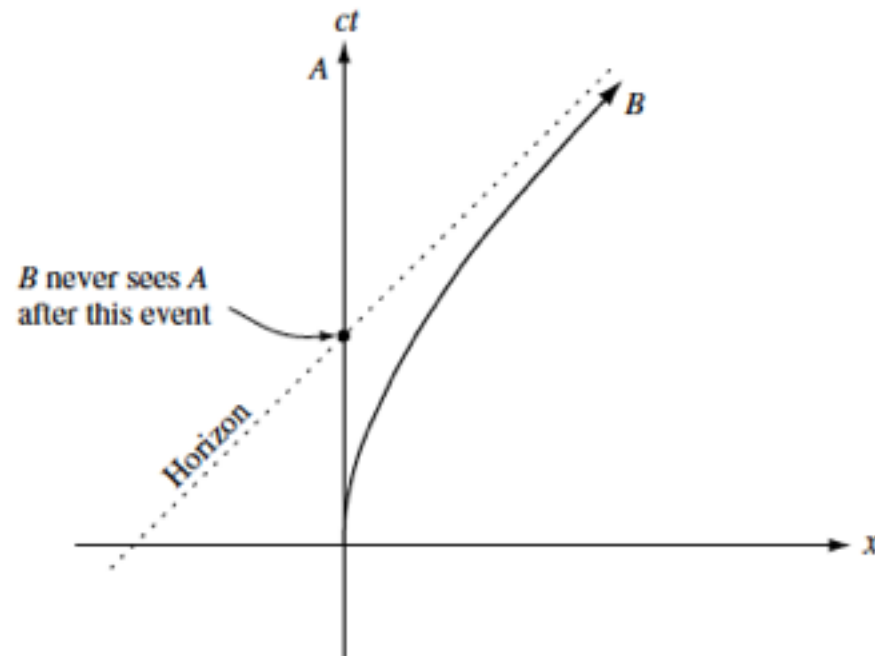




Light cones

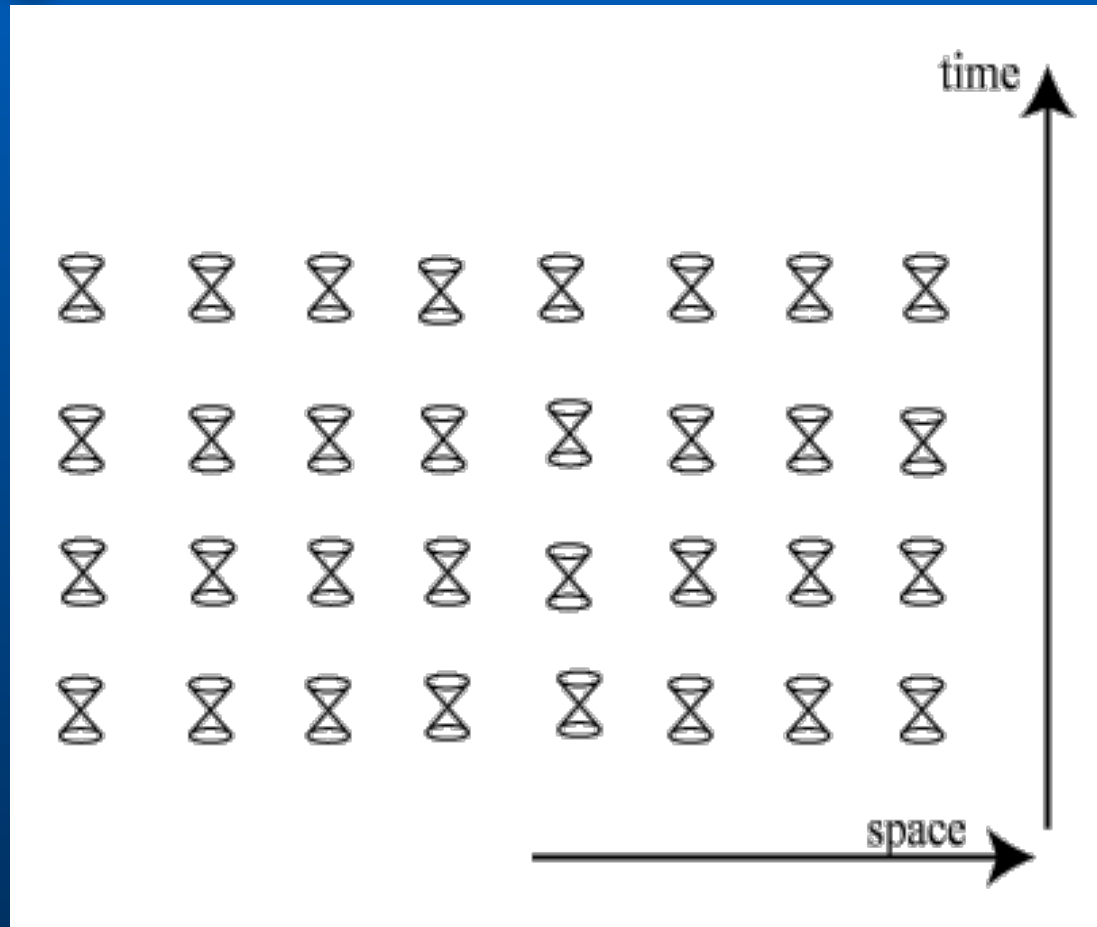


Particle horizon

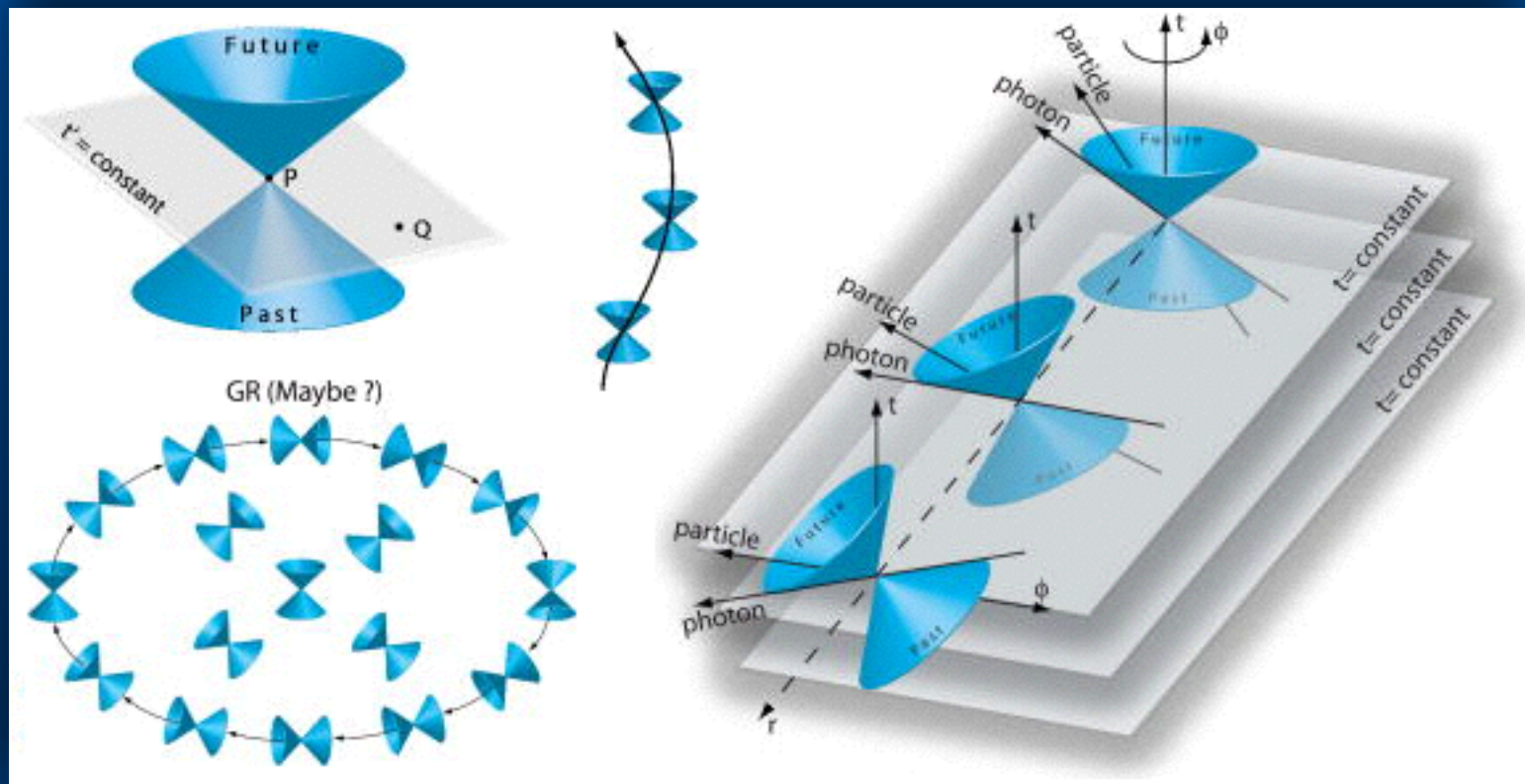


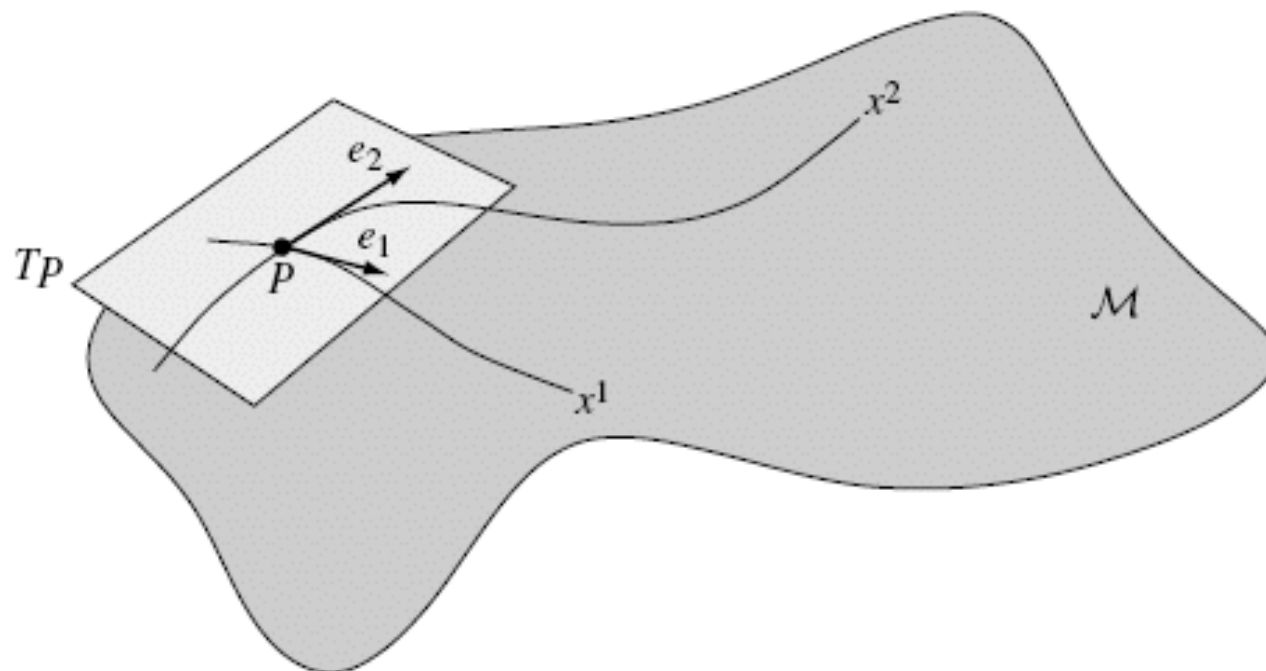
The worldline of a uniformly accelerated particle B starting from rest from the origin of S . If an observer A remains at $x = 0$, then the worldline of A is simply the t -axis. No message sent by A after $t = c/f$ will ever reach B .

Light cones



More general space-times





The coordinate basis vectors e_a at a point P in a manifold are the tangent vectors to the coordinate curves in the manifold and form a basis for the tangent space at P .

Tetrads: ortonormal unit vector fields

Let us consider a scalar product

$$\vec{v} \bullet \vec{w} = (v^\mu \hat{e}_\mu) \bullet (w^\nu \hat{e}_\nu) = (\hat{e}_\mu \bullet \hat{e}_\nu) v^\mu w^\nu = g_{\mu\nu} v^\mu w^\nu,$$

where

$$\hat{e}_\mu = \lim_{\delta x^\mu \rightarrow 0} \frac{\delta \vec{s}}{\delta x^\mu},$$

and we have defined

$$\hat{e}_\mu(x) \bullet \hat{e}_\nu(x) = g_{\mu\nu}(x).$$

Similarly,

$$\hat{e}^\mu(x) \bullet \hat{e}^\nu(x) = g^{\mu\nu}(x).$$

We call \hat{e}_μ a *coordinate basis vector* or a *tetrad*. $\delta \vec{s}$ is an infinitesimal displacement vector between a point P on the manifold (see Fig. 2) and a nearby point Q whose coordinate separation is δx^μ along the x^μ coordinate curve. \hat{e}_μ is the tangent vector to the x^μ curve at P . We can write:

$$d\vec{s} = \hat{e}_\mu dx^\mu$$

and then:

$$ds^2 = d\vec{s} \bullet d\vec{s} = (dx^\mu \hat{e}_\mu) \bullet (dx^\nu \hat{e}_\nu) = (\hat{e}_\mu \bullet \hat{e}_\nu) dx^\mu dx^\nu = g_{\mu\nu} dx^\mu dx^\nu.$$

At a given point P the manifold is flat, so:

$$g_{\mu\nu}(P) = \eta_{\mu\nu}.$$

A manifold with such a property is called *pseudo-Riemannian*. If $g_{\mu\nu}(P) = \delta_{\mu\nu}$ the manifold is called strictly *Riemannian*.

The basis is called *orthonormal* when $\tilde{e}^\mu \bullet \hat{e}_\nu = \eta^\mu_\nu$ at any given point P . Notice that since the tetrads are 4-dimensional we can write:

$$e_{\mu a}(x)e^a_\nu(x) = g_{\mu\nu}(x),$$

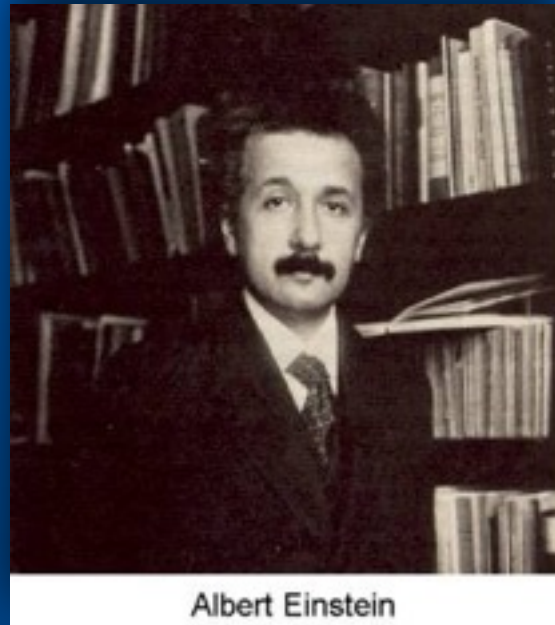
and

$$e_{\mu a}(P)e^a_\nu(P) = \eta_{\mu\nu}.$$

The tetrads can vary along a given world-line, but always satisfying

$$e_{\mu a}(\tau)e^a_\nu(\tau) = \eta_{\mu\nu}.$$

“The happiest thought of my life”



Albert Einstein

The key to relate space-time to gravitation is the *equivalence principle* introduced by Einstein (1907):

At every space-time point in an arbitrary gravitational field it is possible to choose a locally inertial coordinate system such that, within a sufficiently small region of the point in question, the laws of nature take the same form as in unaccelerated Cartesian coordinate systems in absence of gravitation (fromulation by Weinberg 1972).

Equivalence principle

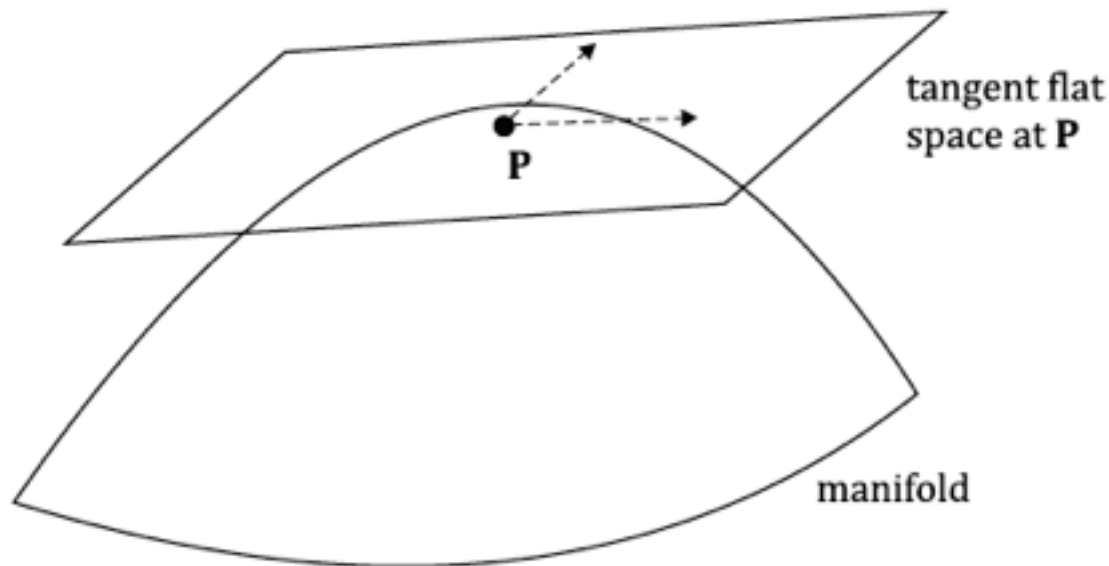
In an arbitrary spacetime it is always possible to find a reference system such that, locally, all laws of physics can be expressed in it as those valid for Minkowskian spacetime.



Pseudo-Riemannian spaces

In order to introduce gravitation in a general space-time we define a metric tensor $g_{\mu\nu}$, such that its components can be related to those of a locally Minkowski space-time defined by $ds^2 = \eta_{\alpha\beta} d\xi^\alpha d\xi^\beta$ through a general transformation:

$$ds^2 = \eta_{\alpha\beta} \frac{\partial \xi^\alpha}{\partial x^\mu} \frac{\partial \xi^\beta}{\partial x^\nu} dx^\mu dx^\nu = g_{\mu\nu} dx^\mu dx^\nu.$$



Pseudo-Riemannian spaces

$$\frac{d^2 \xi^\alpha}{ds^2} = 0, \quad \longrightarrow \quad \frac{d}{ds} \left(\frac{\partial \xi^\alpha}{\partial x^\mu} \frac{dx^\mu}{ds} \right) = 0$$
$$\frac{\partial \xi^\alpha}{\partial x^\mu} \frac{d^2 x^\mu}{ds^2} + \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu} \frac{dx^\mu}{ds} \frac{dx^\nu}{ds} = 0.$$

Now, multiplying at both sides by $\partial x^\lambda / \partial \xi^\alpha$ and using:

$$\frac{\partial \xi^\alpha}{\partial x^\mu} \frac{\partial x^\lambda}{\partial \xi^\alpha} = \delta_\mu^\lambda,$$

we get

$$\frac{d^2 x^\lambda}{ds^2} + \Gamma_{\mu\nu}^\lambda \frac{dx^\mu}{ds} \frac{dx^\nu}{ds} = 0,$$

where $\Gamma_{\mu\nu}^\lambda$ is the affine connection of the manifold:

$$\Gamma_{\mu\nu}^\lambda \equiv \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu}.$$

Geodesic equation

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu_{\nu\sigma} \frac{dx^\nu}{d\tau} \frac{dx^\sigma}{d\tau} = 0.$$

or

$$\ddot{x}^a + \Gamma^a_{bc} \dot{x}^b \dot{x}^c = 0.$$

If there are non-gravitational forces:

$$\ddot{x}^a + \Gamma^a_{bc} \dot{x}^b \dot{x}^c = -g^{ab} \partial_b V.$$

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma^\mu_{\nu\sigma} \frac{dx^\nu}{d\tau} \frac{dx^\sigma}{d\tau} = \frac{q}{m_0} F^\mu{}_\nu \frac{dx^\nu}{d\tau}.$$

$$\Gamma_{bc}^{'a} = \frac{\partial x^{'a}}{\partial x^d} \frac{\partial x^f}{\partial x^{'b}} \frac{\partial x^g}{\partial x^{'c}} \Gamma_{fg}^d - \frac{\partial x^d}{\partial x^{'b}} \frac{\partial x^f}{\partial x^{'c}} \frac{\partial^2 x^{'a}}{\partial x^d \partial x^f}.$$

It is not a tensor!

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{x}^a} \right) - \frac{\partial L}{\partial x^a} = 0.$$

$$L = g_{ab} \dot{x}^a \dot{x}^b,$$



$$\ddot{x}^a + \Gamma_{bc}^a \dot{x}^b \dot{x}^c = 0.$$

Derivatives

The usual derivative is not tensor

$$A'^{\mu}_{,v} = \frac{\partial}{\partial x'^v} \left(\frac{\partial x'^{\mu}}{\partial x^{\mu}} A^{\mu} \right) = \frac{\partial x'^{\mu}}{\partial x^{\mu}} \frac{\partial x^v}{\partial x'^v} A^{\mu}_{,v} + \frac{\partial^2 x'^{\mu}}{\partial x^{\mu} \partial x^v} \frac{\partial x^v}{\partial x'^v} A^{\mu}.$$



$$A_{\mu;v} = \frac{\partial A_{\mu}}{\partial x^v} - \Gamma_{\mu v}^{\lambda} A_{\lambda}.$$

$$\nabla_v A_{\mu} = \frac{\partial A_{\mu}}{\partial x^v} - \Gamma_{\mu v}^{\lambda} A_{\lambda}.$$

A covariant derivative of a vector field is a rank 2 tensor of type (1, 1). The covariant divergence of a vector field yields a scalar field:

$$\nabla_\mu A^\mu = \partial_\mu A^\mu(x) - \Gamma_{\alpha\mu}^\mu A^\alpha(x) = \phi(x).$$

A tangent vector satisfies $V^\nu V_{\nu;\mu} = 0$.

$$g_{\alpha\beta;\gamma} = 0.$$

$$V_{\alpha'} = g_{\alpha'\mu'} V^{\mu'},$$

$$V_{\alpha';\beta'} = g_{\alpha'\mu'} V^{\mu'}_{;\beta'}.$$

$$V_{\alpha';\beta'} = g_{\alpha'\mu';\beta'} V^{\mu'} + g_{\alpha'\mu'} V^{\mu'}_{;\beta'}.$$

$$g_{\alpha'\mu';\beta'} \equiv 0$$

Covariant derivative

The covariant derivative possesses the following properties:

1. Linearity: For constants a and b one has $\nabla_\mu (aA_{\dots} + bB_{\dots}) = a\nabla_\mu A_{\dots} + b\nabla_\mu B_{\dots}$.
2. *Leibnitz rule*: $\nabla_\mu (A_{\dots} B_{\dots}) = \nabla_\mu (A_{\dots}) B_{\dots} + A_{\dots} \nabla_\mu (B_{\dots})$.
3. Commutativity with contraction: $\nabla_\mu (A_{\dots\beta\dots}^{\dots\beta\dots}) = (\nabla_\mu A)_{\dots\beta\dots}^{\dots\beta\dots}$.
4. For a scalar field: $\nabla_\mu \varphi = \varphi_{,\mu}$.
5. Torsion free: $\nabla_\mu \nabla_\nu \varphi = \nabla_\nu \nabla_\mu \varphi$.
6. $\nabla_\mu g_{\alpha\beta} = 0$.

$$A_{\mu;v} = \frac{\partial A_{\mu}}{\partial x^v} - \Gamma_{\mu v}^{\lambda} A_{\lambda}.$$

$$g_{\alpha\beta;\gamma} = 0.$$

$$\Gamma_{\mu\nu}^{\lambda} = \Gamma_{\nu\mu}^{\lambda}$$



$$\Gamma^a_{bc} = \frac{1}{2} g^{ad} (\partial_b g_{dc} + \partial_c g_{bd} - \partial_d g_{bc}).$$

Killing vectors

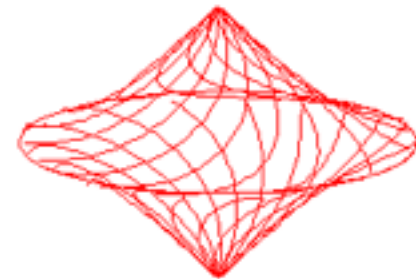
If there is a vector ζ_μ pointing in the direction of a symmetry of spacetime, then it can be shown that

$$\zeta_{\mu;\nu} + \zeta_{\nu;\mu} = 0,$$

$$\nabla_\nu \zeta_\mu + \nabla_\mu \zeta_\nu = 0.$$

ζ_μ is a Killing field:
represents a global
symmetry

ζ_μ ↑



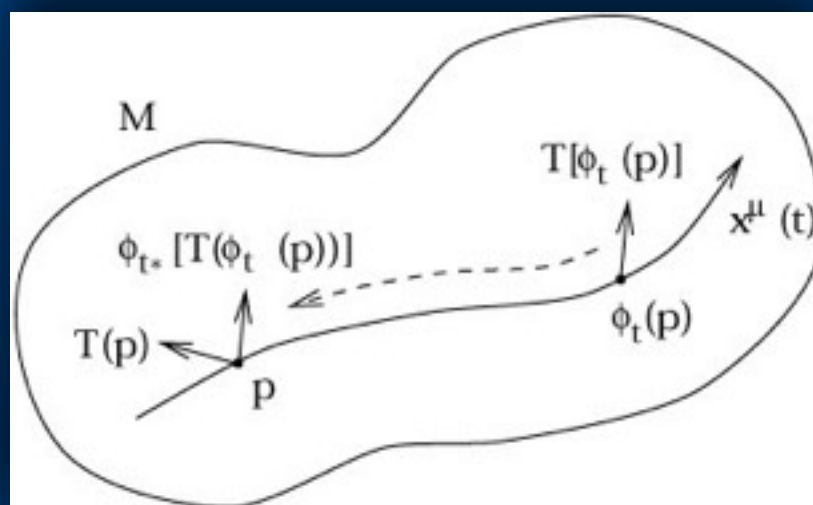
Lie derivatives

If there is a curve γ on the manifold, such that its tangent vector is $u^\alpha = dx^\alpha/d\lambda$ and a vector field A^α is defined in a neighborhood of γ , we can introduce a derivative of A^α along γ as

$$\ell_u A^\alpha = A^\alpha_{;\beta} u^\beta - u^\alpha_{;\beta} A^\beta = A^\alpha_{;\beta} u^\beta - u^\alpha_{;\beta} A^\beta.$$

This derivative is a tensor, and it is usually called *Lie derivative*. It can be defined for tensors of any type. A Killing vector field is such that

$$\ell_\zeta g_{\mu\nu} = 0.$$



$$0 = \mathcal{L}_\xi g_{\alpha\beta} = \xi_{\alpha;\beta} + \xi_{\beta;\alpha}.$$

The Lie derivative w.r.t. a Killing field annihilates the metric.

From the equation of motion

$$\Gamma_{i,j}^0 = 0, \quad \Gamma_{0,j}^i = 0, \quad \Gamma_{0,0}^i = \frac{\partial \Phi}{\partial x^i},$$

$$x^0 = ct = c\tau,$$

$$\frac{d^2 x^i}{d\tau^2} = -\frac{\partial \Phi}{\partial x^i}.$$

The metric represents the potential of the gravitational field
The connection the strength of of the field.

Summing up

$$\frac{d^2 x^\lambda}{ds^2} + \Gamma_{\mu\nu}^\lambda \frac{dx^\mu}{ds} \frac{dx^\nu}{ds} = 0,$$

$$\Gamma_{\mu\nu}^\lambda \equiv \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu}.$$

$$\Gamma_{\mu\nu}^\lambda = \frac{1}{2} g^{\lambda\alpha} (\partial_\mu g_{\nu\alpha} + \partial_\nu g_{\mu\alpha} - \partial_\alpha g_{\mu\nu}).$$

$$x^0 = ct = c\tau,$$

$$\frac{d^2 x^i}{d\tau^2} = -\frac{\partial \Phi}{\partial x^i}.$$

$$\Gamma_{i,j}^0 = 0, \quad \Gamma_{0,j}^i = 0, \quad \Gamma_{0,0}^i = \frac{\partial \Phi}{\partial x^i},$$

The affine connection represents the gravitational field.
The metric, the gravitational potential.

Pseudo-Riemannian spaces

The presence of gravity is indicated by the curvature of space-time. The Riemann tensor, or curvature tensor, provides a measure of this curvature:

$$R_{\mu\nu\lambda}^{\sigma} = \Gamma_{\mu\lambda, \nu}^{\sigma} - \Gamma_{\mu\nu, \lambda}^{\sigma} + \Gamma_{\alpha\nu}^{\sigma} \Gamma_{\mu\lambda}^{\alpha} - \Gamma_{\alpha\lambda}^{\sigma} \Gamma_{\mu\nu}^{\alpha}.$$

The form of the Riemann tensor for an affine-connected manifold can be obtained through a coordinate transformation that makes the affine connection vanish everywhere, i.e.

$$\overline{\Gamma}_{\mu\nu}^{\sigma}(\overline{x}) = 0, \quad \forall \overline{x}, \sigma, \mu, \nu.$$

The coordinate system \overline{x}^{μ} exists if

$$\Gamma_{\mu\lambda, \nu}^{\sigma} - \Gamma_{\mu\nu, \lambda}^{\sigma} + \Gamma_{\alpha\nu}^{\sigma} \Gamma_{\mu\lambda}^{\alpha} - \Gamma_{\alpha\lambda}^{\sigma} \Gamma_{\mu\nu}^{\alpha} = 0$$

The Ricci tensor is defined as

$$R_{\mu\nu} = g^{\lambda\sigma} R_{\lambda\mu\sigma\nu} = R^{\sigma}_{\mu\sigma\nu}.$$

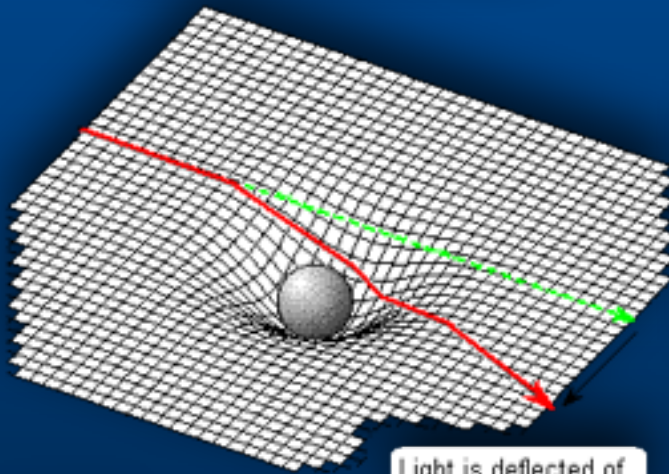
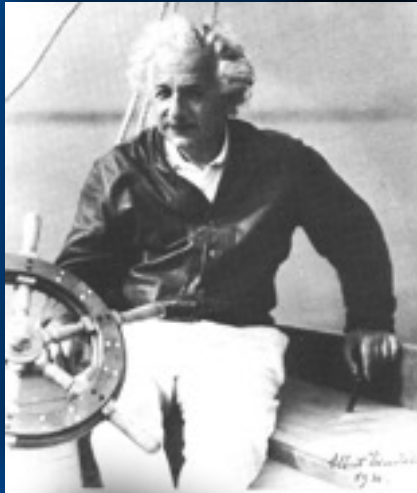
Finally, the Ricci scalar is

$$R = g^{\mu\nu} R_{\mu\nu}.$$

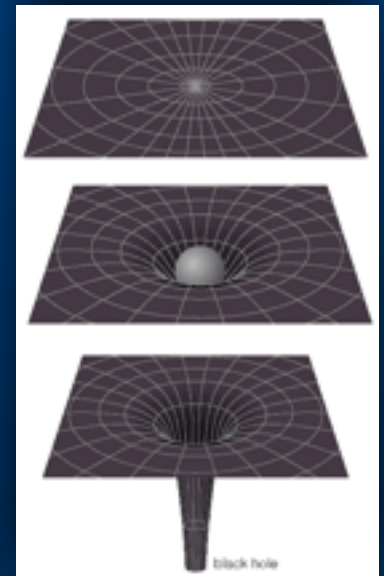
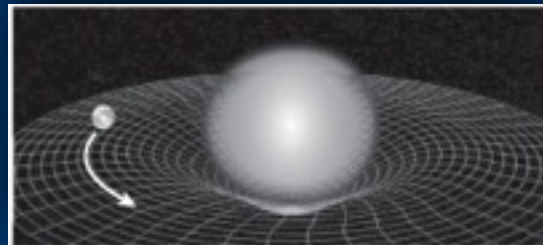
The source of curvature is the energy-momentum tensor that represents the physical properties of a material thing. For a perfect fluid:

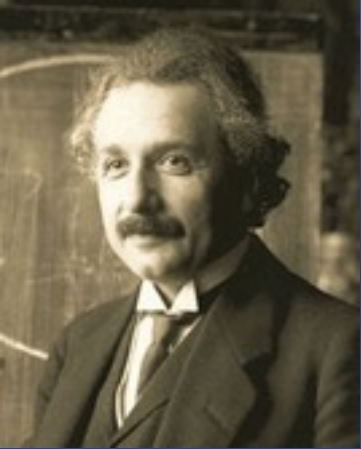
$$T_{\mu\nu} = (\rho + P)u_{\mu}u_{\nu} - Pg_{\mu\nu},$$

Towards General Relativity



Light is deflected of its original path



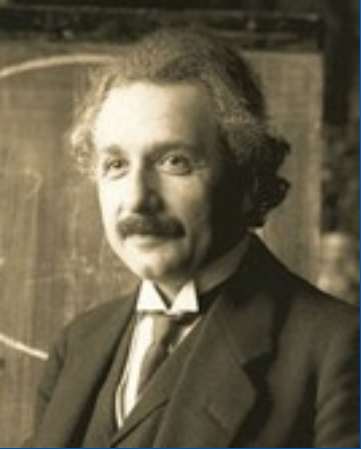


Einstein field equations

The field equations of General Relativity specify how the energy-momentum tensor is related to the curvature.

$$K_{\mu\nu} = \kappa T_{\mu\nu},$$

- (i) the Newtonian limit $\nabla^2\Phi = 4\pi G\rho$ suggests that it should contain terms no higher than linear in the second order derivatives of the metric tensor;
- (ii) since $T_{\mu\nu}$ is symmetric then $K_{\mu\nu}$ must be symmetric as well.

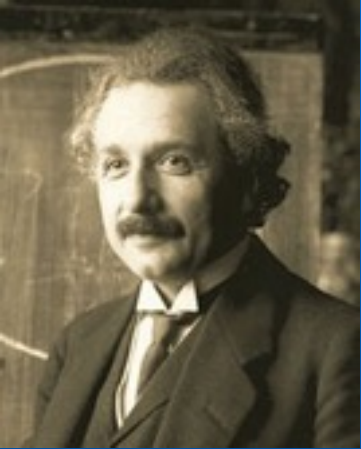


Einstein field equations

The field equations of General Relativity specify how the energy-momentum tensor is related to the curvature.

$$K_{\mu\nu} = aR_{\mu\nu} + bRg_{\mu\nu} + \lambda g_{\mu\nu},$$

$$K_{\mu\nu} = aR_{\mu\nu} + bRg_{\mu\nu}.$$



Einstein field equations

The conservation of energy-momentum requires that $T^{\mu\nu}_{;\mu} = 0$.

$$(aR^{\mu\nu} + bRg^{\mu\nu})_{;\mu} = 0.$$

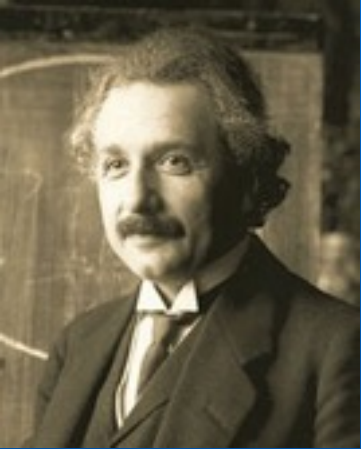
Bianchi's identities

$$\left(R^{\mu\nu} - \frac{1}{2}Rg^{\mu\nu}\right)_{;\mu} = 0.$$



$$b = -a/2 \text{ and } a = 1.$$

$$\left(R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}\right) = \kappa T_{\mu\nu}.$$



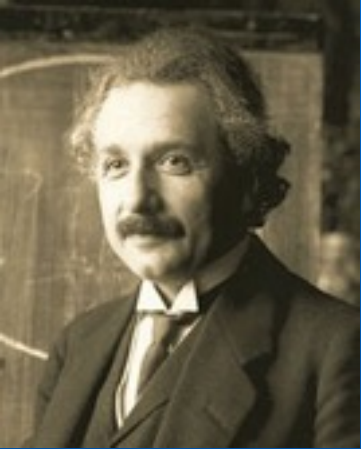
Einstein field equations

Comparing with the weak field limit:

$$\kappa = -8\pi G/c^4.$$

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = -\frac{8\pi G}{c^4}T_{\mu\nu}.$$

This is a set of ten non-linear partial differential equations for the metric coefficients. In Newtonian gravity, otherwise, there is only one gravitational field equation. General Relativity involves numerous non-linear differential equations.



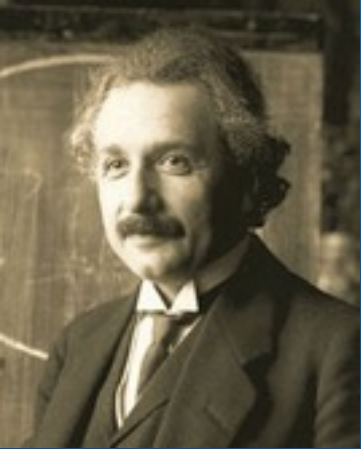
Einstein field equations

Einstein equations:

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

Einstein tensor
(describes curvature
of spacetime)

energy-momentum tensor
(describes distribution of
matter in the spacetime)

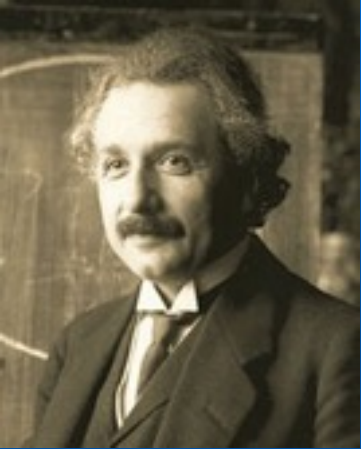


Einstein field equations

The conservation of mass-energy and momentum can be derived from the field equations:

$$T^{\mu\nu}_{;\nu} = 0 \quad \text{or} \quad \nabla_\nu T^{\mu\nu} = 0.$$

Contrary to classical electrodynamics, here the field equations entail the energy-momentum conservation and the equations of motion for free particles (i.e. for particles moving in the gravitational field, treated here as a background pseudo-Riemannian space-time).



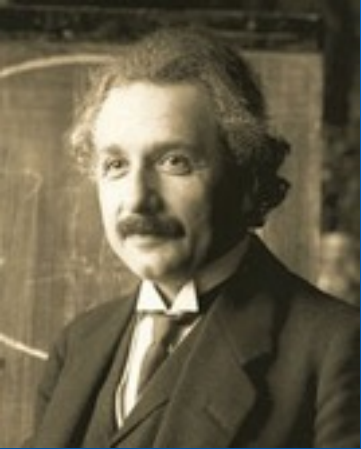
Einstein field equations

$$R^\mu_\nu - \frac{1}{2}\delta^\mu_\nu R = -\frac{8\pi G}{c^4} T^\mu_\nu.$$

$$R = -\frac{16\pi G}{c^4} T,$$

$$T = T^\mu_\mu.$$

$$R_{\mu\nu} = -\frac{8\pi G}{c^4} \left(T_{\mu\nu} - \frac{1}{2} T g_{\mu\nu} \right).$$



Einstein field equations

In vacuum

$$T_{\mu\nu} = 0$$

$$R_{\mu\nu} = 0,$$

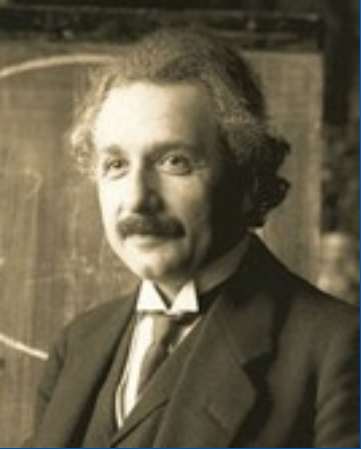
The Ricci tensor vanishes. The curvature tensor, which has 20 independent components, does not necessarily vanish. This means that a gravitational field can exist in empty space only if the dimensionality of space-time is 4 or higher. For spacetimes with lower dimensionality, the curvature tensor vanishes if $T_{\mu\nu} = 0$

Why spacetime is 4D?

$$R_{\mu\nu} = 0.$$

No. of spacetime dimensions	2	3	4
No. of field equations	3	6	10
No. of independent components of $R_{\mu\nu\sigma\rho}$	1	6	20

Gravitation in empty space can only exist if $n > 3$



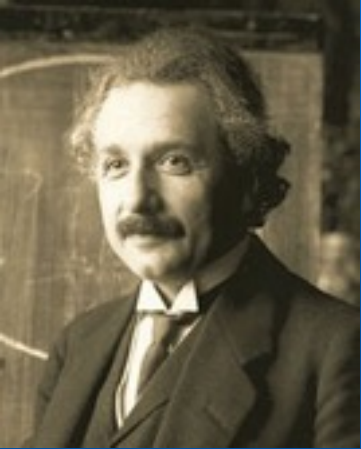
Einstein field equations with Λ

The field equations of General Relativity specify how the energy-momentum tensor is related to the curvature. They are ten non-linear differential equations for the metric coefficients.

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = -(8\pi G/c^4)T_{\mu\nu}.$$

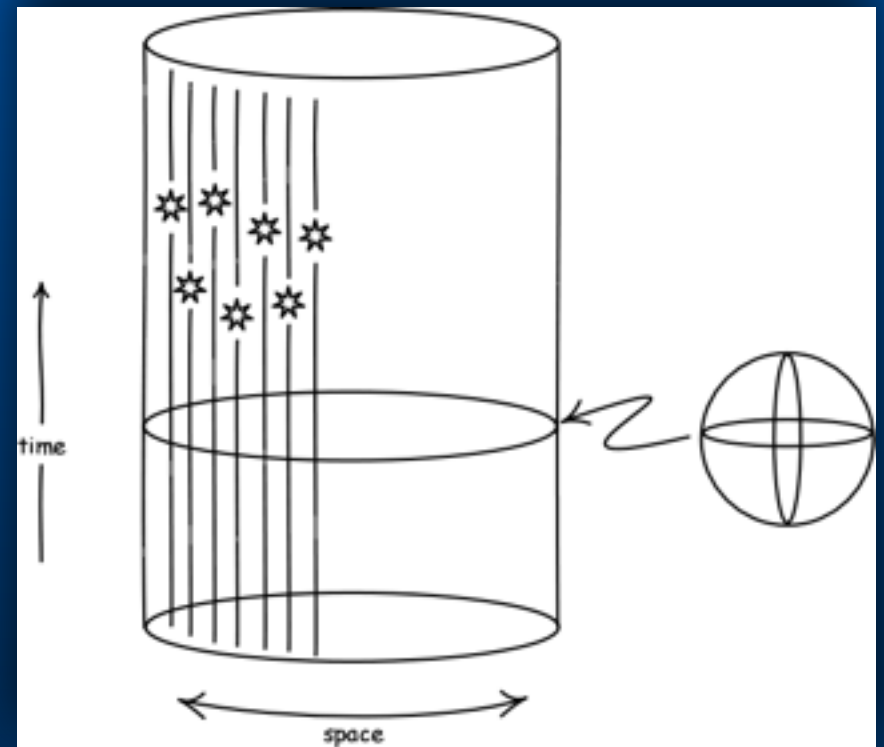
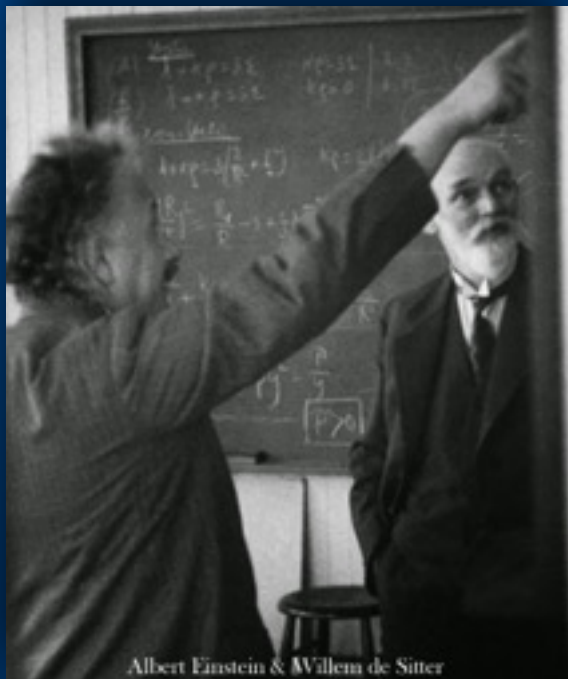
The set of equations is not unique: we can add any constant multiple of the metric tensor to the left member and still obtain a consistent set of equations:

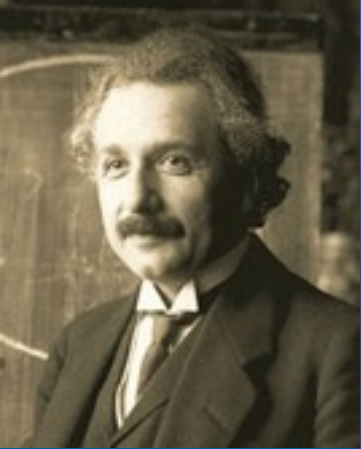
$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = -(8\pi G/c^4)T_{\mu\nu}.$$



Einstein field equations with Λ

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = -\frac{8\pi G}{c^4}T_{\mu\nu}.$$





Einstein field equations with Λ

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = -\frac{8\pi G}{c^4} T_{\mu\nu}.$$

$$T^{\mu\nu} = (\rho + p/c^2)u^\mu u^\nu - pg^{\mu\nu}.$$

If

$$T_{\mu\nu} = -P g_{\mu\nu} = \rho c^2 g_{\mu\nu}.$$



$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = -\frac{8\pi G}{c^4} (T_{\mu\nu} + T_{\mu\nu}^{\text{vac}}),$$

$$\rho_{\text{vac}}c^2 = \frac{\Lambda c^4}{8\pi G}.$$



Hilbert's way

$$S = \int_{t_1}^{t_2} L(q^a, \dot{q}^a, t) dt,$$

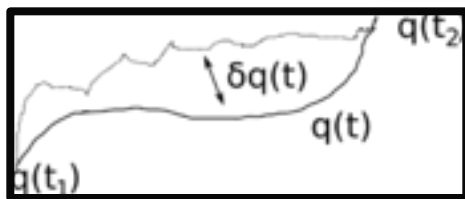
$$L = T - U = \frac{1}{2} m g_{ab} \dot{q}^a \dot{q}^b - U,$$

$$ds^2 = g_{ab} dq^a dq^b.$$

$$q^a(t) \rightarrow q'^a(t) = q^a(t) + \delta q^a(t),$$

$$\longrightarrow \delta S = 0$$

$$\delta q^a(t) = 0$$



$$\frac{\partial L}{\partial q^a} - \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}^a} \right) = 0, \quad a = 1, 2, \dots, n.$$



Hilbert's way

$$S = \int_{\mathcal{R}} \mathcal{L}(\Phi^a, \partial_\mu \Phi^a, \partial_\mu \partial_\nu \Phi^a, \dots) d^4x,$$

$$d^4x = dx^0 dx^1 dx^2 dx^3$$

$$\mathcal{L} = L \sqrt{-g}.$$

$$S = \int_{\mathcal{R}} L \sqrt{-g} d^4x,$$



Hilbert's way

$$\Phi^a(x) \rightarrow \Phi'^a(x) = \Phi^a + \delta\Phi^a(x).$$

$$\partial_\mu \Phi^a \rightarrow \partial_\mu \Phi'^a = \partial_\mu \Phi^a + \partial_\mu (\delta\Phi^a).$$

$$S \rightarrow S + \delta S$$

$$\delta S = \int_{\mathcal{R}} \delta \mathcal{L} d^4x = \int_{\mathcal{R}} \left[\frac{\partial \mathcal{L}}{\partial \Phi^a} \delta \Phi^a + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi^a)} \delta (\partial_\mu \Phi^a) \right] d^4x.$$



$$\frac{\delta \mathcal{L}}{\delta \Phi^a} = \frac{\partial \mathcal{L}}{\partial \Phi^a} - \partial_\mu \left[\frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi^a)} \right] = 0.$$

$$\mathcal{L} = R \sqrt{-g}.$$

$$S_{\text{EH}} = \int_{\mathcal{R}} R \sqrt{-g} d^4x.$$

Einstein-Hilbert action

$$g_{\mu\nu} \rightarrow g_{\mu\nu} + \delta g_{\mu\nu},$$

$$\delta S_{\text{EH}} = \int_{\mathcal{R}} \left(R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) \delta g^{\mu\nu} \sqrt{-g} d^4x.$$



$$\delta S_{\text{EH}} = \int_{\mathcal{R}} \left(R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) \delta g^{\mu\nu} \sqrt{-g} d^4x.$$

$$\delta S_{\text{EH}} = 0$$

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 0.$$

If there are non-gravitational fields present the action will have an additional component:

$$S = \frac{1}{2\kappa} S_{\text{EH}} + S_{\text{M}} = \int_{\mathcal{R}} \left(\frac{1}{2\kappa} \mathcal{L}_{\text{EH}} + \mathcal{L}_{\text{M}} \right) d^4x,$$



$$\frac{1}{2\kappa} \frac{\delta \mathcal{L}_{\text{EH}}}{\delta g^{\mu\nu}} + \frac{\delta \mathcal{L}_{\text{M}}}{\delta g^{\mu\nu}} = 0.$$

Since $\delta S_{\text{EH}} = 0$,

$$\frac{\delta \mathcal{L}_{\text{EH}}}{\delta g^{\mu\nu}} = \sqrt{-g} G_{\mu\nu}.$$

$$T_{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta \mathcal{L}_{\text{M}}}{\delta g^{\mu\nu}},$$

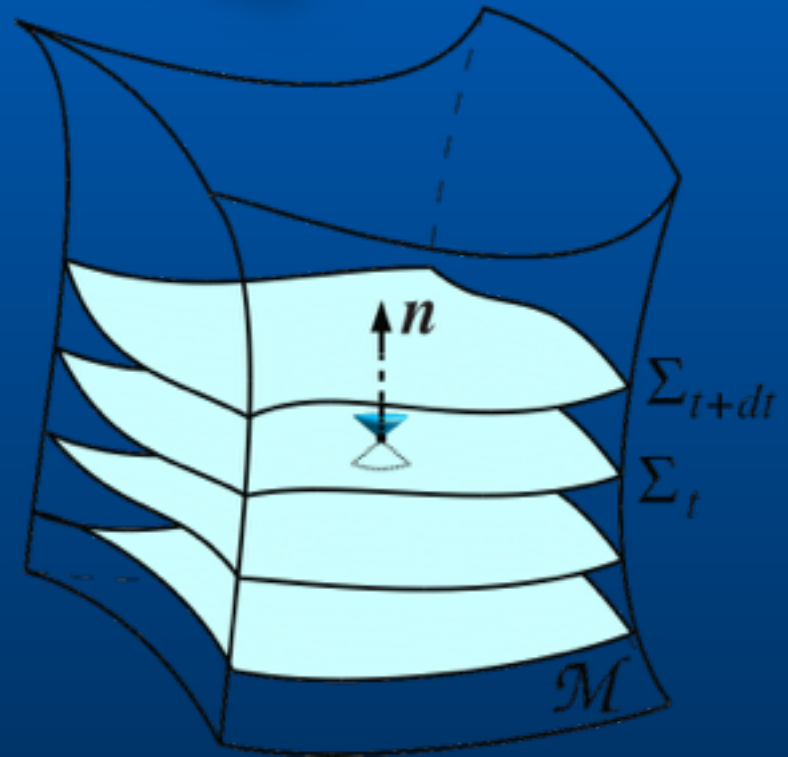


$$G_{\mu\nu} = -\frac{8\pi G}{c^4} T_{\mu\nu}.$$

The Cauchy problem in GR

Let us prescribe initial data $g_{\mu\nu}$ and $g_{\mu\nu,0}$ on S defined by $x_0/c=t$. The dynamical equations are the six equations defined by

$$G^{i,j} = -\frac{8\pi G}{c^4} T^{ij}.$$



The Cauchy problem in GR

When these equations are solved for the 10 second derivatives $\partial^2 g_{\mu\nu} / \partial (x^0)^2$, there appears a fourfold ambiguity, i.e. four derivatives are left indeterminate. In order to completely fix the metric it is necessary to impose four additional conditions. These conditions are usually imposed upon the affine connection:

$$\Gamma^\mu \equiv g^{\alpha\beta} \Gamma_{\alpha\beta}^\mu = 0.$$

The Cauchy problem in GR

The condition $\Gamma^\mu = 0$ implies $\square^2 x^\mu = 0$, so the coordinates are known as harmonic. With such conditions it can be shown the existence, uniqueness and stability of the solutions.

Conservation laws

Taking the covariant derivative to both sides of Einstein's equations and using Bianchi identities we get

$$\left(R^{\mu\nu} - \frac{1}{2}g^{\mu\nu}R\right)_{;\mu} = 0,$$



$$T^{\mu\nu}_{;\mu} = 0.$$

For a perfect fluid

$$T^{\mu\nu} = (\rho + p/c^2)u^\mu u^\nu - pg^{\mu\nu}.$$



$$\partial_\mu(\rho u^\mu) + (p/c^2)\partial_\mu u^\mu = 0.$$

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot (\rho \vec{u}) = 0,$$

Continuity

$$(\rho + p/c^2)(\partial_\mu u^\nu)u^\mu = (\eta^{\mu\nu} - u^\mu u^\nu/c^2)\partial_\mu p.$$

$$\rho \left(\frac{\partial}{\partial t} + \vec{u} \cdot \vec{\nabla} \right) \vec{u} = -\vec{\nabla} p,$$

Euler

Energy-momentum of gravitation

Because of the Equivalence Principle, it is always possible to choose a coordinate system where the gravitational field locally vanishes. Hence, its local energy is zero.

We can then define a quasi-tensor for the energy-momentum of gravity. Quasi-tensors are objects that under *global* linear transformations behave like tensors.

$$\Theta^{\mu\nu}{}_{, \nu} = 0.$$

$$\Theta^{\mu\nu} = \sqrt{-g} \left(T^{\mu\nu} + t^{\mu\nu} \right) = \Lambda^{\mu\nu\alpha}{}_{, \alpha} .$$

Since $t_{\mu\nu}$ can be interpreted as the contribution of gravitation to the quasi-tensor $\Theta_{\mu\nu}$, we can expect that it should be expressed in geometric terms only, i.e. as a function of the affine connection and the metric. Landau and Lifshitz (1962) found an expression for $t_{\mu\nu}$ that contains only first derivatives and is symmetric:

$$t^{\mu\nu} = \frac{c^4}{16\pi G} \left[(2\Gamma_{\rho\eta}^{\sigma} \Gamma_{\sigma\gamma}^{\gamma} - \Gamma_{\rho\gamma}^{\sigma} \Gamma_{\eta\sigma}^{\gamma} - \Gamma_{\rho\sigma}^{\sigma} \Gamma_{\eta\gamma}^{\gamma}) (g^{\mu\rho} g^{v\eta} - g^{\mu\nu} g^{\rho\eta}) \right. \\ + g^{\mu\rho} g^{\eta\sigma} (\Gamma_{\rho\gamma}^v \Gamma_{\eta\sigma}^{\gamma} + \Gamma_{\eta\sigma}^v \Gamma_{\rho\gamma}^{\gamma} + \Gamma_{\sigma\gamma}^v \Gamma_{\rho\eta}^{\gamma} + \Gamma_{\rho\eta}^v \Gamma_{\sigma\gamma}^{\gamma}) \\ + g^{v\rho} g^{\eta\sigma} (\Gamma_{\rho\gamma}^{\mu} \Gamma_{\eta\sigma}^{\gamma} + \Gamma_{\eta\sigma}^{\mu} \Gamma_{\rho\gamma}^{\gamma} + \Gamma_{\sigma\gamma}^{\mu} \Gamma_{\rho\eta}^{\gamma} + \Gamma_{\rho\eta}^{\mu} \Gamma_{\sigma\gamma}^{\gamma}) \\ \left. + g^{\rho\eta} g^{\sigma\gamma} (\Gamma_{\rho\sigma}^{\mu} \Gamma_{\eta\gamma}^v - \Gamma_{\rho\eta}^{\mu} \Gamma_{\sigma\gamma}^v) \right].$$

It is possible to find in a curved spacetime a reference system such that locally $t_{\mu\nu} = 0$. Similarly, an adequate choice of curvilinear coordinates in a flat space-time can yield non-vanishing values for the components of $t_{\mu\nu}$. We infer from this that the energy of the gravitational field is a global property in GR, not a local one.



The Weyl tensor

The Weyl curvature tensor is the traceless component of the curvature (Riemann) tensor. In other words, it is a tensor that has the same symmetries as the Riemann tensor with the extra condition that metric contraction yields zero.

$$C_{abcd} = R_{abcd} + \frac{2}{n-2}(g_{a[c}R_{d]b} - g_{b[c}R_{d]a}) + \frac{2}{(n-1)(n-2)}R g_{a[c}g_{d]b},$$



The Weyl tensor

In 4 dimensions

$$C_{abcd} = R_{abcd} + \frac{1}{2}(g_{ac}R_{db} - g_{bc}R_{da} - g_{ad}R_{cb} + g_{bd}R_{ca}) \\ + \frac{1}{6}(g_{ac}g_{db} - g_{ad}g_{cb})R.$$

$$C^a_{bad} \equiv 0.$$



The Weyl tensor

In 3 or less dimensions $C_{abcd}=0$

$$C^a_{bad} \equiv 0.$$

Two metrics that are *conformally related* to each other, i.e.

$$\bar{g}_{ab} = \Omega^2 g_{ab},$$



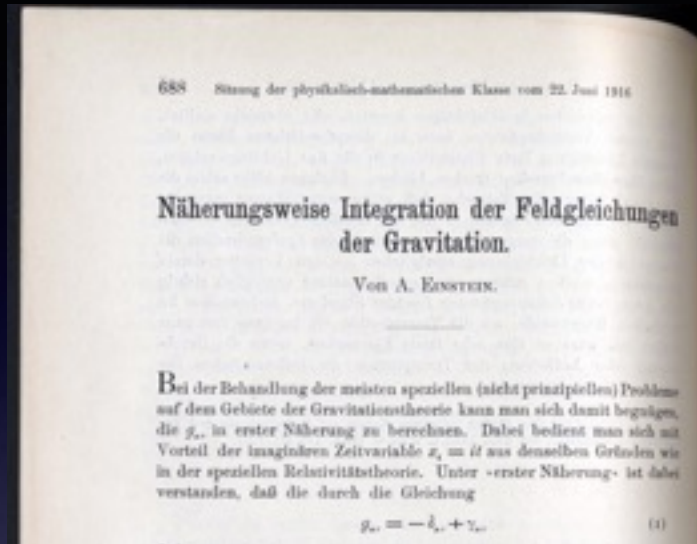
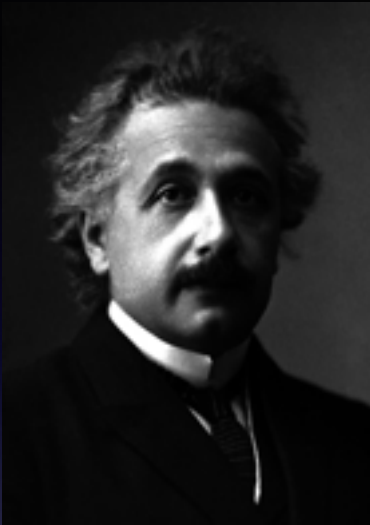
The Weyl tensor

The absence of structure in space-time (i.e. spatial isotropy and hence no gravitational principal null-directions) corresponds to the absence of Weyl conformal curvature:

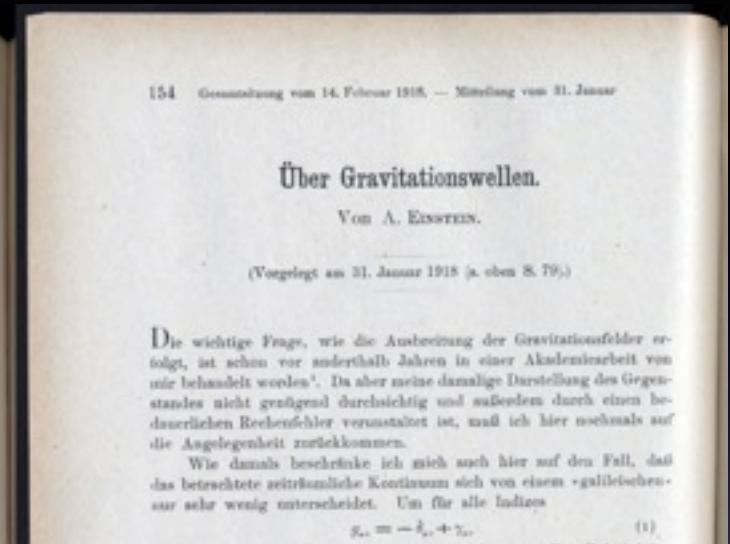
$$C^2 = C_{abcd}C^{abcd} = 0.$$

When clumping takes place, the structure is characterized by a non-zero Weyl curvature.

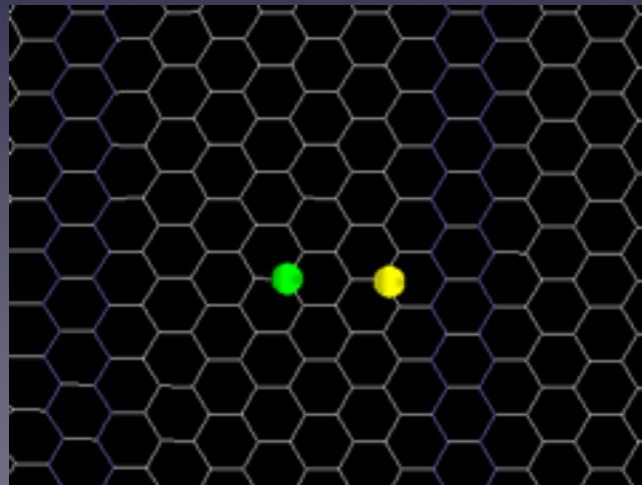
Two seminal papers



1916



1918



GWs in linear gravity

- We consider **weak** gravitational fields:

$$g_{\mu\nu} \approx \underset{\substack{\uparrow \\ \text{flat Minkowski metric}}}{\eta_{\mu\nu}} + h_{\mu\nu} + \mathcal{O}(h_{\mu\nu}^2)$$

- The GR field equations in vacuum reduce to the standard **wave equation**:

$$\left(\frac{\partial^2}{\partial t^2} - \nabla^2 \right) h^{\mu\nu} = \square h^{\mu\nu} = 0$$

- Comment: GR gravity like electromagnetism has a “**gauge**” freedom associated with the choice of coordinate system. The above equation applies in the so-called “**transverse-traceless (TT)**” gauge where

$$h_{0\mu} = 0, \quad h^\mu{}_\mu = 0$$

Wave solutions

- Solving the previous wave equation in weak gravity is easy. The solutions represent “plane waves”:

$$h_{\mu\nu} = A_{\mu\nu} e^{ik_a x^a}$$

↑
wave-vector

- Basic properties: $A_{\mu\nu} k^\mu = 0$, $k_a k^a = 0$

↑
transverse waves

↑
null vector = propagation along light rays

- Amplitude: $A^{\mu\nu} = h_+ e_+^{\mu\nu} + h_\times e_\times^{\mu\nu}$

↑
two polarizations

$$e_+^{\mu\nu} \equiv \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$e_\times^{\mu\nu} \equiv \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

GWs: more properties

- EM waves: at lowest order the radiation can be emitted by a dipole source ($l=1$). Monopolar radiation is forbidden as a result of charge conservation.
- GWs: the lowest allowed multipole is the **quadrupole** ($l=2$). The monopole is forbidden as a result of mass conservation. Similarly, dipole radiation is absent as a result of momentum conservation.
- GWs represents propagating “ripples in spacetime” or, more accurately, a **propagating curvature perturbation**. The perturbed curvature (Riemann tensor) is given by (in the TT gauge):

$$R_{j0k0}^{\text{TT}} = -\frac{1}{2} \partial_t^2 h_{jk}^{\text{TT}}, \quad j, k = 1, 2, 3$$

The quadrupole formula

- Einstein (1918) derived the quadrupole formula for gravitational radiation by solving the linearized field equations with a source term:

$$\square h^{\mu\nu}(t, \vec{x}) = -\kappa T^{\mu\nu}(t, \vec{x}) \longrightarrow h^{\mu\nu} = -\frac{\kappa}{4\pi} \int_V d^3x' \frac{T^{\mu\nu}(t - |\vec{x} - \vec{x}'|, \vec{x}')}{|\vec{x} - \vec{x}'|}$$

- This solution suggests that the wave amplitude is proportional to the **second time derivative of the quadrupole** moment of the source:

$$h^{\mu\nu} = \frac{2}{r} \frac{G}{c^4} \ddot{Q}_{\text{TT}}^{\mu\nu}(t - r/c) \qquad Q_{\text{TT}}^{\mu\nu} = \int d^3x \rho \left(x^\mu x^\nu - \frac{1}{3} \delta^{\mu\nu} r^2 \right)$$

(quadrupole moment in the “TT gauge” and at the retarded time $t-r/c$)

- This result is quite accurate for all sources, **as long as the wavelength is much longer than the source size R .**

GW luminosity

- **GWs carry energy.** The stress-energy carried by GWs cannot be localized within a wavelength. Instead, one can say that a certain amount of stress-energy is contained in a region of the space which extends over several wavelengths. The **stress-energy tensor** can be written as:

$$T_{\mu\nu}^{\text{GW}} = \frac{c^4}{32\pi G} \langle \partial_\mu h_{ij}^{\text{TT}} \partial_\nu h_{\text{TT}}^{ij} \rangle$$

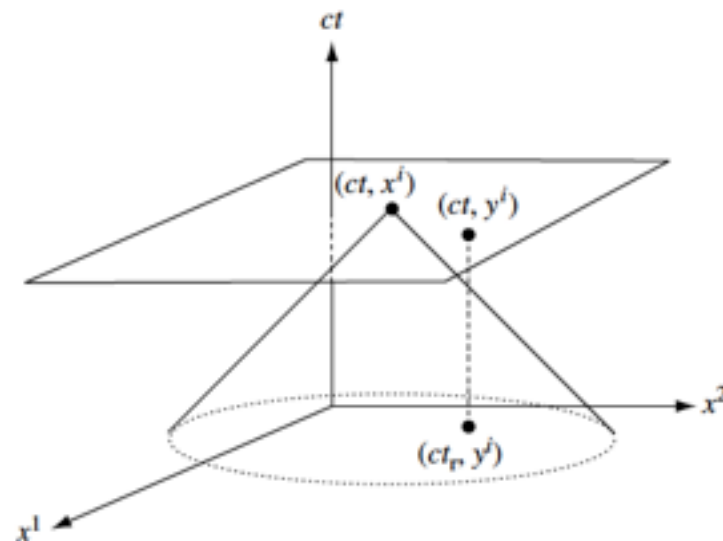
- Using the previous quadrupole formula we obtain the **GW luminosity**:

$$L_{\text{GW}} = \frac{dE_{\text{GW}}}{dt} = \int dA T_{0j}^{\text{GW}} \hat{n}^j \quad \longrightarrow \quad L_{\text{GW}} = \frac{1}{5} \frac{G}{c^5} \langle \ddot{Q}_{\mu\nu}^{\text{TT}} \ddot{Q}_{\text{TT}}^{\mu\nu} \rangle$$

$$\square^2 \bar{h}^{\mu\nu} = -2\kappa T^{\mu\nu},$$

$$\partial_\mu \bar{h}^{\mu\nu} = 0.$$

$$\bar{h}^{\mu\nu}(ct, \vec{x}) = -\frac{4G}{c^4} \int \frac{T^{\mu\nu}(ct - |\vec{x} - \vec{y}|, \vec{y})}{|\vec{x} - \vec{y}|} d^3\vec{y}.$$



$$\begin{aligned}\frac{1}{|\vec{x}-\vec{y}|} &= \frac{1}{r} + (-y^i)\partial_i\left(\frac{1}{r}\right) + \frac{1}{2!}(-y^i)(-y^j)\partial_i\partial_j\left(\frac{1}{r}\right) + \dots, \\ &= \frac{1}{r} + y^i\frac{x_i}{r^3} + y^iy^j\left(\frac{3x_ix_j - r^2\delta_{ij}}{r^5}\right) + \dots,\end{aligned}$$

$$\begin{aligned}\bar{h}^{\mu\nu}(ct, \vec{x}) &= -\frac{4G}{c^4}\left[\frac{1}{r}\int T^{\mu\nu}(ct_{\text{r}}, \vec{y})d^3\vec{y} + \frac{x_i}{r^3}\int T^{\mu\nu}(ct_{\text{r}}, \vec{y})y^i d^3\vec{y}\right. \\ &\quad \left.+ \frac{3x_ix_j - r^2\delta_{ij}}{r^5}\int T^{\mu\nu}(ct_{\text{r}}, \vec{y})y^iy^j d^3\vec{y} + \dots\right],\end{aligned}$$

Multipolar expansion

$$\bar{h}^{\mu\nu}(ct, \vec{x}) = -\frac{4G}{c^4} \sum_{\ell=0}^{\infty} \frac{(-1)^\ell}{\ell!} M^{\mu\nu i_1 i_2 \dots i_\ell}(ct_r) \partial_{i_1} \partial_{i_2} \dots \partial_{i_\ell} \left(\frac{1}{r} \right),$$

$$M^{\mu\nu i_1 i_2 \dots i_\ell}(ct) = \int T^{\mu\nu}(ct, \vec{y}) y^{i_1} y^{i_2} \dots y^{i_\ell} d^3 \vec{y}.$$

Compact source approximation

$$\bar{h}^{\mu\nu}(ct, \vec{x}) = -\frac{4G}{c^4 r} \int T^{\mu\nu}(ct - r, \vec{y}) d^3\vec{y}.$$

$\int T^{00} d^3\vec{y}$, total energy of source particles (including rest mass energy) $\equiv Mc^2$;
 $\int T^{0i} d^3\vec{y}$, $c \times$ total momentum of source particles in the x^i -direction $\equiv P^i c$;
 $\int T^{ij} d^3\vec{y}$, integrated internal stresses in the source.

$$\begin{aligned}\partial_0 T^{00} + \partial_k T^{0k} &= 0, \\ \partial_0 T^{i0} + \partial_k T^{ik} &= 0.\end{aligned}$$



$$\bar{h}^{00} = -\frac{4GM}{c^2 r}, \quad \bar{h}^{i0} = \bar{h}^{0i} = 0.$$

$$\bar{h}^{ij}(ct, \vec{x}) = -\frac{2G}{c^6 r} \left[\frac{d^2 I^{ij}(ct')}{dt'^2} \right]_r,$$

$$I^{ij}(ct) = \int T^{00}(ct, \vec{y}) y^i y^j d^3\vec{y},$$

Quadrupole-moment tensor of the energy density of the source

$$G_{\mu\nu}^{(1)} = -\frac{8\pi G}{c^4}(T_{\mu\nu} + t_{\mu\nu}),$$

$$G_{\mu\nu}^{(1)} + \frac{8\pi G}{c^4}t_{\mu\nu} = -\frac{8\pi G}{c^4}T_{\mu\nu}.$$

$$G_{\mu\nu} \equiv G_{\mu\nu}^{(1)} + G_{\mu\nu}^{(2)} + \dots = -\frac{8\pi G}{c^4}T_{\mu\nu},$$

This suggests that, to a good approximation, we should make the identification:

$$t_{\mu\nu} \equiv \frac{c^4}{8\pi G}G_{\mu\nu}^{(2)}.$$

$$G_{\mu\nu}^{(2)} = R_{\mu\nu}^{(2)} - \frac{1}{2}\eta_{\mu\nu}R^{(2)} - \frac{1}{2}h_{\mu\nu}R^{(1)} + \frac{1}{2}\eta_{\mu\nu}h^{\rho\sigma}R_{\rho\sigma}^{(1)},$$

$$t_{\mu\nu} \equiv \frac{c^4}{8\pi G} \left\langle G_{\mu\nu}^{(2)} \right\rangle.$$

$$R_{\mu\nu}^{(2)} = \partial_\nu \Gamma^{(2)\sigma}_{\mu\sigma} - \partial_\sigma \Gamma^{(2)\sigma}_{\mu\nu} + \Gamma^{(1)\rho}_{\mu\sigma} \Gamma^{(1)\sigma}_{\rho\nu} - \Gamma^{(1)\rho}_{\mu\nu} \Gamma^{(1)\sigma}_{\rho\sigma},$$

$$\begin{aligned} \Gamma^\sigma_{\mu\nu} &= \Gamma^{(1)\sigma}_{\mu\nu} + \Gamma^{(2)\sigma}_{\mu\nu} + \dots \\ &= \frac{1}{2}(\partial_\nu h^\sigma_\mu + \partial_\mu h^\sigma_\nu - \partial^\sigma h_{\mu\nu}) - \frac{1}{2}h^{\sigma\tau}(\partial_\nu h_{\tau\mu} + \partial_\mu h_{\tau\nu} - \partial_\tau h_{\mu\nu}) + \dots. \end{aligned}$$

$$R_{\mu\nu}^{(2)} = -\frac{1}{4}(\partial_\mu h^{\rho\sigma})\partial_\nu h_{\rho\sigma} + \frac{1}{2}h^{\rho\sigma}(\partial_\mu\partial_\sigma h_{\nu\rho} + \partial_\nu\partial_\sigma h_{\mu\rho} - \partial_\mu\partial_\nu h_{\rho\sigma} - \partial_\rho\partial_\sigma h_{\mu\nu}) \\ + \frac{1}{2}(\partial^\sigma h^\rho_\nu)(\partial_\rho h_{\sigma\mu} - \partial_\sigma h_{\rho\mu}) + \frac{1}{2}(\partial_\sigma h^{\rho\sigma} - \frac{1}{2}\partial^\rho h)(\partial_\mu h_{\nu\rho} + \partial_\nu h_{\mu\rho} - \partial_\rho h_{\mu\nu}).$$

$$\bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2}\eta_{\mu\nu}h$$

$$t_{\mu\nu} = \frac{c^4}{32\pi G} \langle (\partial_\mu \bar{h}_{\rho\sigma}) \partial_\nu \bar{h}^{\rho\sigma} - 2(\partial_\sigma \bar{h}^{\rho\sigma}) \partial_{(\mu} \bar{h}_{\nu)\rho} - \frac{1}{2}(\partial_\mu \bar{h}) \partial_\nu \bar{h} \rangle,$$

$$t_{\mu\nu} = \frac{c^4}{32\pi G} \langle (\partial_\mu h^{\text{TT}}_{\rho\sigma}) \partial_\nu h^{\rho\sigma}_{\text{TT}} \rangle.$$

$$A_{\text{TT}}^{00} = 0 \quad \text{and} \quad A_{\text{TT}}^{ij} k_j = 0.$$

$$t_{\mu\nu} = \frac{c^4}{32\pi G} \left\langle (\partial_\mu h_{ij}^{\text{TT}}) \partial_\nu h_{\text{TT}}^{ij} \right\rangle.$$

$$F(\vec{n}) = -ct^{0k}n_k,$$

$$h_{\text{TT}}^{ij} = A_{\text{TT}}^{ij} \cos k_\lambda x^\lambda,$$

$$\langle \sin^2(k_\lambda x^\lambda) \rangle = \frac{1}{2}$$

$$t_{\mu\nu} = \frac{c^4}{64\pi G} k_\mu k_\nu A_{\text{TT}}^{ij} A_{ij}^{\text{TT}}.$$

$$k^0 = |\vec{k}| = -k^l \hat{k}_l,$$

$$F = -ct^{0l} \hat{k}_l = -\frac{c^5}{64\pi G} k^0 k^l \hat{k}_l A_{\text{TT}}^{ij} A_{ij}^{\text{TT}} = \frac{c^5}{64\pi G} k^0 k^0 A_{\text{TT}}^{ij} A_{ij}^{\text{TT}} = ct^{00}$$

The final expression is simply the energy density associated with the plane wave multiplied by its speed, and hence makes good physical sense as the energy flux carried by the wave in its direction of propagation.

$$\frac{dE}{dt} = -L_{\text{GW}} = -r^2 \int_{4\pi} F(\vec{e}_r) d\Omega,$$

$$F(\vec{n}) = -\frac{c^4}{32\pi G} \left\langle (\partial_t h_{ij}^{\text{TT}}) (\partial_k h_{\text{TT}}^{ij}) \right\rangle n^k$$

$$\bar{h}^{ij} = -\frac{2G}{c^6 r} [\ddot{I}^{ij}]_r,$$

$$J_{ij} = I_{ij} - \frac{1}{3} \delta_{ij} I,$$

$$F(\vec{e}_r) = \frac{G}{8\pi r^2 c^9} \left\langle \left[\ddot{J}_{ij}^{\text{TT}} \ddot{J}_{\text{TT}}^{ij} \right]_{\text{r}} \right\rangle.$$



$$\frac{dE}{dt} = -L_{\text{GW}} = -\frac{G}{5c^9} \left\langle \left[\ddot{J}_{ij} \ddot{J}^{ij} \right]_{\text{r}} \right\rangle.$$

Basic estimates

- Another estimate for the GW amplitude can be derived from the flux formula

$$F_{\text{GW}} = \frac{L_{\text{GW}}}{4\pi r^2} = \frac{c^3}{16\pi G} |\partial_t h|^2$$

- We obtain:

$$h \approx 10^{-22} \left(\frac{E_{\text{GW}}}{10^{-4} M_{\odot}} \right)^{1/2} \left(\frac{1 \text{ kHz}}{f_{\text{GW}}} \right) \left(\frac{\tau}{1 \text{ ms}} \right)^{-1/2} \left(\frac{15 \text{ Mpc}}{r} \right)$$

for example, this formula could describe the GW strain from a supernova explosion at the Virgo cluster during which the energy E_{GW} is released in GWs at a frequency of **1 kHz**, and with signal duration of the order of **1 ms**.

- This is why **GWs are hard to detect**: for a GW detector with arm length of $l = 4 \text{ km}$ we are looking for changes in the arm-length of the order of

$$\Delta l = hl = 4 \times 10^{-17} \text{ cm} \quad !!$$

$$r_{\text{p}} = 8,4184(67) \times 10^{-14} \text{ cm}$$

Basic estimates (I)

- The quadrupole moment of a system is approximately equal to the mass M of the part of the system that moves, times the square of the size R of the system. This means that the 3rd-order time derivative of the quadrupole moment is:

$$\ddot{Q} \sim \frac{MR^2}{T^3} \sim \frac{Mv^2}{T} \sim \frac{E_{\text{ns}}}{T}$$

v = mean velocity of source's non-spherical motion,

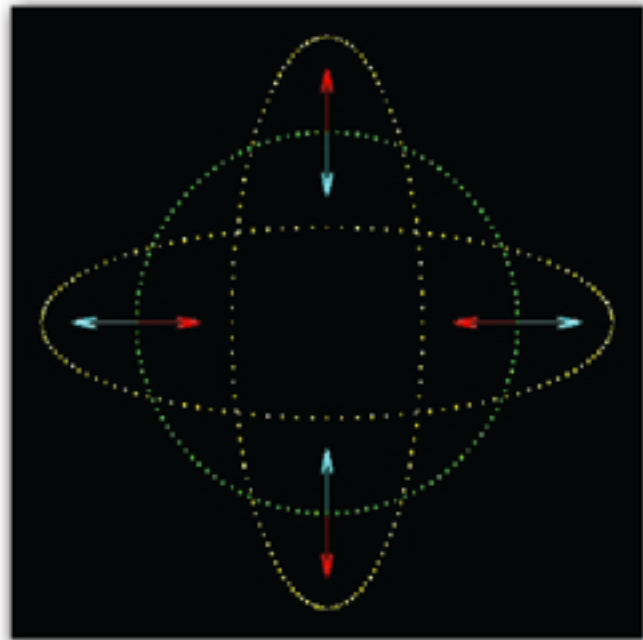
E_{ns} = kinetic energy of non-spherical motion

T = timescale for a mass to move from one side of the system to the other.

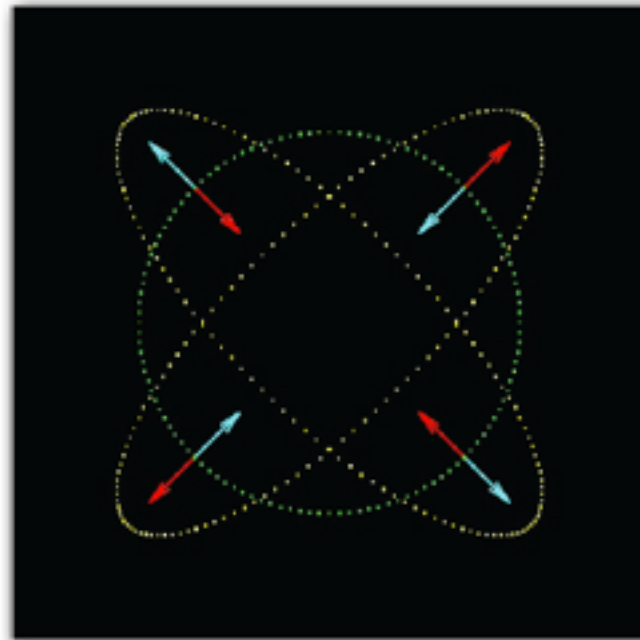
- For a self gravitating system: $T \sim \sqrt{R^3/GM}$
- This relation provides a rough estimate of the characteristic frequency of the system $f \sim 2\pi/T$.

GWs: polarization

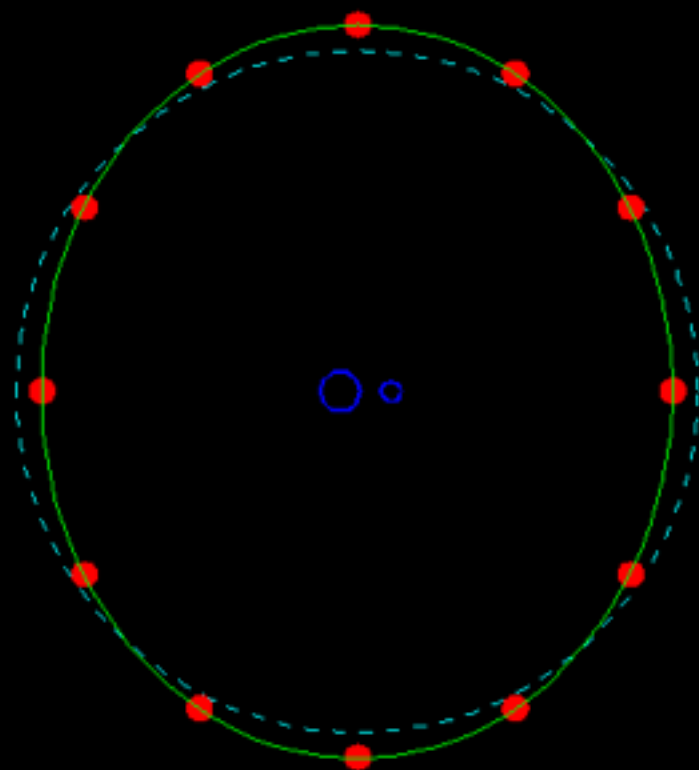
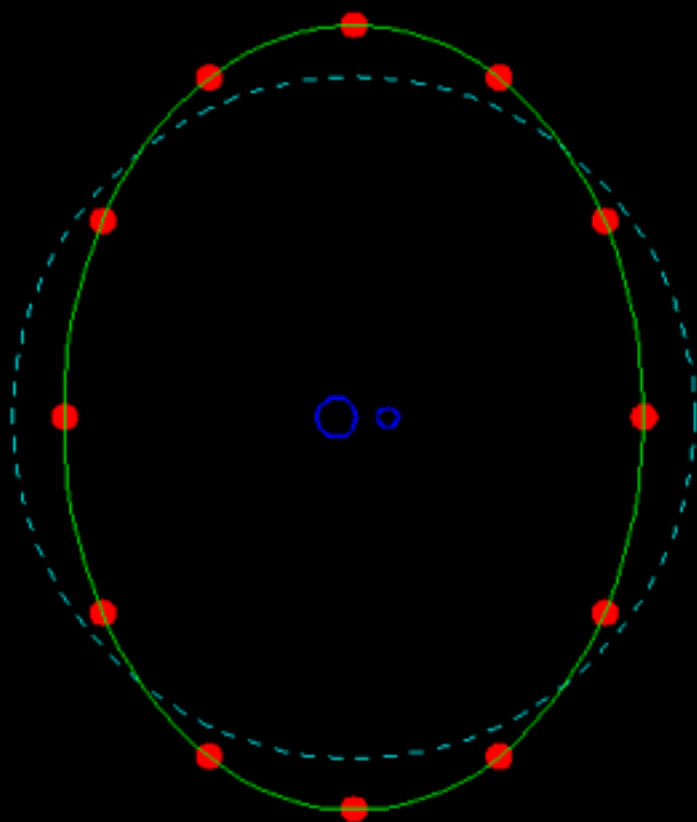
- GWs come in two polarizations:

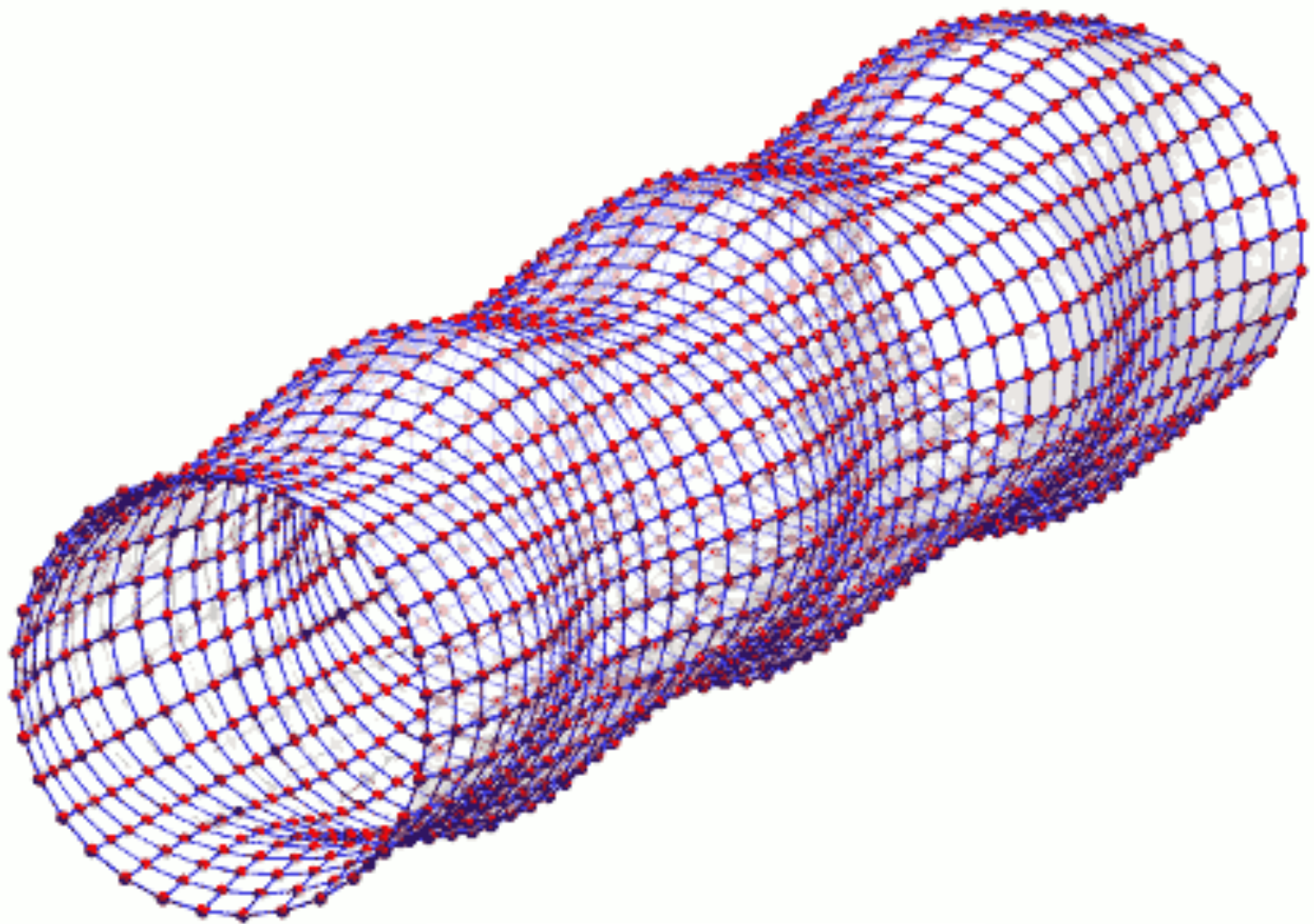


“+” polarization



“x” polarization

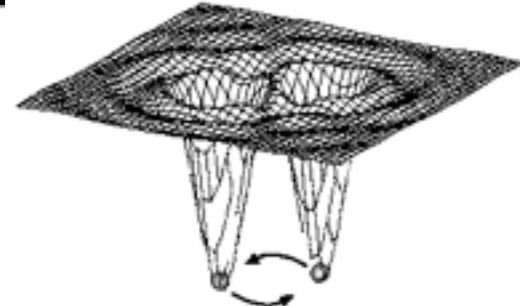




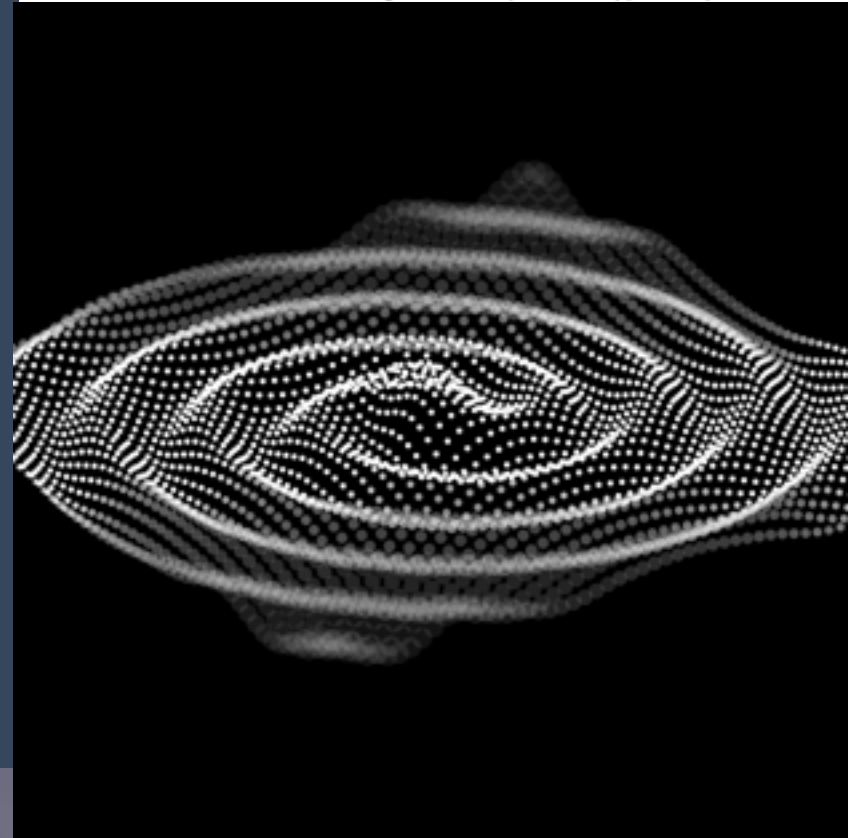
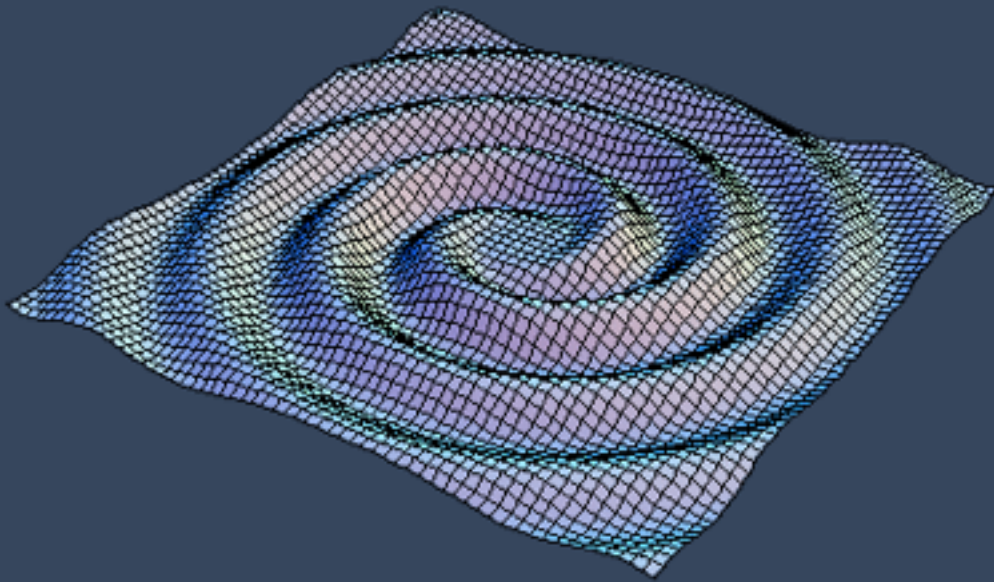
+ waves

GWs and curvature

- As we mentioned, GWs represent a fluctuating curvature field.



A binary system of compact massive objects rapidly orbiting each other produces ripples in spacetime.



GWs vs EM waves

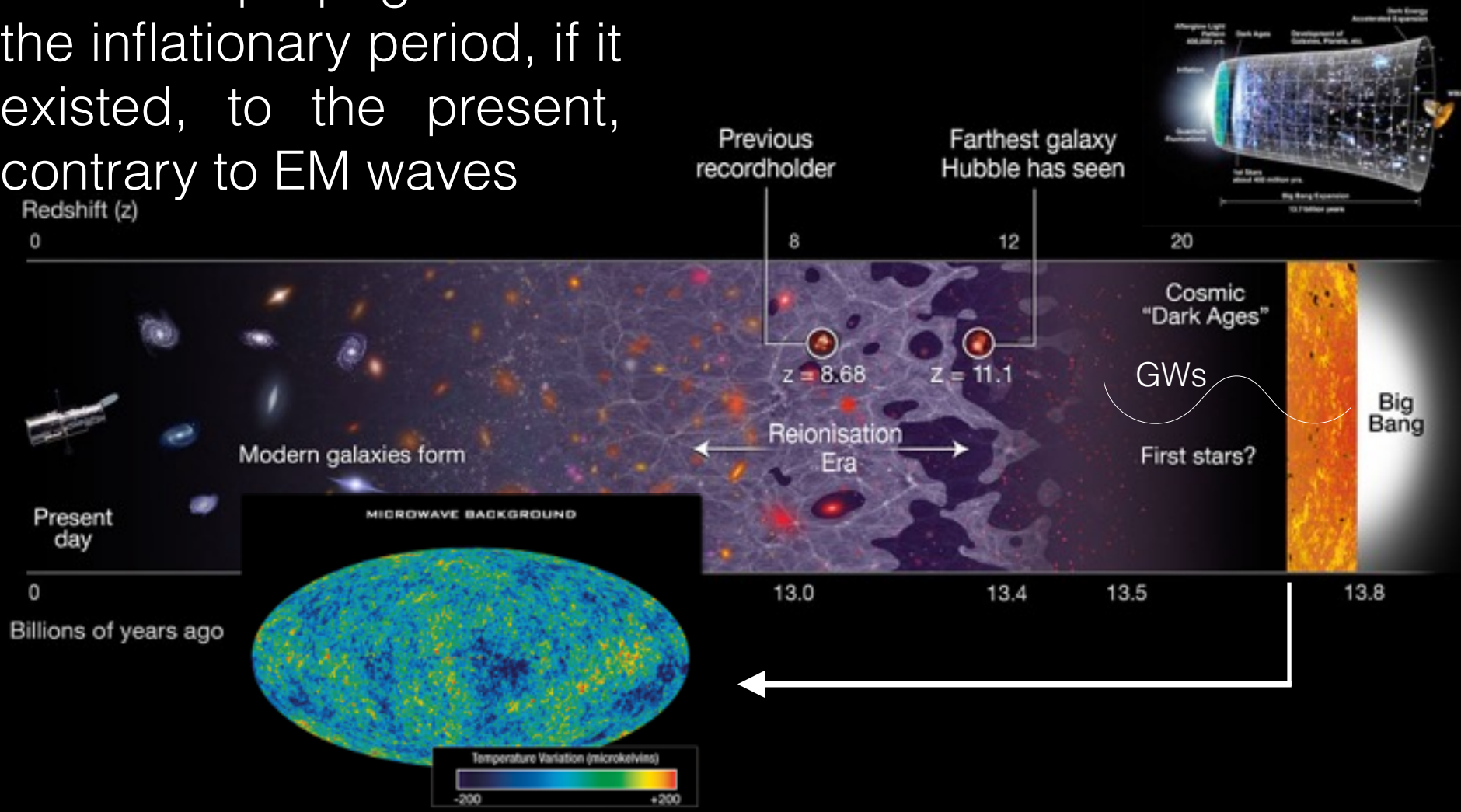
- Similarities:

- ✓ Propagation with the speed of light.
- ✓ Amplitude decreases as $\sim 1/r$.
- ✓ Frequency redshift (Doppler, gravitational, cosmological).

- Differences:

- ✓ GWs propagate through matter with little interaction. Hard to detect, but they carry uncontaminated information about their sources.
- ✓ Strong GWs are generated by bulk (coherent) motion. They require strong gravity/high velocities (compact objects like black holes and neutron star).
- ✓ EM waves originate from small-scale, incoherent motion of charged particles. They are subject to “environmental” contamination (interstellar absorption etc.).

GW can propagate from the inflationary period, if it existed, to the present, contrary to EM waves

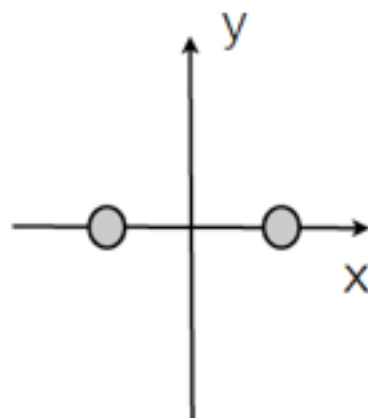


Effect on test particles

- We consider a pair of test particles on the cartesian axis **Ox** at distances $\pm x_0$ from the origin and we assume a GW traveling in the **z**-direction.
- Their distance will be given by the relation:

$$\begin{aligned} dl^2 &= g_{\mu\nu} dx^\mu dx^\nu = \dots = -g_{11} dx^2 = \\ &= (1 - h_{11})(2x_0)^2 = [1 - h_+ \cos(\omega t)] (2x_0)^2 \end{aligned}$$

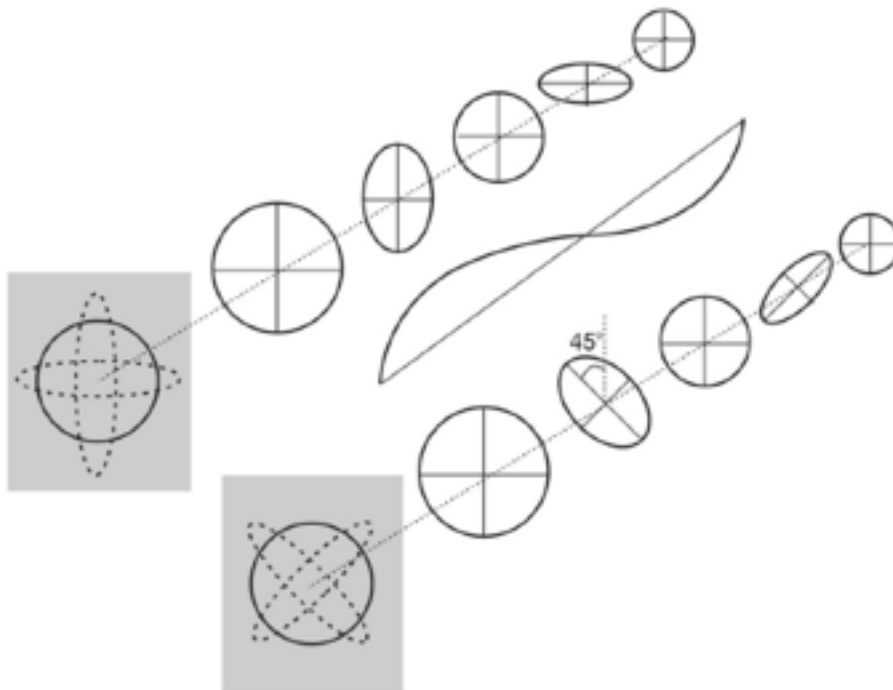
$$dl \approx \left[1 - \frac{1}{2} h_+ \cos(\omega t) \right] (2x_0)$$



Effect on test particles (II)

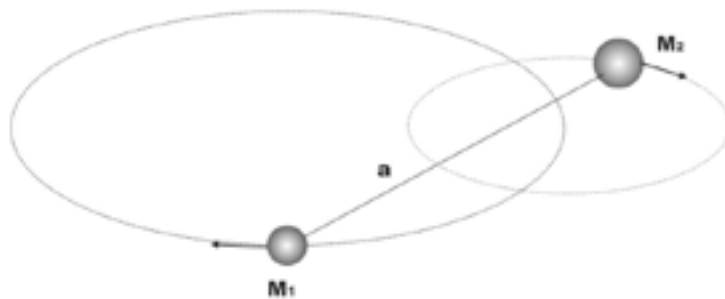
- Similarly for a pair of particles placed on the y-axis:

- Comment: the same result can be derived using the geodetic deviation equation. $dl \approx \left[1 + \frac{1}{2}h_+ \cos(\omega t) \right] (2y_0)$

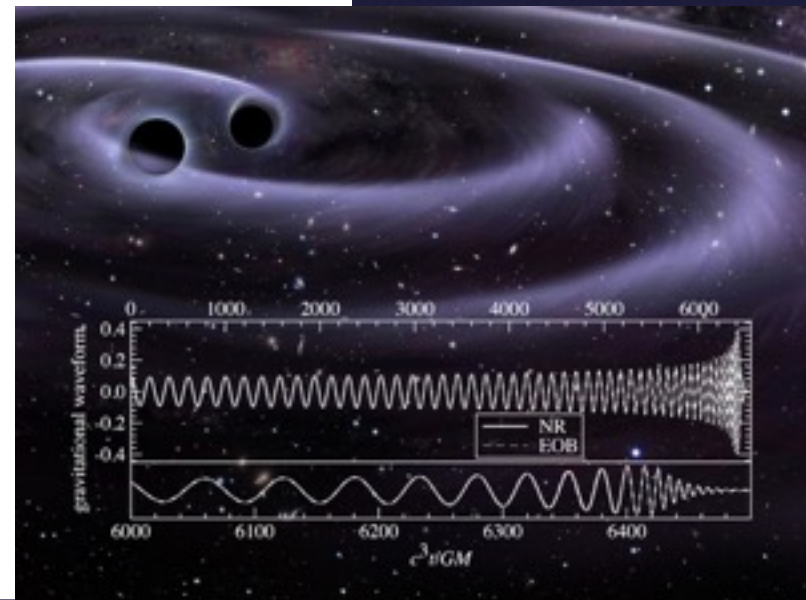


GW emission from a binary system (I)

- The binary consists of the two bodies M_1 and M_2 at distances a_1 and a_2 from the center of mass. The orbits are circular and lie on the x-y plane. The orbital angular frequency is Ω .



- We also define: $a = a_1 + a_2$, $\mu = M_1 M_2 / M$,



GW emission from a binary system (II)

- The only non-vanishing components of the quadrupole tensor are :

$$Q_{xx} = -Q_{yy} = (a_1^2 M_1 + a_2^2 M_2) \cos^2(\Omega t) = \frac{1}{2} \mu a^2 \cos(2\Omega t)$$
$$Q_{xy} = Q_{yx} = \frac{1}{2} \mu a^2 \sin(2\Omega t) \quad \left(\text{GW frequency} = 2\Omega \right)$$

- And the GW luminosity of the system is (we use Kepler's 3rd law $\Omega^2 = GM/a^3$)

$$L_{\text{GW}} = -\frac{dE}{dt} = \frac{G}{5c^5} (\mu \Omega a^2)^2 \langle 2 \sin^2(2\Omega t) + 2 \cos^2(2\Omega t) \rangle$$
$$= \frac{32}{5} \frac{G}{c^5} \mu^2 a^4 \Omega^6 = \frac{32}{5} \frac{G^4}{c^5} \frac{M^3 \mu^2}{a^5}$$

GW emission from a binary system (III)


- The **total energy** of the binary system can be written as :

$$E = \frac{1}{2} \Omega^2 (M_1 a_1^2 + M_2 a_2^2) - \frac{G M_1 M_2}{a} = -\frac{1}{2} \frac{G \mu M}{a}$$

- As the gravitating system loses energy by emitting radiation, the distance between the two bodies **shrinks at a rate**:

$$\frac{dE}{dt} = \frac{G \mu M}{2a^2} \frac{da}{dt} \quad \rightarrow \quad \frac{da}{dt} = -\frac{64}{5} \frac{G^3}{c^5} \frac{\mu M}{a^3}$$

- The orbital frequency increases accordingly $\dot{T}/T = (3/2)\dot{a}/a$.

- The system will **coalesce** after a time: $\tau = \frac{5}{256} \frac{c^5}{G^3} \frac{a_0^4}{\mu M^4}$  (initial separation)

GW emission from a binary system (IV)

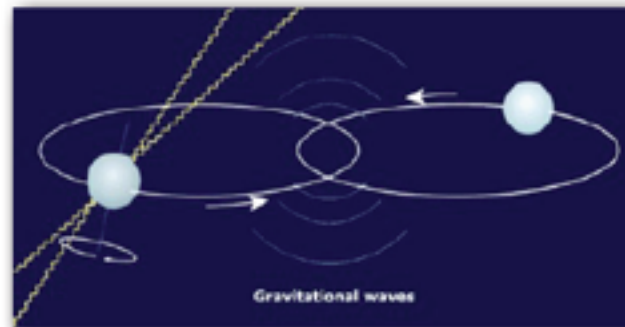
- In this analysis we have assumed circular orbits. In general the orbits can be elliptical, but it has been shown that GW emission **circularizes** them faster than the coalescence timescale.
- The GW amplitude is (ignoring geometrical factors):

$$h \approx 5 \times 10^{-22} \left(\frac{M}{2.8 M_{\odot}} \right)^{2/3} \left(\frac{\mu}{0.7 M_{\odot}} \right) \left(\frac{f}{100 \text{ Hz}} \right)^{2/3} \left(\frac{15 \text{ Mpc}}{r} \right)$$

(set distance to the Virgo cluster, why?)

PSR 1913+16: a Nobel-prize GW source

- The now famous [Hulse & Taylor](#) binary neutron star system provided the first astrophysical evidence of the existence of GWs !

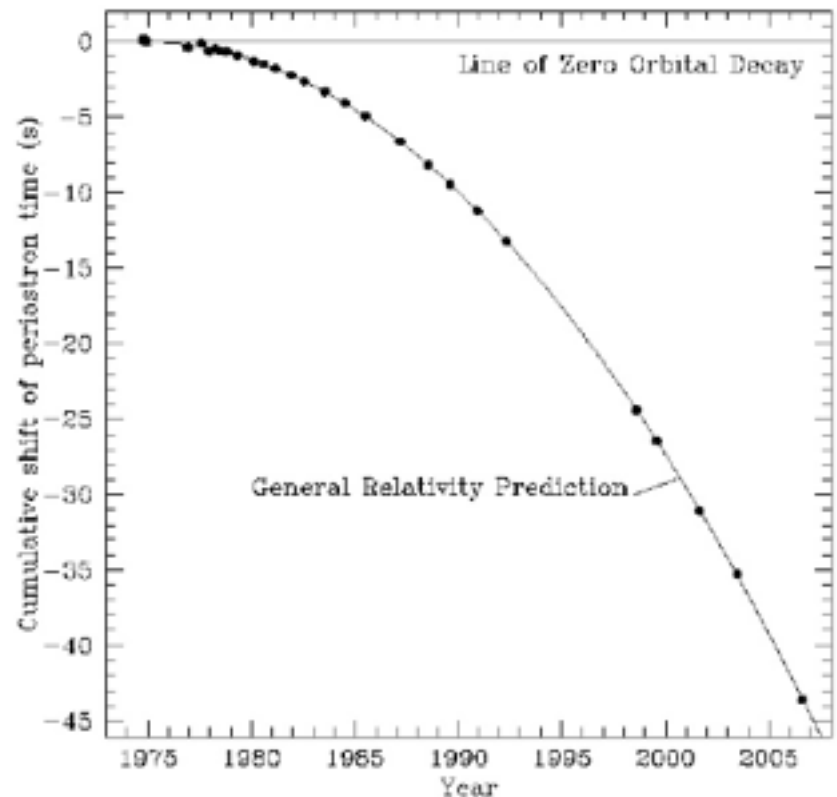


- The system's parameters: $r = 5 \text{ Kpc}$, $M_1 \approx M_2 \approx 1.4 M_\odot$, $T = 7 \text{ h } 45 \text{ min}$
- Using the previous equations we can predict:

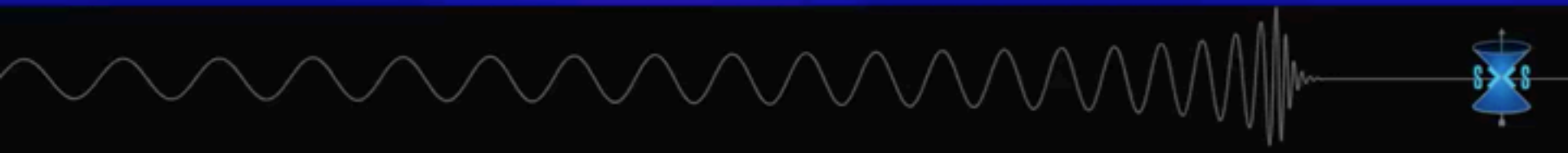
$$\dot{T} = -2.4 \times 10^{-12} \text{ sec/sec}, \quad f_{\text{GW}} = 7 \times 10^{-5} \text{ Hz}, \quad h \sim 10^{-23}, \quad \tau \approx 3.5 \times 10^8 \text{ yr}$$

Theory vs observations

- How can the orbital parameters be measured with such high precision?
- One of the neutron stars is a **pulsar**, emitting extremely stable periodic radio pulses. The emission is modulated by the orbital motion.
- Since the discovery of the H-T system in 1974 more such binaries were found by astronomers.

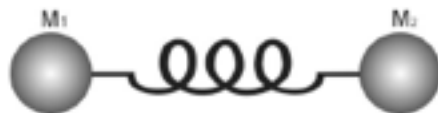


-0.76s



A toy model GW detector

- Consider a GW propagating along the z-axis (with a “+” polarization and frequency ω), impinging on an idealized detector consisting of two masses joined by a spring (of length L) along the x-axis



- The resulting motion is that of a forced oscillator (with friction τ , natural frequency ω_0):

$$\ddot{\xi} + \dot{\xi}/\tau + \omega_0^2 \xi = -\frac{1}{2}\omega^2 L h_+ e^{i\omega t}$$

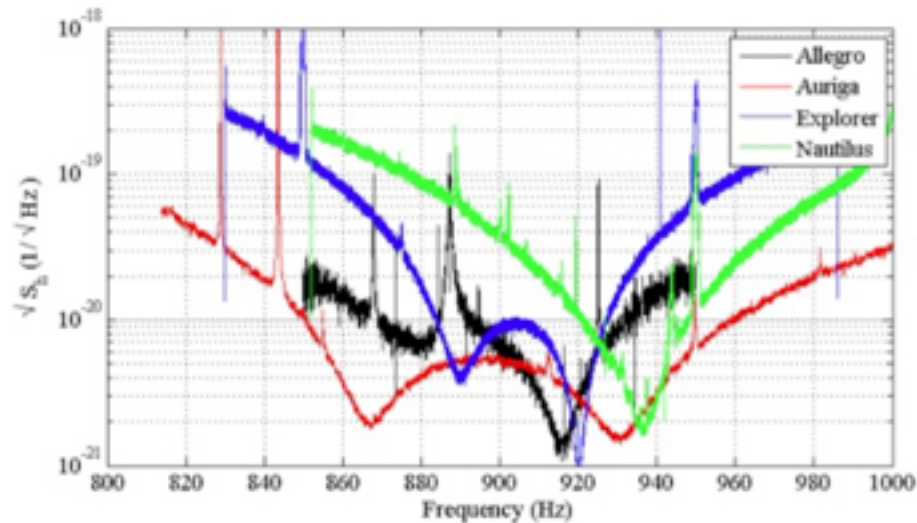
- The solution is:

$$\xi = \frac{\omega^2 L h_+}{2(\omega_0^2 - \omega^2 + i\omega/\tau)} e^{i\omega t}$$

- The **maximum amplitude** is achieved at $\omega \approx \omega_0$ and has a size: $\xi_{\max} = \frac{1}{2}\omega_0 \tau L h_+$
- The detector can be optimized by increasing $\omega_0 \tau L$.

Bar detectors

- Bar detectors are narrow bandwidth instruments (like the previous toy-model)



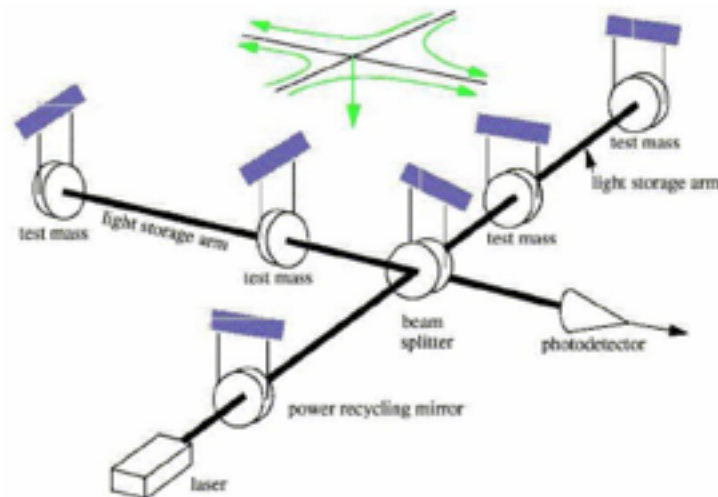
Sensitivity curves of various bar detectors

Joseph Weber



Detectors: laser interferometry

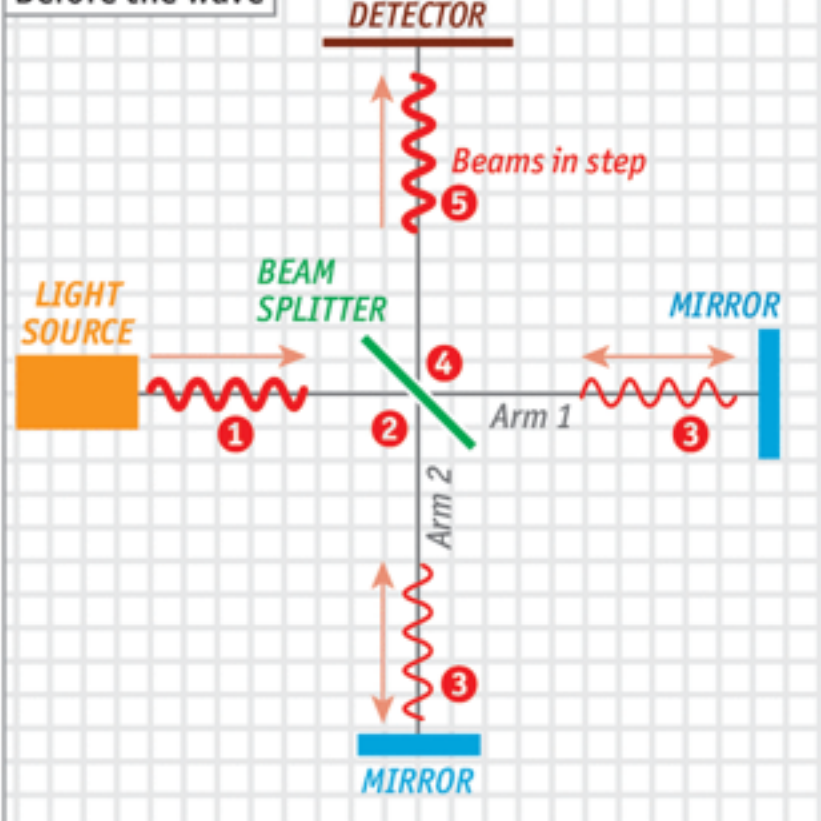
- A laser interferometer is an alternative choice for GW detection, offering a combination of **very high sensitivities over a broad frequency band**.
- **Suspended mirrors** play the role of “test-particles”, placed in perpendicular directions. The light is reflected on the mirrors and returns back to the beam splitter and then to a photodetector where the fringe pattern is monitored.



Catching a wave

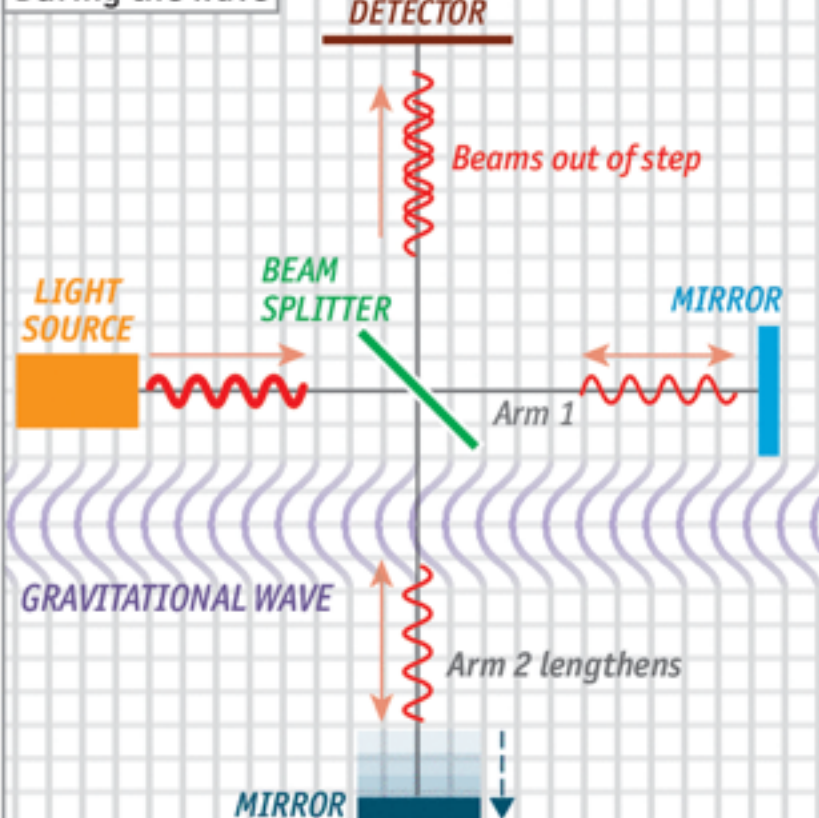
How a laser-interferometer observatory works

Before the wave



The **light source** sends out a **beam 1** that is divided by a **beam splitter 2**. The half-beams produced follow paths of identical length **3**, reflecting off **mirrors** to recombine **4**, then travel in step to the **detector 5**.

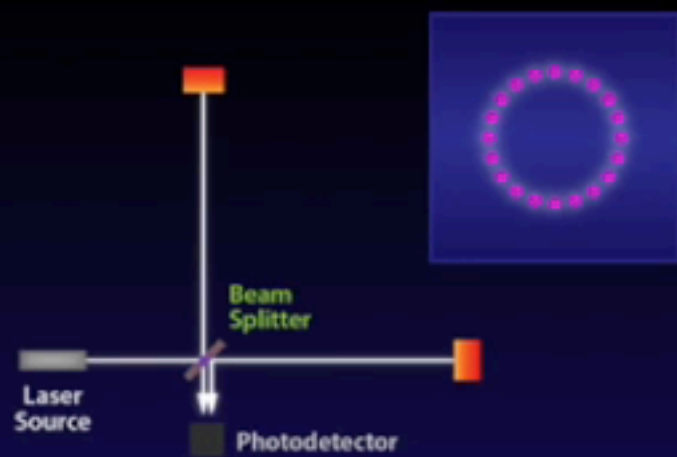
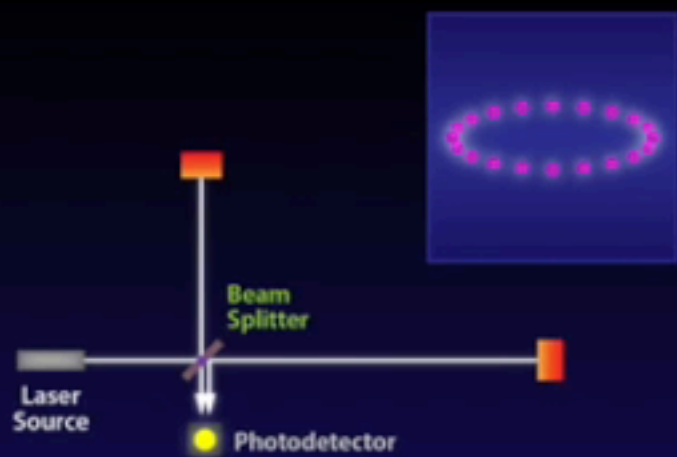
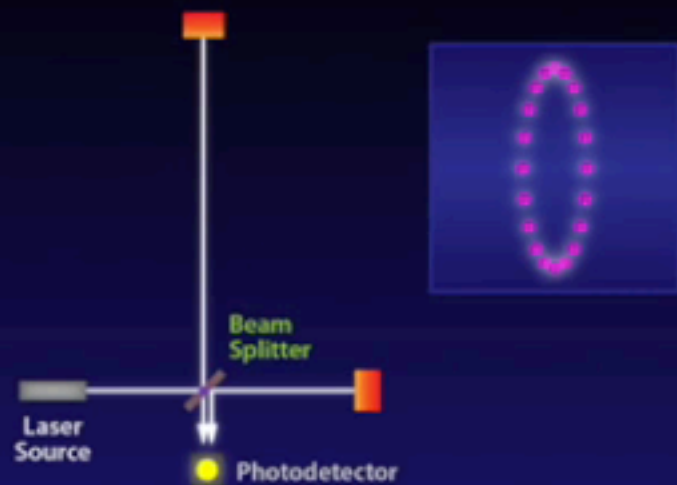
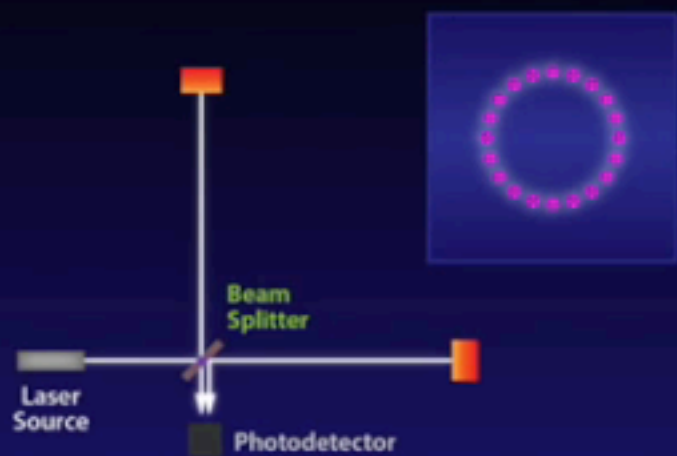
During the wave



When a **gravitational wave** arrives, it disturbs space-time, lengthening (in this example) the light's path along arm 2; when the **beams** recombine and arrive at the **detector**, they are no longer in step.

Source: *The Economist*

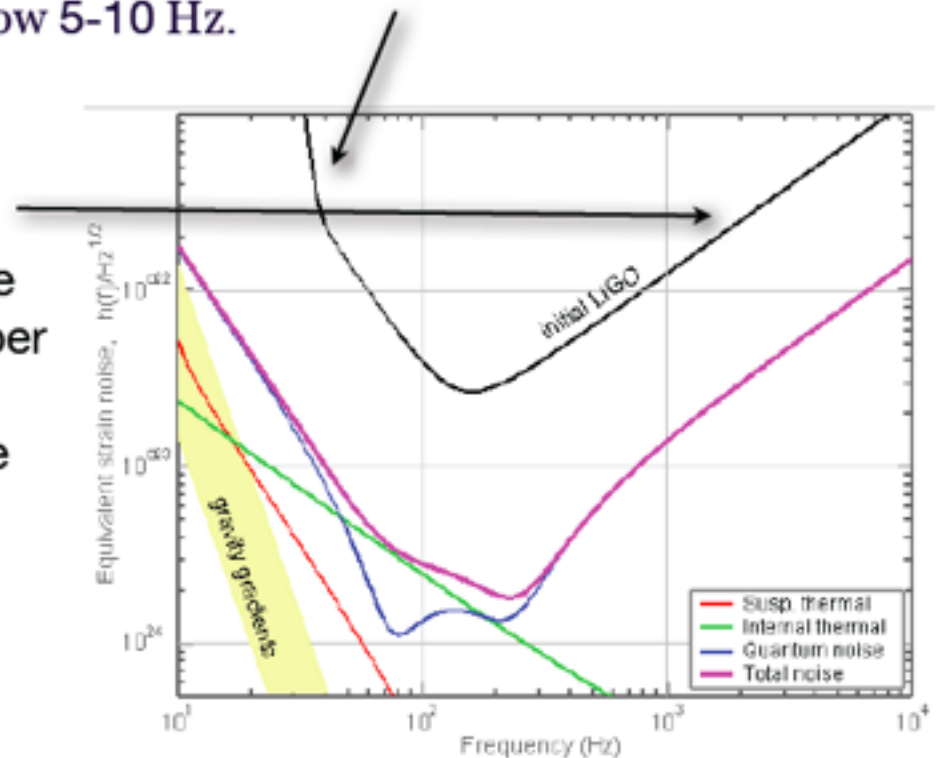
Economist.com



Noise in interferometric detectors

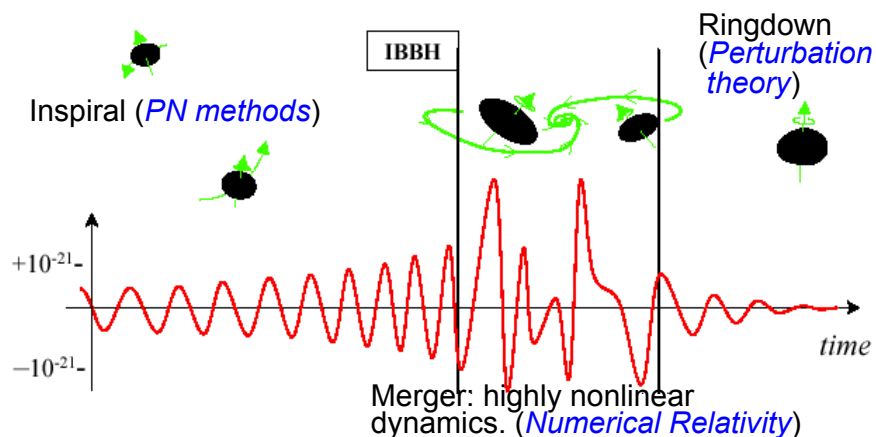
- **Seismic noise (low frequencies).** At frequencies below 60 Hz, the noise in the interferometers is dominated by seismic noise. The vibrations of the ground couple to the mirrors via the wire suspensions which support them. This effect is strongly suppressed by properly designed suspension systems. Still, seismic noise is very difficult to eliminate at frequencies below 5-10 Hz.

- **Photon shot noise (high frequencies).** The precision of the measurements is restricted by fluctuations in the fringe pattern due to fluctuations in the number of detected photons. The number of detected photons is proportional to the intensity of the laser beam. Statistical fluctuations in the number of detected photons imply an uncertainty in the measurement of the arm length.

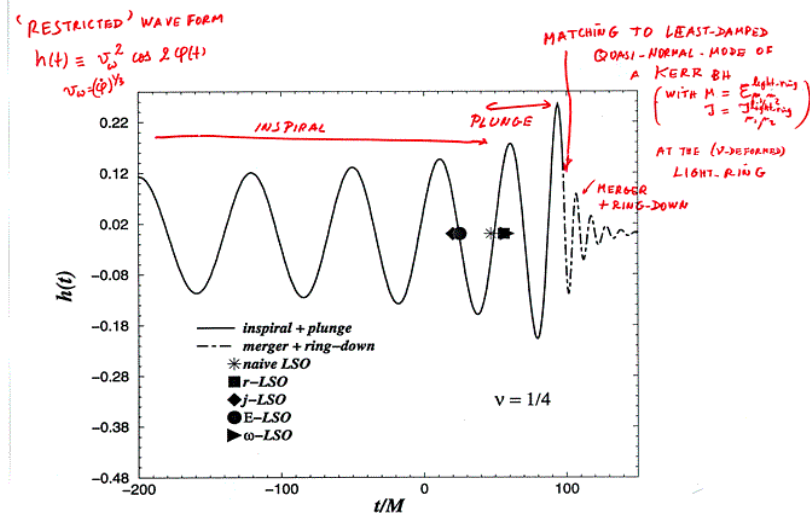


Templates for GWs from BBH coalescence

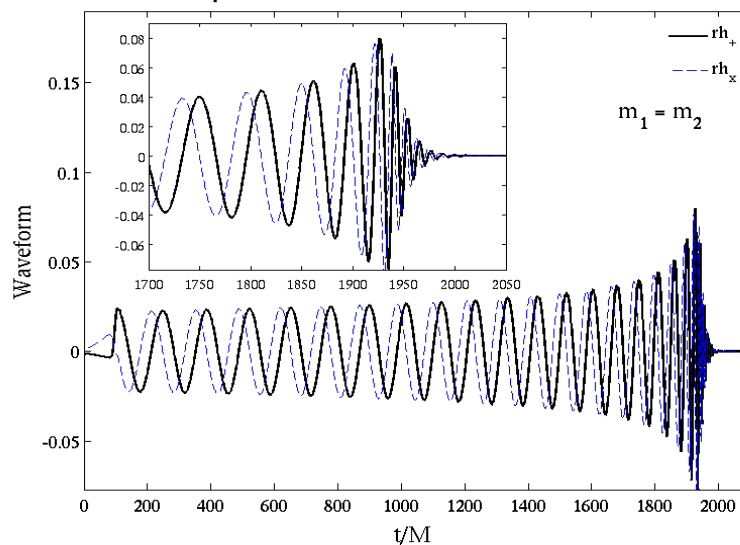
(Brady, Craighton, Thorne 1998)



(Buonanno & Damour 2000)



Numerical Relativity, the 2005 breakthrough:
Pretorius, Campanelli et al., Baker et al. ...



A catalog of 171 high-quality binary black-hole simulations for gravitational-wave astronomy [\[arXiv: 1304.6077\]](https://arxiv.org/abs/1304.6077)

Abdul H. Mroué,¹ Mark A. Scheel,² Béla Szilágyi,² Harald P. Pfeiffer,¹ Michael Boyle,³ Daniel A. Hemberger,³ Lawrence E. Kidder,³ Geoffrey Lovelace,^{4, 2} Sergei Ossokine,^{1, 5} Nicholas W. Taylor,² Anıl Zenginoğlu,² Luisa T. Buchman,² Tony Chu,¹ Evan Foley,⁴ Matthew Giesler,⁴ Robert Owen,⁶ and Saul A. Teukolsky³

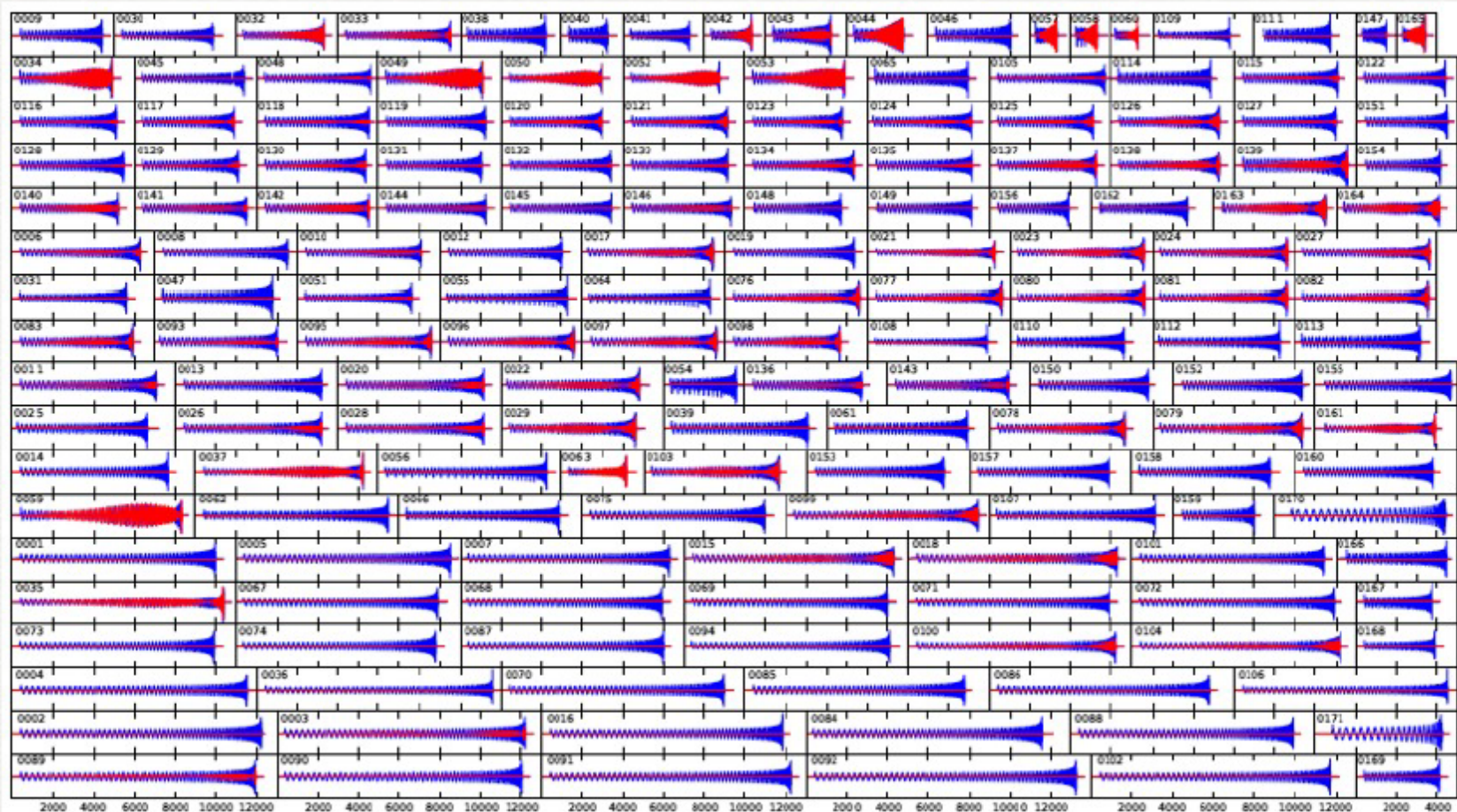


FIG. 3: Waveforms from all simulations in the catalog. Shown here are h_+ (blue) and h_x (red) in a sky direction parallel to the initial orbital plane of each simulation. All plots have the same horizontal scale, with each tick representing a time interval of $2000M$, where M is the total mass.

Detectors: the present (I)

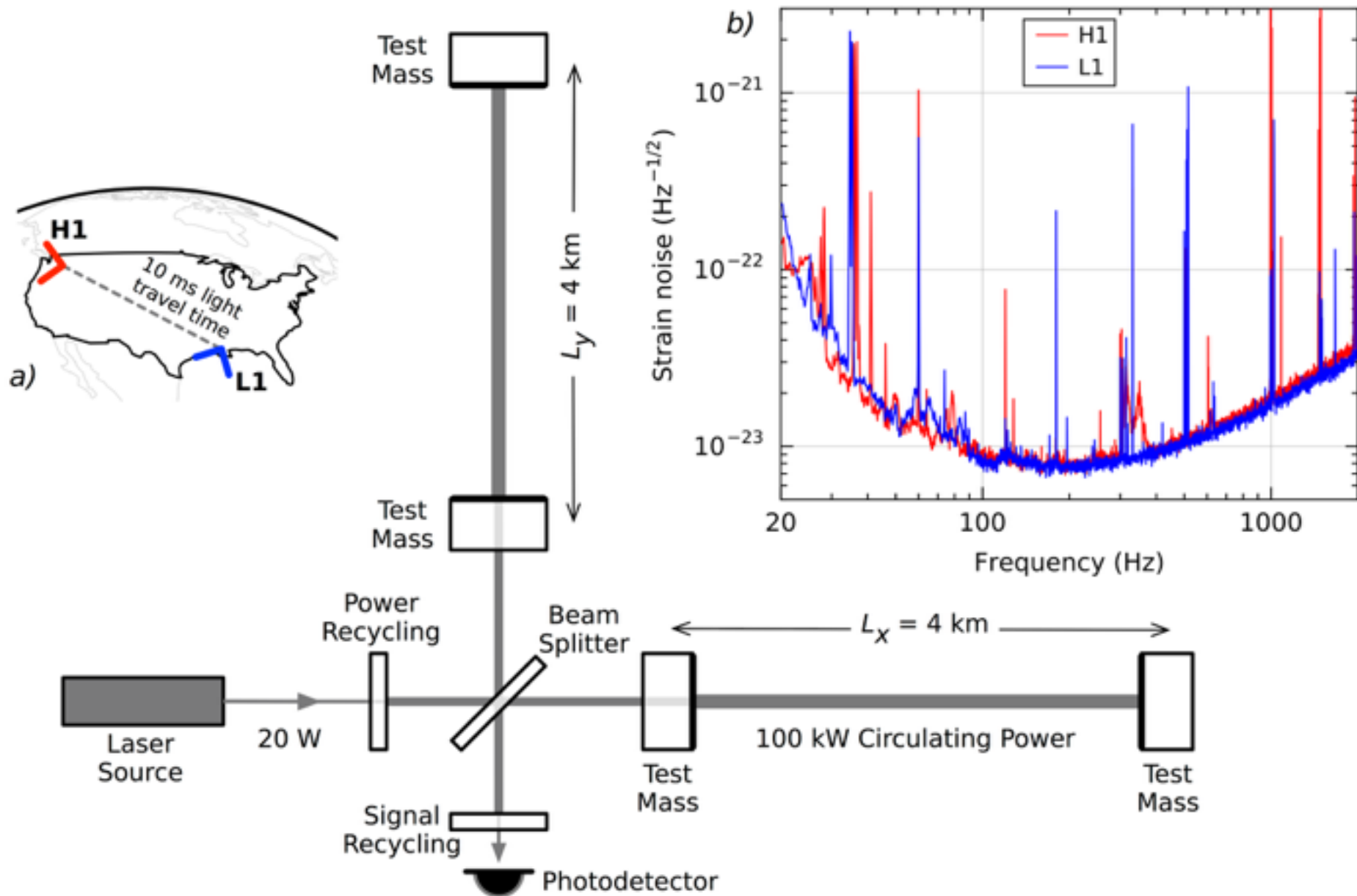


The twin LIGO detectors ($L = 4$ km) at Livingston Louisiana and Hanford Washington (US).

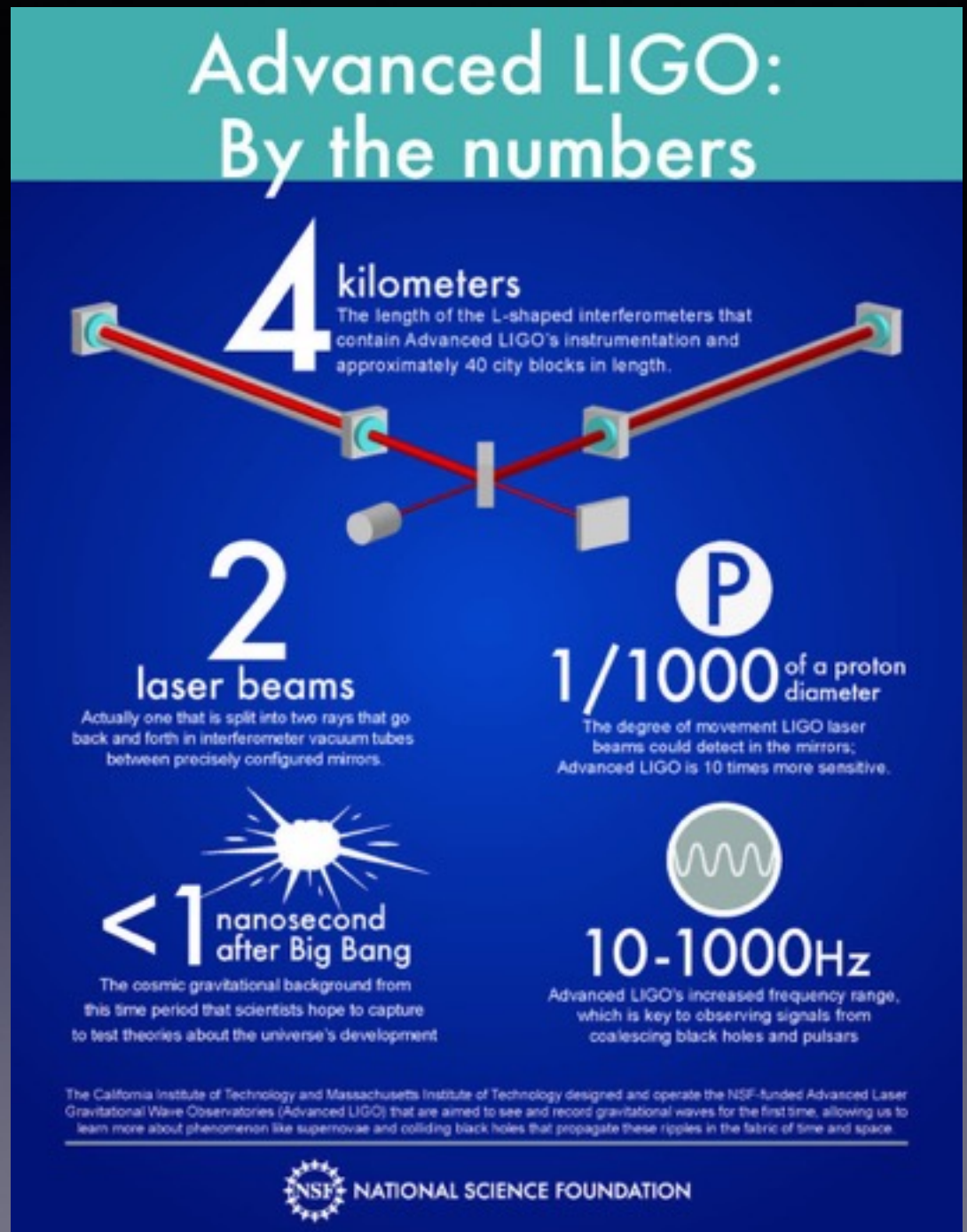
Livingston



Hanford

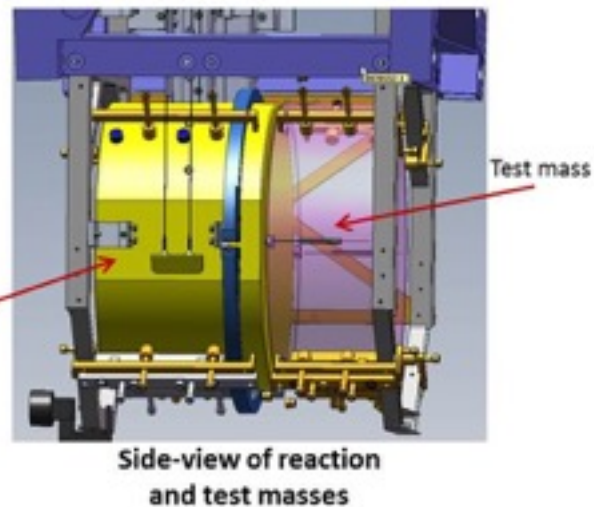
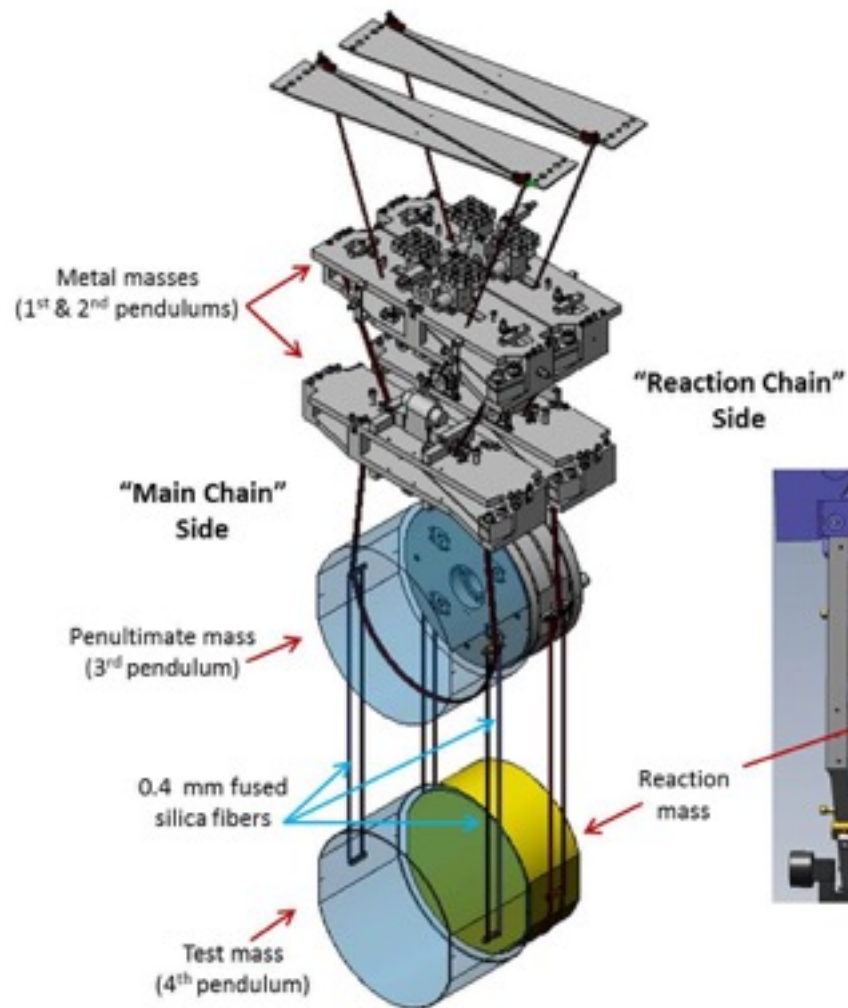


LIGO's interferometer is classified as a Dual Recycled, Fabry-Perot Michelson Interferometer.

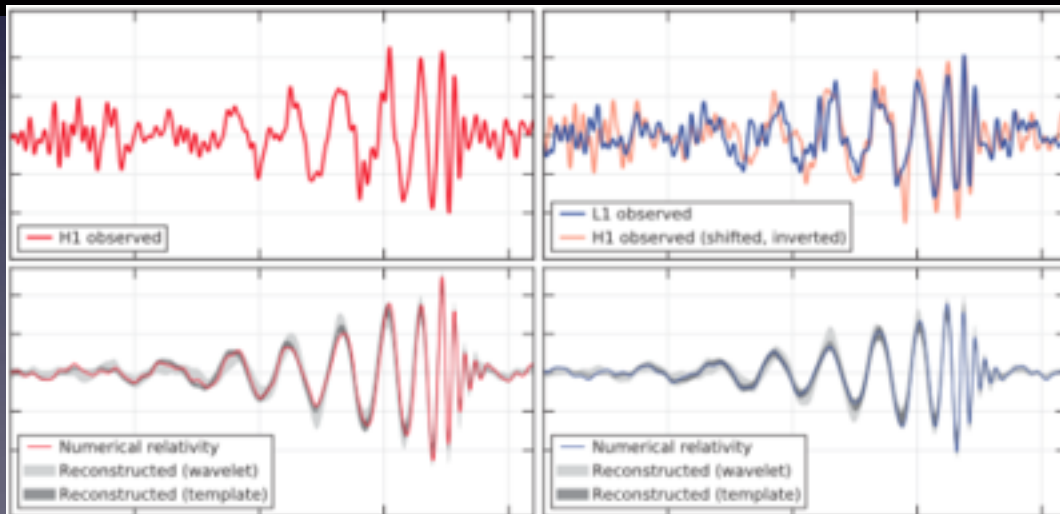
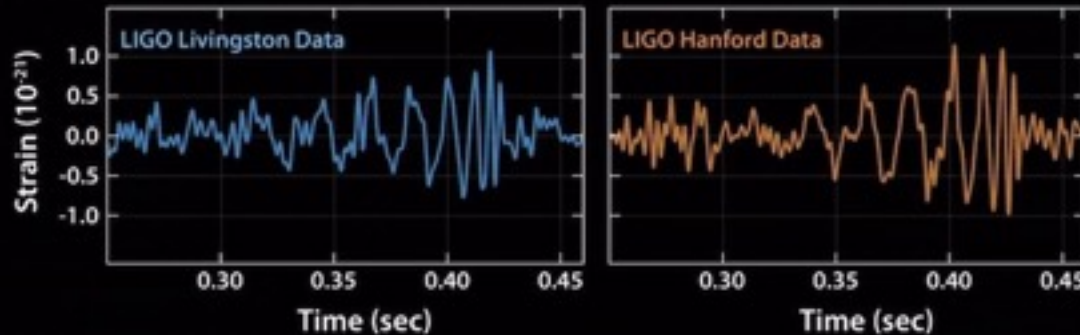


Laser

- A pre-stabilized 1064-nm Nd:YAG laser is injected and amplified.
- The power of the light field in the cavity is 100 kW.
- After an equivalent of approximately 280 trips down the 4 km length to the far mirrors and back again, the two separate beams leave the arms and recombine at the beam splitter.
- The beams returning from two arms are kept out of phase so that when the arms are both in coherence and interference (as when there is no gravitational wave passing through), their light waves subtract, and no light should arrive at the photodiode.
- When a gravitational wave passes through the interferometer, the distances along the arms of the interferometer are shortened and lengthened, causing the beams to become slightly less out of anti-phase.



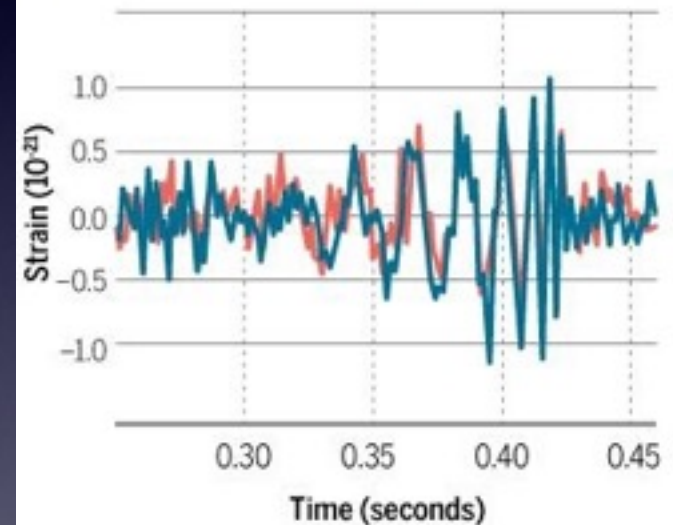
Gravitational waves detected by LIGO!



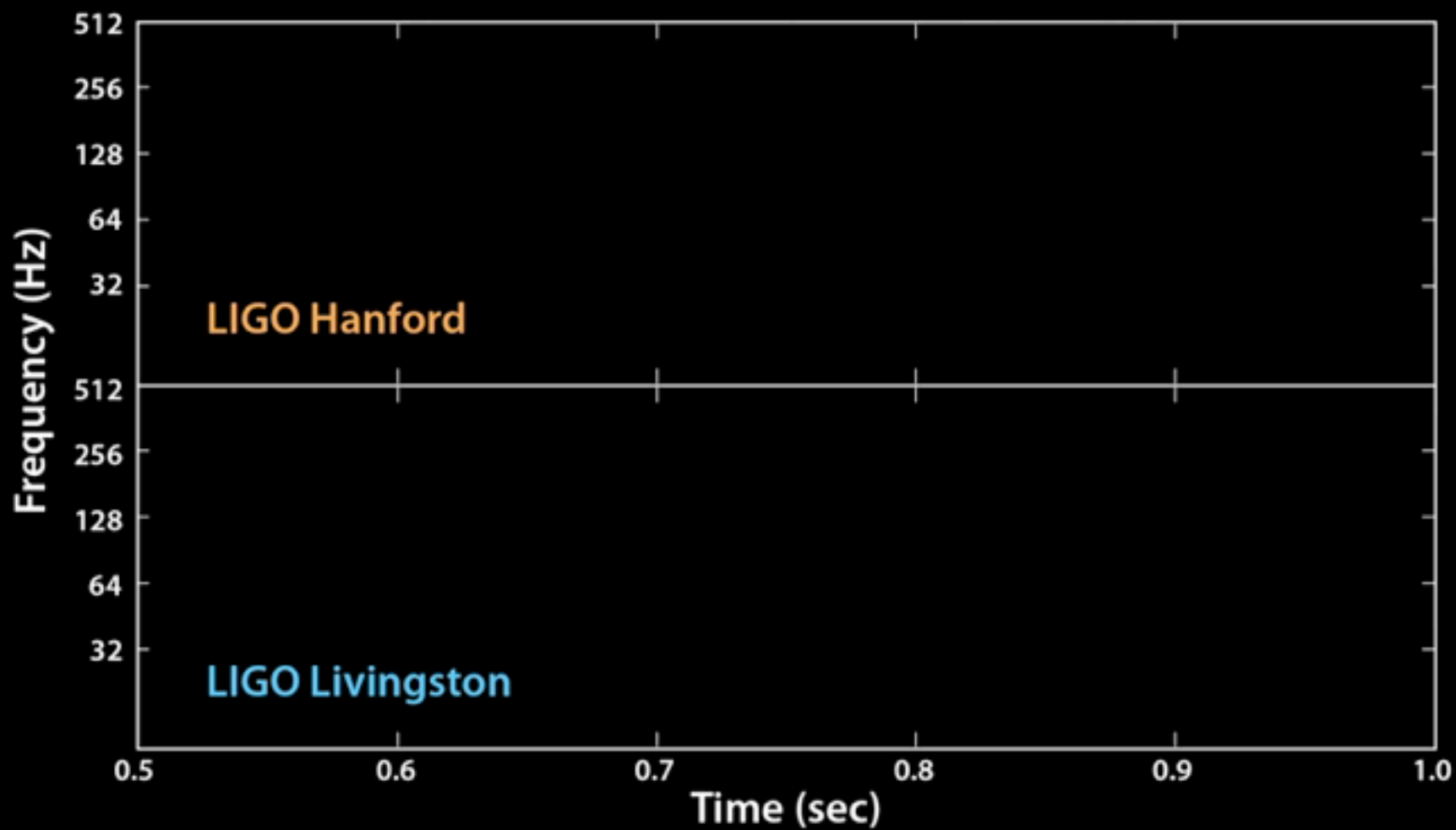
Signals in synchrony

When shifted by 0.007 seconds, the signal from LIGO's observatory in Washington (red) neatly matches the signal from the one in Louisiana (blue).

● LIGO Hanford data (shifted) ● LIGO Livingston data

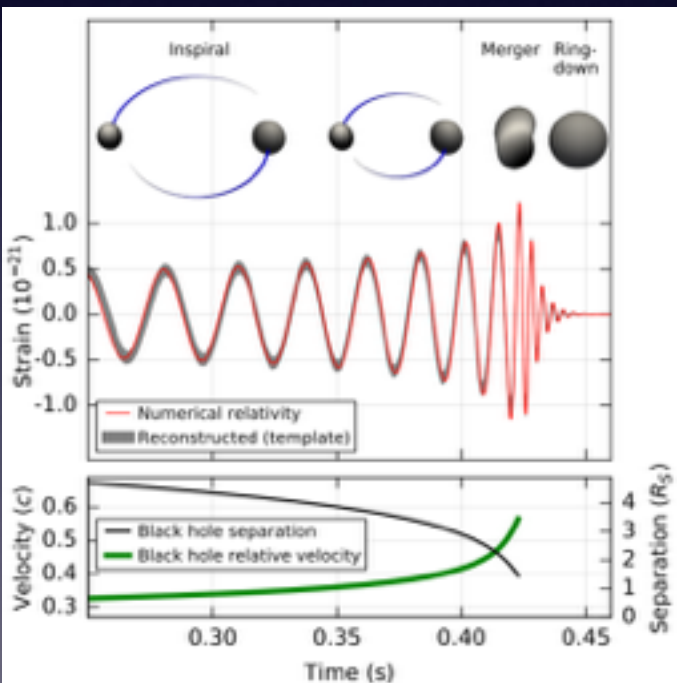


September 14th, 2015,
09:50:45 UTC.
Range: from 35 to 250 Hz

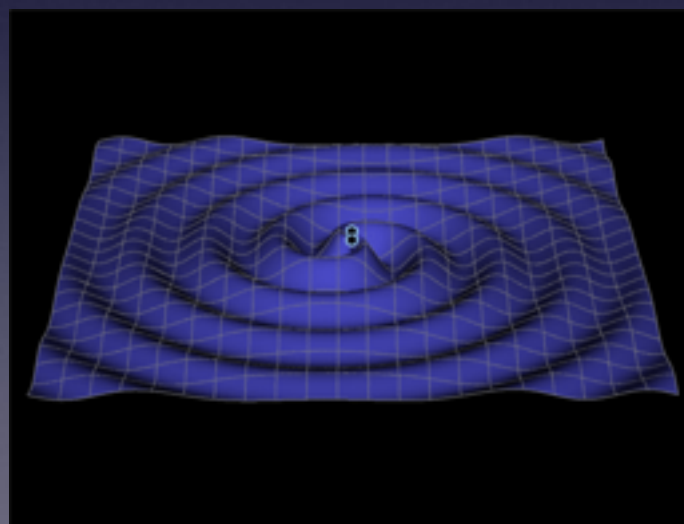


LIGO

The First Observation
of Gravitational Waves



Primary black hole mass	$36^{+5}_{-4} M_{\odot}$
Secondary black hole mass	$29^{+4}_{-4} M_{\odot}$
Final black hole mass	$62^{+4}_{-4} M_{\odot}$
Final black hole spin	$0.67^{+0.05}_{-0.07}$
Luminosity distance	$410^{+160}_{-180} \text{ Mpc}$
Source redshift z	$0.09^{+0.03}_{-0.04}$

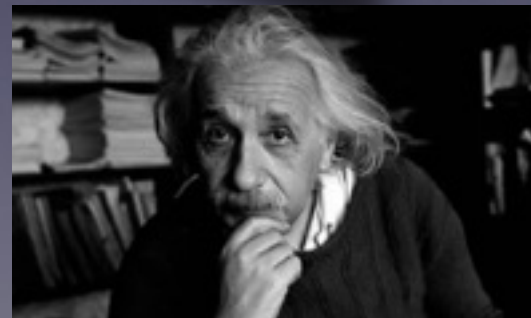


Implications of the detection:

- Gravitational waves exist
- Compact objects very much like to black holes exist
- Gravitational waves transport energy —> the gravitational field has energy in absence of matter/radiation
- Spacetime has a dimensionality of $n=4$ or higher.
- Existence is non-local.

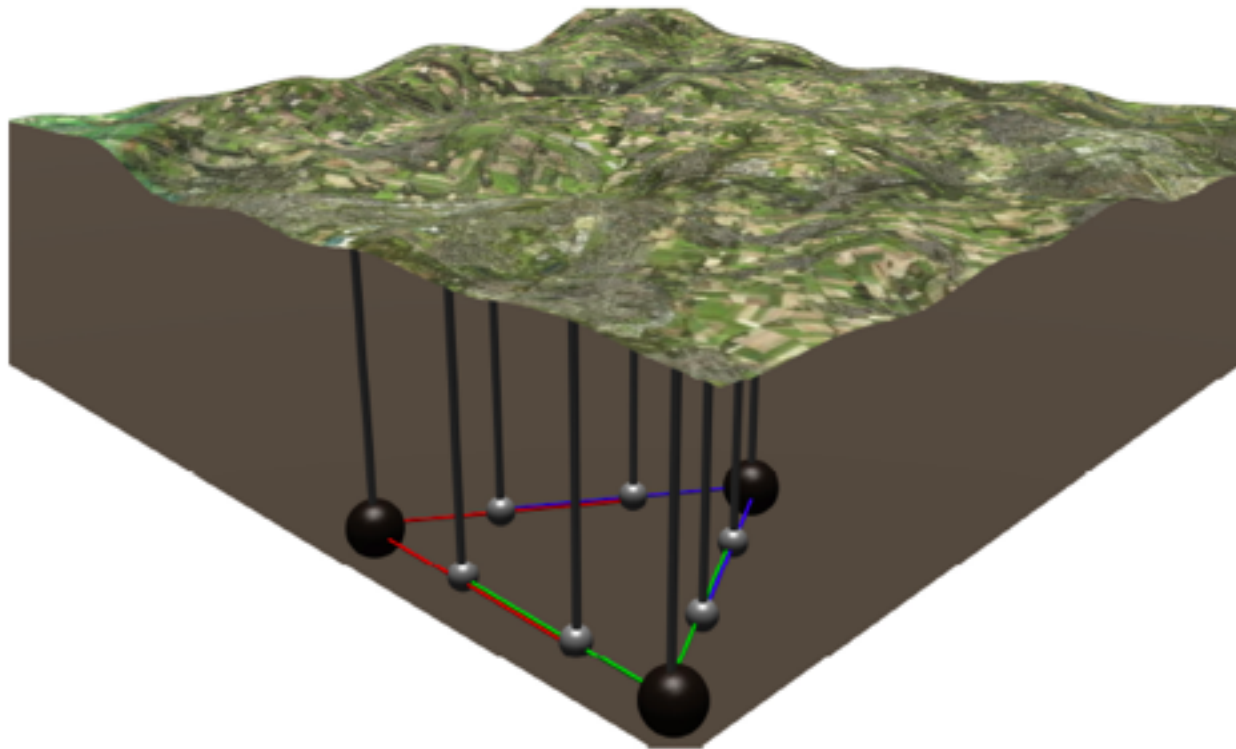
Gravitational wave astronomy is born!

“He’s looking at you kiddo”



Going underground: the ET

- The [Einstein Telescope](#) will be the next generation underground detector.



The **Einstein Telescope** has been proposed by 8 European research institutes:

European Gravitational Observatory
Istituto Nazionale di Fisica Nucleare
Max Planck Society
Centre National de la Recherche Scientifique
University of Birmingham
University of Glasgow
NIKHEF
Cardiff University

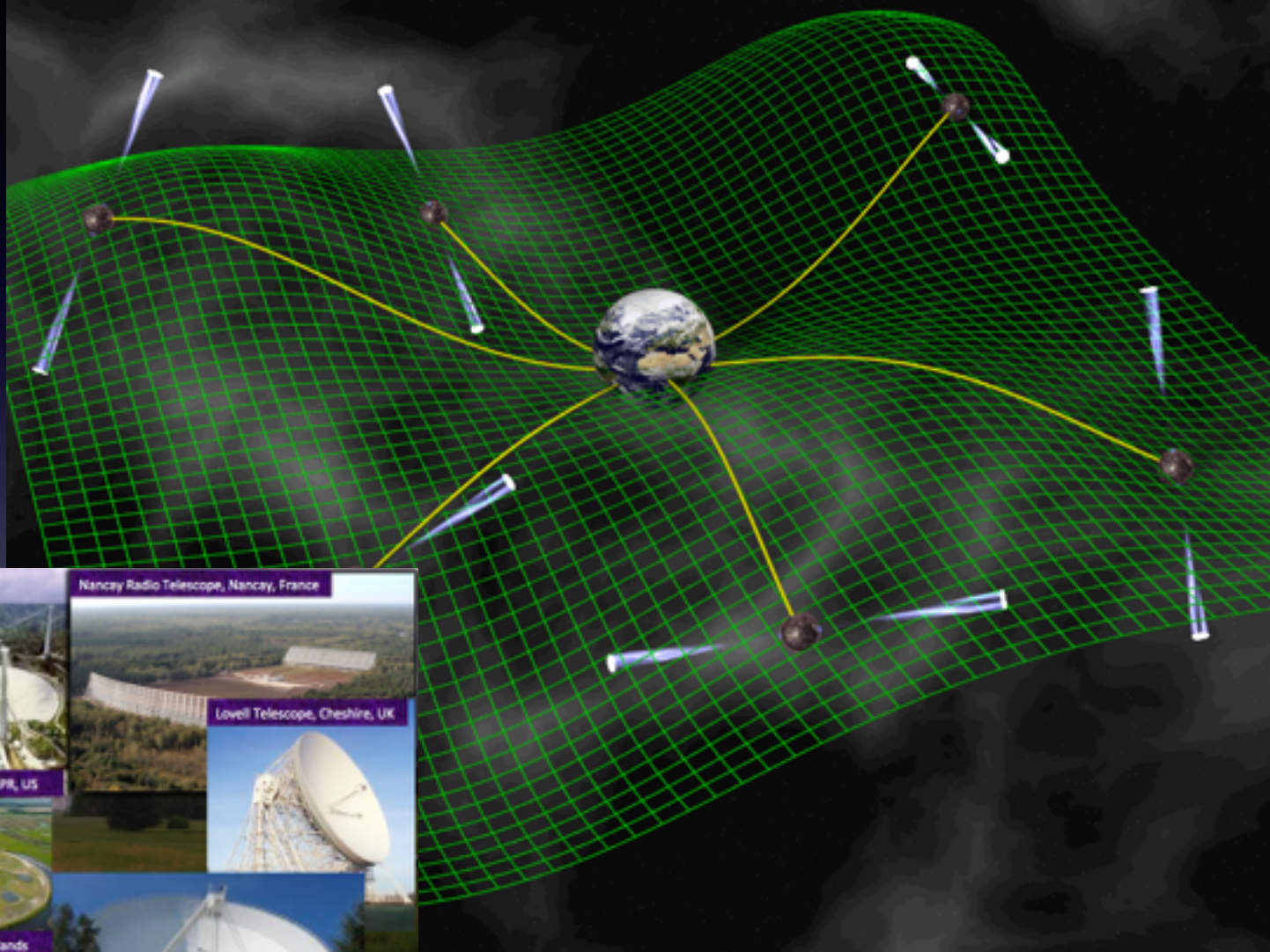
The arms will be 10 km long (compared to 4 km for LIGO, and 3 km for Virgo), and like LISA, there will be three arms in an equilateral triangle, with two detectors in each corner.

The low-frequency interferometers (1 to 250 Hz) will use optics cooled to 10 K ($-441.7\text{ }^{\circ}\text{F}$; $-263.1\text{ }^{\circ}\text{C}$), with a beam power of about 18 kW in each arm cavity. The high-frequency ones (10 Hz to 10 kHz) will use room-temperature optics and a much higher recirculating beam power of 3 MW.

Gravitational wave detection with pulsars

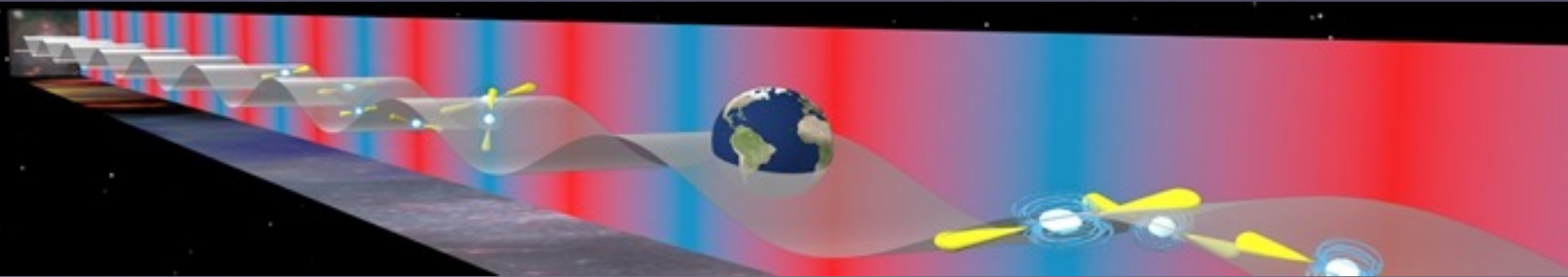
EPTA/LEAP IPTA

International Pulsar Timing Array



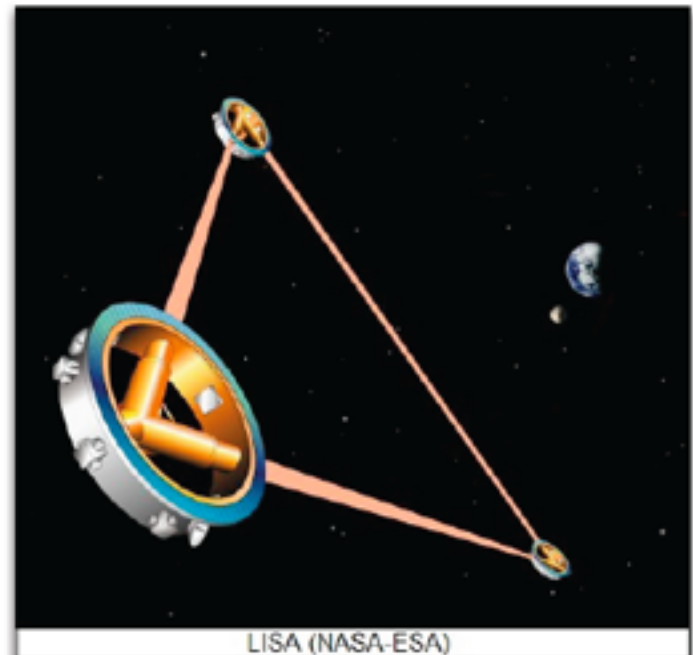
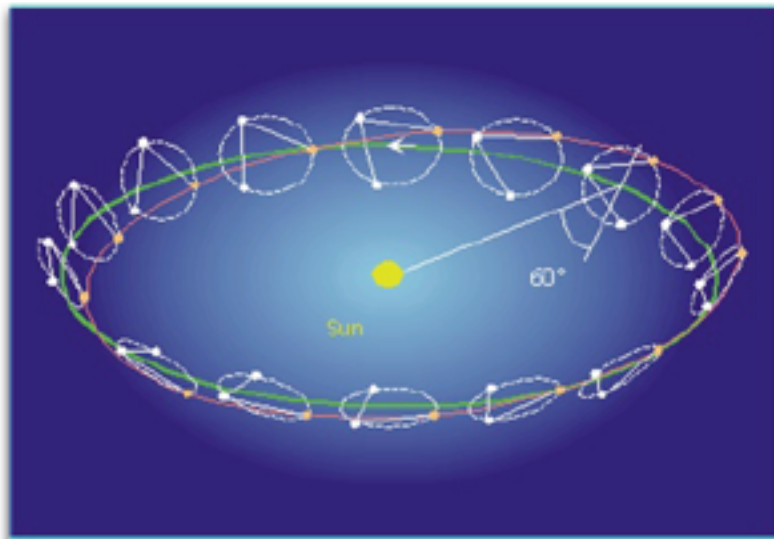


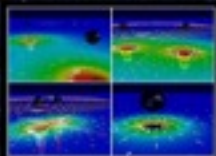
NANOGrav stands for North American Nanohertz Observatory for Gravitational Waves. As the name implies, NANOGrav members are drawn from across the United States and Canada . Their goal is to study the Universe using gravitational waves. NANOGrav uses the Galaxy itself to detect gravitational waves with the help of pulsars. This is known as a Pulsar Timing Array, or PTA. NANOGrav scientists make use of some of the world's best telescopes and most advanced technology, drawing on physics, computer science, signal processing, and electrical engineering.



Going to space: the LISA detector

- Space-based detectors: “noise-free” environment, abundance of space!
- Long-arm baseline, **low frequency sensitivity**
- **LISA**: Up until recently a joint NASA/ESA mission, now an ESA mission only. To be launched around 2020.





Supermassive
Black Hole Binaries



Compact Object
Captures



Galactic White
Dwarf Binaries



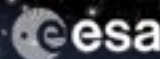
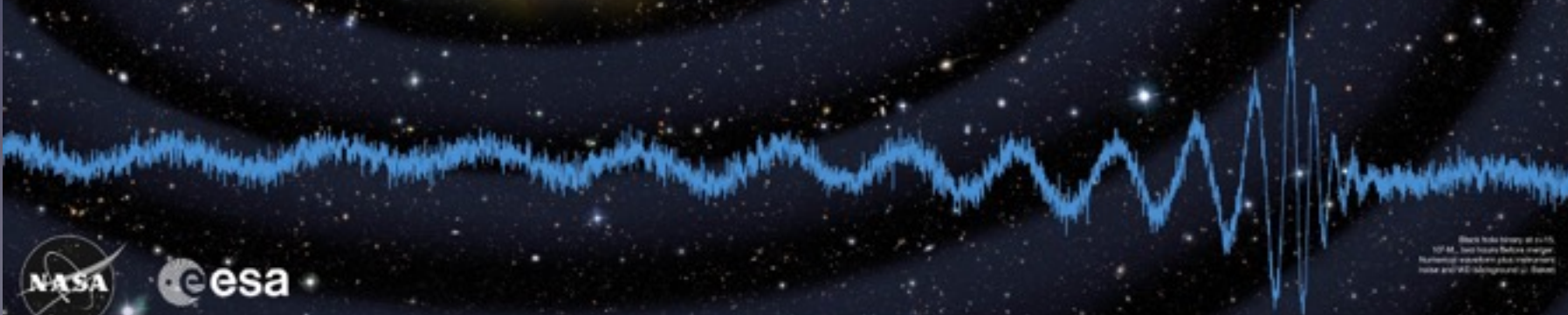
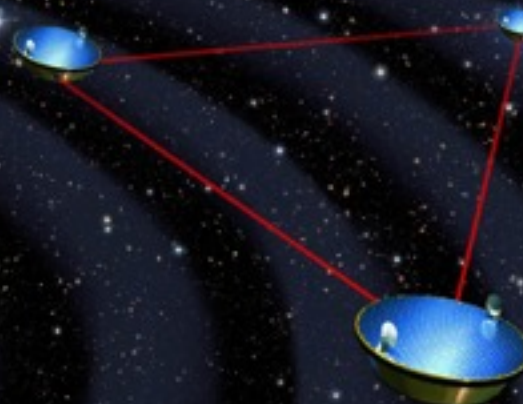
Cosmic Strings and
Phase Transitions

LISA

Laser Interferometer Space Antenna



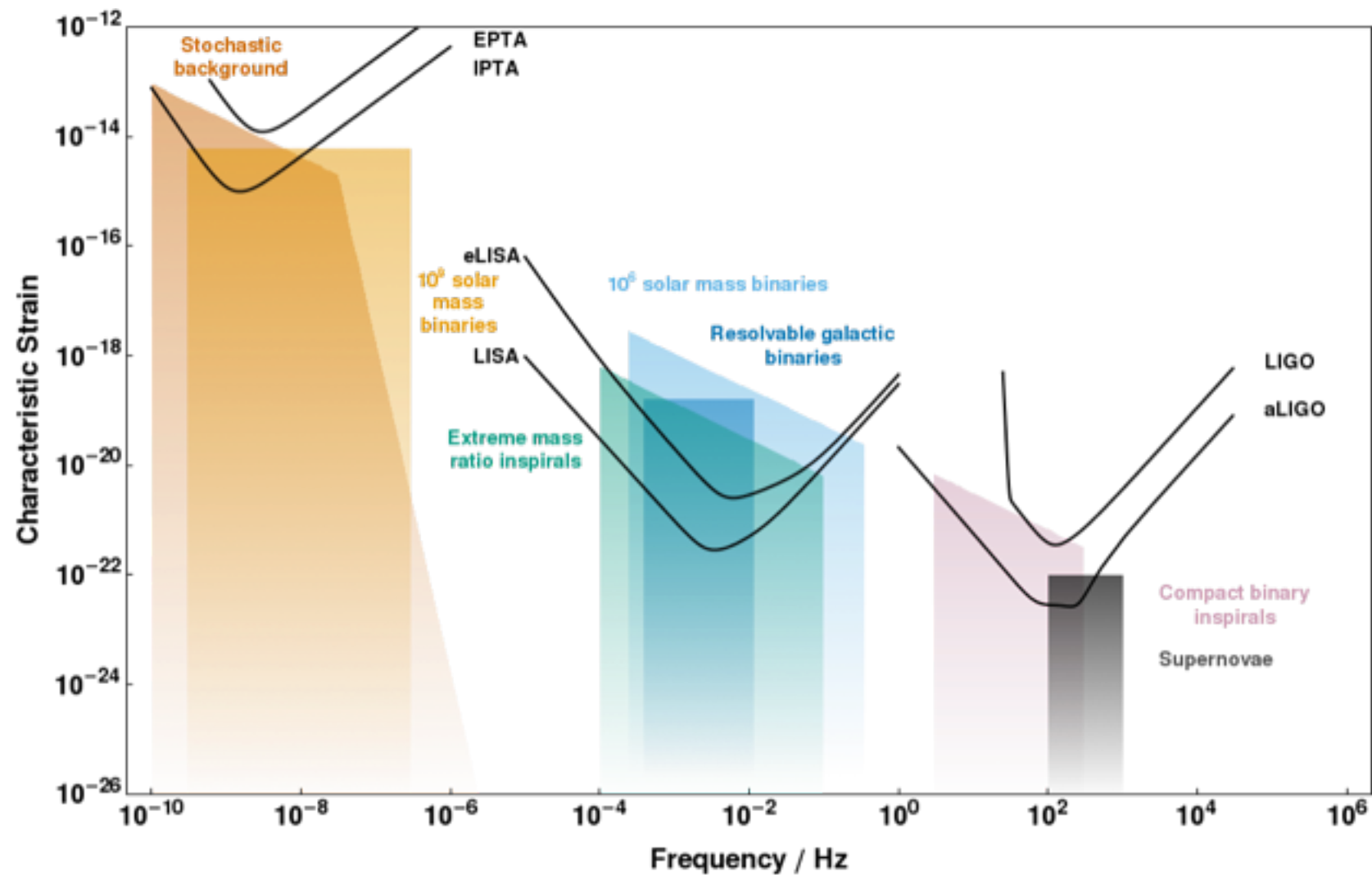
Gravity is talking. LISA will listen.



Black hole binary at 100 Hz,
100 M_☉ two black holes merging.
Numerical relativity plus inspiral
noise and 100 dB background in black.

Image courtesy of the LISA Consortium, ESA, NASA, and the LISA Science Team

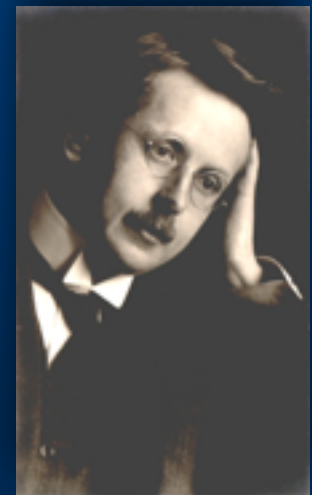
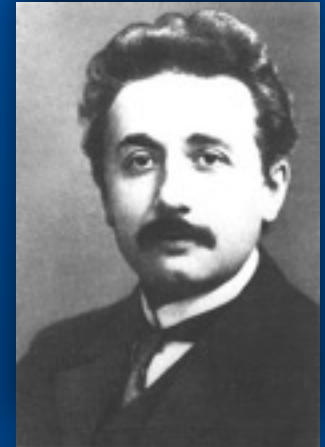
Image courtesy of the LISA Consortium, ESA, NASA, and the LISA Science Team





Alternative theories of gravitation

- Scalar-tensor gravity (Brans & Dicke 1961)
- Gravity with extra-dimensions
- $f(R)$ gravity



Scalar-tensor gravity

The masses of the different fundamental particles would not be basic intrinsic properties but a relational property originated in the interaction with some cosmic field.

$$m_i(x^\mu) = \lambda_i \phi(x^\mu).$$

$$\square^2 \phi = 4\pi\lambda (T^M)_\mu^\mu,$$

$$\langle \phi \rangle = \frac{1}{G}.$$

Scalar-tensor gravity

$$S = \int d^4x \sqrt{-g} \left(\frac{\phi R - \omega \frac{\partial_a \phi \partial^a \phi}{\phi}}{16\pi} + \mathcal{L}_M \right)$$

$$G_{ab} = \frac{8\pi}{\phi} T_{ab} + \frac{\omega}{\phi^2} (\partial_a \phi \partial_b \phi - \frac{1}{2} g_{ab} \partial_c \phi \partial^c \phi) + \frac{1}{\phi} (\nabla_a \nabla_b \phi - g_{ab} \square \phi)$$

$$\square \phi = \frac{8\pi}{3 + 2\omega} T$$

Evidence – derived from the Cassini–Huygens experiment – shows that the value of w must exceed 40,000.

In **STVG theory**, gravity is not only an interaction mediated by a tensor field, but has also scalar and vector aspects. The action of the full gravitational field is:

$$S = S_{\text{GR}} + S_{\phi} + S_S + S_M,$$

$$S_{\text{GR}} = \frac{1}{16\pi} \int d^4x \sqrt{-g} \frac{1}{G} R,$$

$$S_{\phi} = \omega \int d^4x \sqrt{-g} \left(\frac{1}{4} B^{\mu\nu} B_{\mu\nu} - \frac{1}{2} m^2 \phi^{\mu} \phi_{\mu} \right),$$

$$S_S = \int d^4x \sqrt{-g} \left[\frac{1}{G^3} \left(\frac{1}{2} g^{\mu\nu} \nabla_{\mu} G \nabla_{\nu} G - V(G) \right) + \frac{1}{Gm^2} \left(\frac{1}{2} g^{\mu\nu} \nabla_{\mu} m \nabla_{\nu} m - V(m) \right) \right].$$

$$B_{\mu\nu} = \partial_{\mu} \phi_{\nu} - \partial_{\nu} \phi_{\mu}$$

$$G_{\mu\nu} = 8\pi G \left(T_{\mu\nu}^{\text{M}} + T_{\mu\nu}^{\phi} \right),$$

$$\nabla_\nu B^{\nu\mu} = \frac{1}{\omega} J_{\text{Q}}^\mu,$$

$$J_{\text{Q}}^\mu = -\frac{1}{\sqrt{-g}} \frac{\delta S_{\text{M}}}{\delta \phi_\mu} = \sqrt{\alpha G_{\text{N}}} J_{\text{M}}^\mu,$$



Gravity with extra-dimensions

In April 1919 Kaluza noticed that when he solved Albert Einstein's equations for general relativity using five dimensions, then Maxwell's equations for electromagnetism emerged spontaneously.

Kaluza's fundamental insight was to write the action as:

$$S = \frac{1}{16\pi\hat{G}} \int_{\mathcal{R}} \hat{R} \sqrt{-\hat{g}} d^4x dy,$$

$$ds^2 = \hat{g}_{\mu\nu} dx^\mu dx^\nu,$$

$$x^4 = y$$

$$\frac{\partial \hat{g}_{\mu\nu}}{\partial y} = 0.$$



Gravity with extra-dimensions

The five-dimensional metric has 15 components. Ten components are identified with the four-dimensional spacetime metric, four components with the electromagnetic vector potential, and one component with an unidentified scalar field sometimes called the "dilaton".

The five-dimensional Einstein equations yield the four-dimensional Einstein field equations, the Maxwell equations for the electromagnetic field, and an equation for the scalar field. Kaluza also introduced the hypothesis known as the "cylinder condition", that no component of the five-dimensional metric depends on the fifth dimension.

Kaluza also set the scalar field equal to a constant, in which case standard general relativity and electrodynamics are recovered identically.



Gravity with extra-dimensions

$$\tilde{g}_{ab} \equiv \begin{bmatrix} g_{\mu\nu} + \phi^2 A_\mu A_\nu & \phi^2 A_\mu \\ \phi^2 A_\nu & \phi^2 \end{bmatrix}.$$

$$\tilde{g}_{\mu\nu} \equiv g_{\mu\nu} + \phi^2 A_\mu A_\nu, \quad \tilde{g}_{5\nu} \equiv \tilde{g}_{\nu 5} \equiv \phi^2 A_\nu, \quad \tilde{g}_{55} \equiv \phi^2$$

$$ds^2 \equiv \tilde{g}_{ab} dx^a dx^b = g_{\mu\nu} dx^\mu dx^\nu + \phi^2 (A_\nu dx^\nu + dx^5)^2$$

Cylinder condition: $\frac{\partial \tilde{g}_{ab}}{\partial x^5} = 0$

$$F_{\alpha\beta} \equiv \partial_\alpha A_\beta - \partial_\beta A_\alpha$$

$$\tilde{R}_{5\alpha} = 0 = \frac{1}{2} g^{\beta\mu} \nabla_\mu (\phi^3 F_{\alpha\beta})$$

$$\tilde{R}_{55} = 0 \Rightarrow \square \phi = \frac{1}{4} \phi^3 F^{\alpha\beta} F_{\alpha\beta}$$

$$\tilde{R}_{\mu\nu} - \frac{1}{2} \tilde{g}_{\mu\nu} \tilde{R} = 0 \Rightarrow R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{1}{2} \phi^2 \left(g^{\alpha\beta} F_{\mu\alpha} F_{\nu\beta} - \frac{1}{4} g_{\mu\nu} F_{\alpha\beta} F^{\alpha\beta} \right) + \frac{1}{\phi} (\nabla_\mu \nabla_\nu \phi - g_{\mu\nu} \square \phi)$$

This equation shows the remarkable result, called the "Kaluza miracle", that the precise form for the electromagnetic stress-energy tensor emerges from the 5D vacuum equations as a source in the 4D equations: field from the vacuum.



Gravity with extra-dimensions

A very interesting feature of the theory is that charge conservation can be interpreted as momentum conservation in the fifth dimension:

$$J^\mu = 2\alpha T^{\mu 5},$$

where J^μ is the current density and α a constant. The variation of the action yields both Einstein's and Maxwell's equations:

$$G_{\mu\nu} = \kappa T_{\mu\nu} \quad \text{and} \quad \partial_\mu F^{\mu\nu} = \frac{c^2 \kappa}{2G} J^\nu.$$



Gravity with extra-dimensions

The action introduced by Kaluza describes 4-D gravity along with electromagnetism. The price paid for this unification was the introduction of a scalar field called the dilaton (which was fixed by to be $=1$) and an extra fifth dimension which is not observed.

In 1926, Oskar Klein proposed that the fourth spatial dimension is curled up in a circle of very small radius, so that a particle moving a short distance along that axis would return to where it began. The distance a particle can travel before reaching its initial position is said to be the size of the dimension. This extra dimension is a compact set, and the phenomenon of having a space-time with compact dimensions is referred to as compactification.

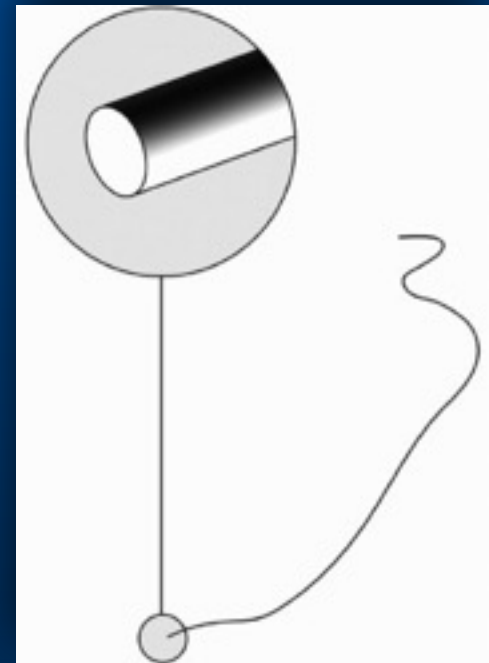
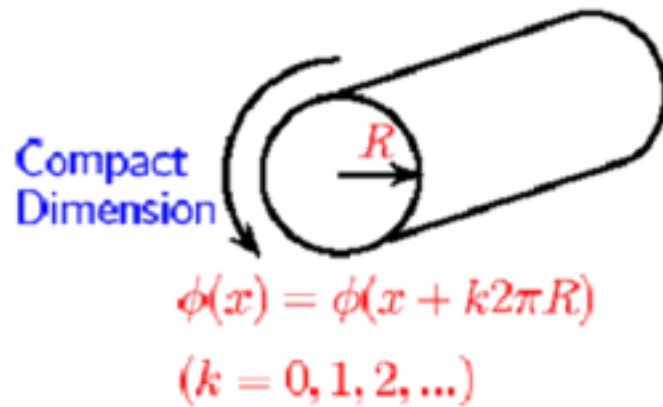
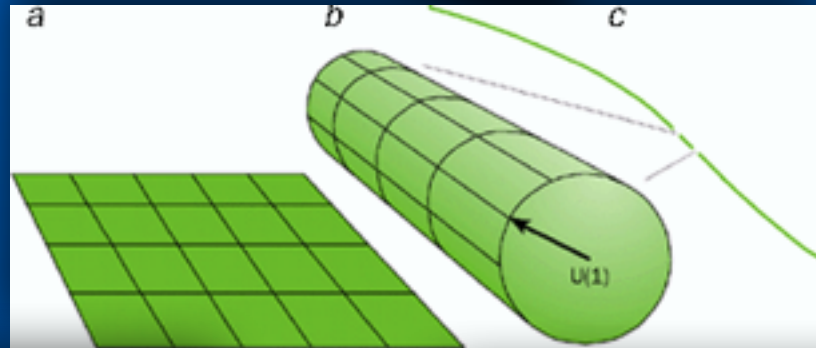


Gravity with extra-dimensions

Klein (1926) suggested that the fifth dimension was not observable because it is *compactified* on a circle. This compactification can be achieved identifying y with $y + 2\pi R$. The quantity R is the size of the extra dimension. Such a size should be extremely small in order to be not detected in experiments. The only natural length of the theory is the Planck length: $R \approx l_{\text{P}} \sim 10^{-35}$ m.



Gravity with extra-dimensions



f(R)-Gravity

In f(R) gravity, the Lagrangian of the Einstein-Hilbert action:

$$S[g] = \int \frac{1}{2\kappa} R \sqrt{-g} \, d^4x$$

is generalized to

$$S[g] = \int \frac{1}{2\kappa} f(R) \sqrt{-g} \, d^4x,$$

$$f(R) = aR^2 + b R$$

$$f(R) = a \exp^{D(R)} + b R$$

f(R)-Gravity

$$F(R)R_{\mu\nu} - \frac{1}{2}f(R)g_{\mu\nu} + [g_{\mu\nu}\square - \nabla_\mu \nabla_\nu]F(R) = \kappa T_{\mu\nu},$$

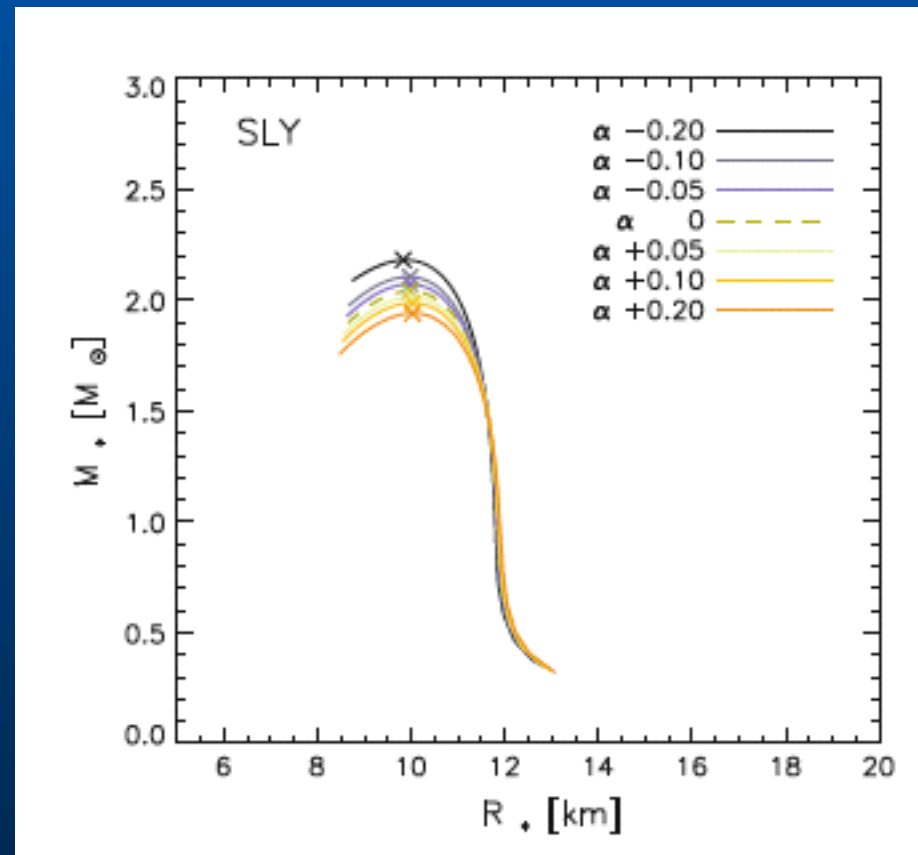
$$F(R) = \frac{\partial f(R)}{\partial R}.$$

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g} L_m)}{\delta g^{\mu\nu}},$$

Higher than second order derivatives are possible in f(R) theory depending on the explicit form of the function f

$$S = \frac{c^4}{16\pi G} \int d^4x \sqrt{-g} (R + \alpha R^2) + S_{\text{matter}},$$

$$G_{\mu\nu} + \alpha \left[2R \left(R_{\mu\nu} - \frac{1}{4} R g_{\mu\nu} \right) + 2 (g_{\mu\nu} \square R - \nabla_\mu \nabla_\nu R) \right] = \frac{8\pi G}{c^4} T_{\mu\nu},$$



Maxwell equations

$$[F^{\mu\nu}] = \begin{pmatrix} 0 & -E^1/c & -E^2/c & -E^3/c \\ E^1/c & 0 & -B^3 & B^2 \\ E^2/c & B^3 & 0 & -B^1 \\ E^3/c & -B^2 & B^1 & 0 \end{pmatrix}.$$

$$[j^\mu] = \rho_0 \gamma_u(c, \vec{u}) = (c\rho, \vec{j}),$$

Maxwell equations

$$\partial_\mu F^{\mu\nu} = \mu_0 j^\nu,$$

$$\partial_\sigma F_{\mu\nu} + \partial_\nu F_{\sigma\mu} + \partial_\mu F_{\nu\sigma} = 0.$$



$$\partial_\mu j^\mu = 0.$$

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0,$$



$$m_0 \frac{du^\mu}{d\tau} = q F^\mu{}_\nu u^\nu.$$

Maxwell equations

$$[A^\mu] = \left(\frac{\phi}{c}, \vec{A} \right),$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu.$$



$$\square^2 A_\mu = \mu_0 j_\mu,$$

Maxwell equations with gravity

$$\nabla_{\mu} F^{\mu\nu} = \mu_0 j^{\nu},$$

$$\nabla_{\sigma} F_{\mu\nu} + \nabla_{\nu} F_{\sigma\mu} + \nabla_{\mu} F_{\nu\sigma} = 0.$$

$$\frac{d^2 x^{\mu}}{d\tau^2} + \Gamma^{\mu}_{\nu\sigma} \frac{dx^{\nu}}{d\tau} \frac{dx^{\sigma}}{d\tau} = \frac{q}{m_0} F^{\mu}_{\nu} \frac{dx^{\nu}}{d\tau}.$$

Einstein-Maxwell equations

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = -\frac{8\pi G}{c^4}(T_{\mu\nu} + E_{\mu\nu}),$$

$$\frac{4\pi}{c}E_{\nu}^{\mu} = -F^{\mu\rho}F_{\rho\nu} + \frac{1}{4}\delta_{\nu}^{\mu}F^{\sigma\lambda}F_{\sigma\lambda},$$

$$F_{\mu\nu} = A_{\mu;\nu} - A_{\nu;\mu},$$

$$F_{\mu}^{v;\nu} = \frac{4\pi}{c}J_{\mu}.$$